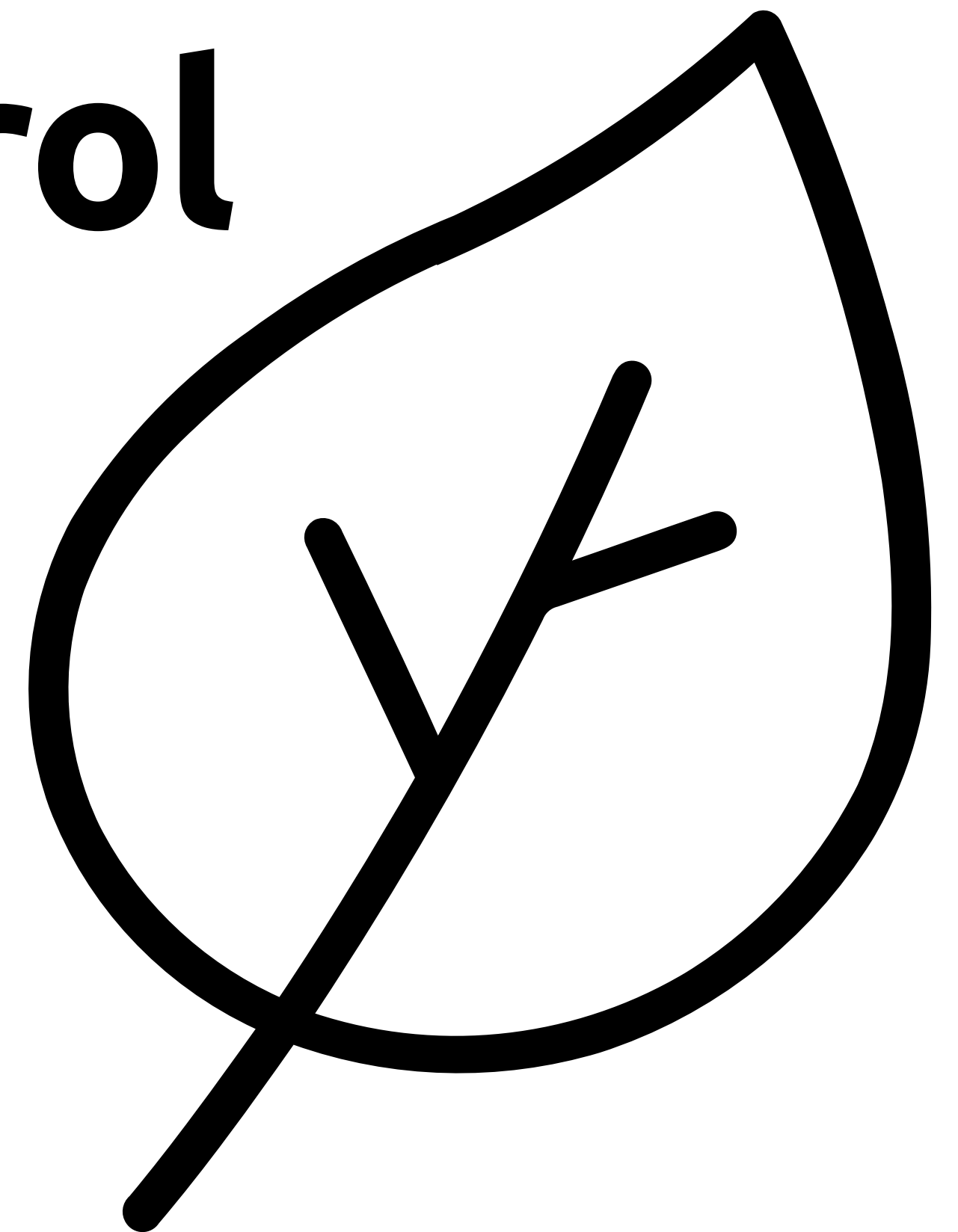
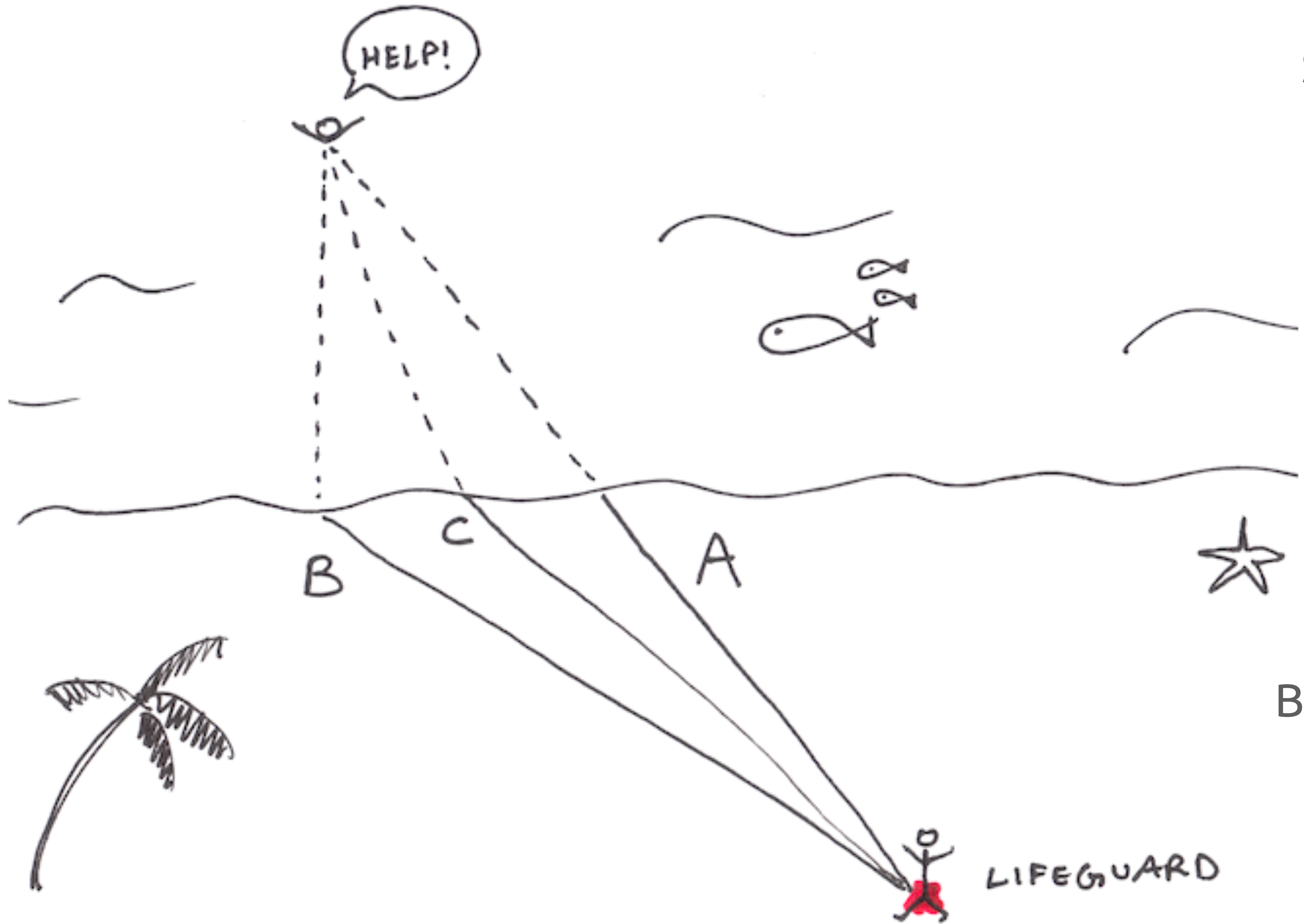


# Optimal stomatal control





Sea

Beach

HELP!

B

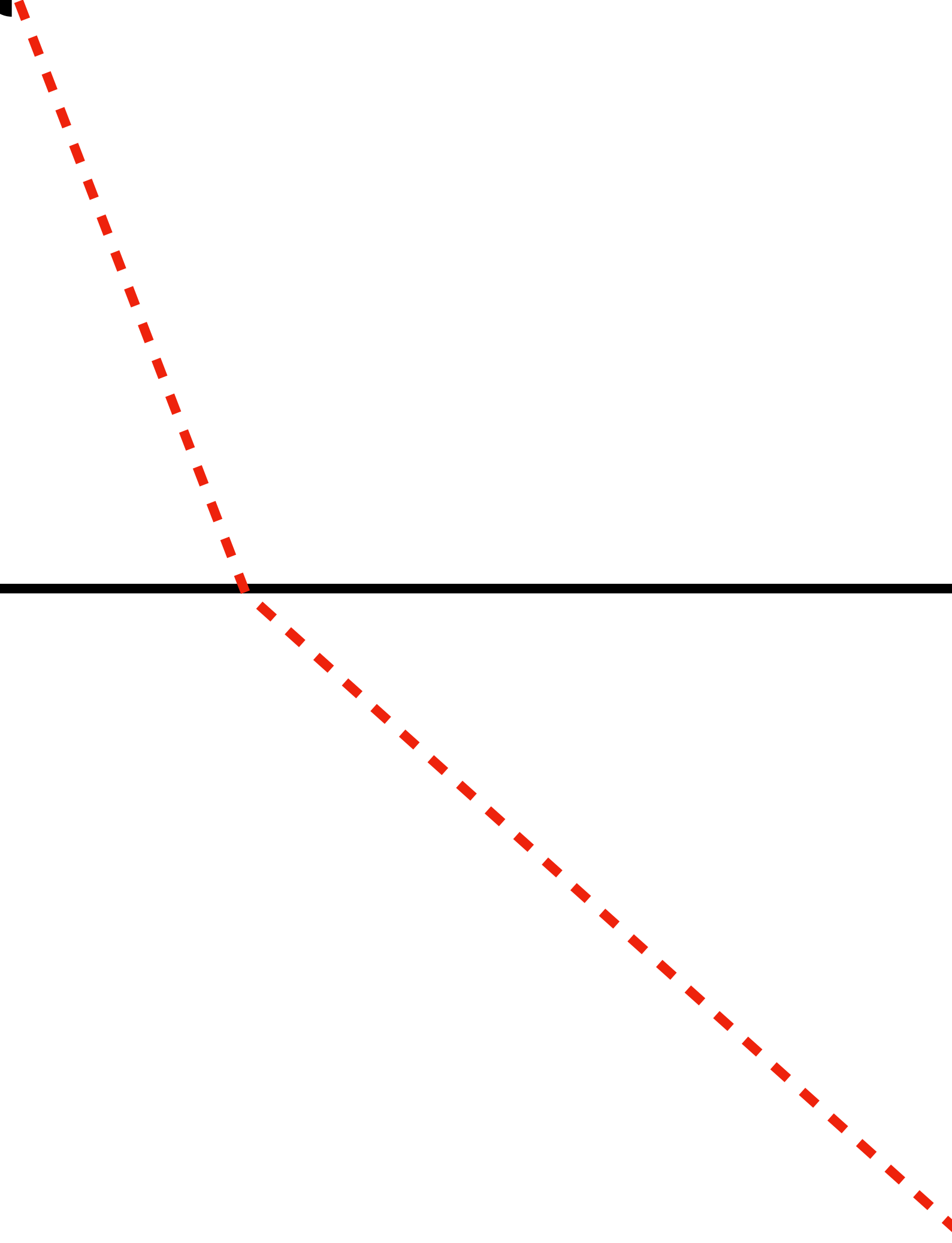
C

A

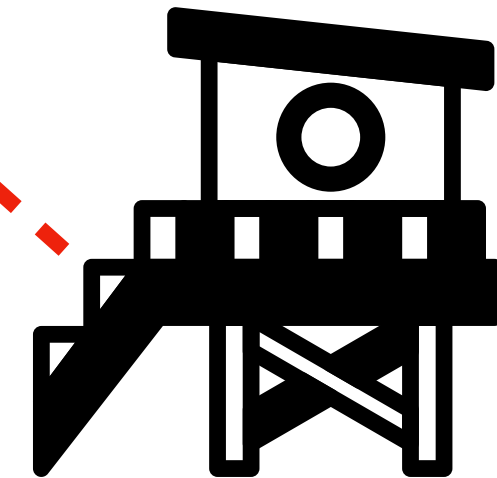
LIFEGUARD



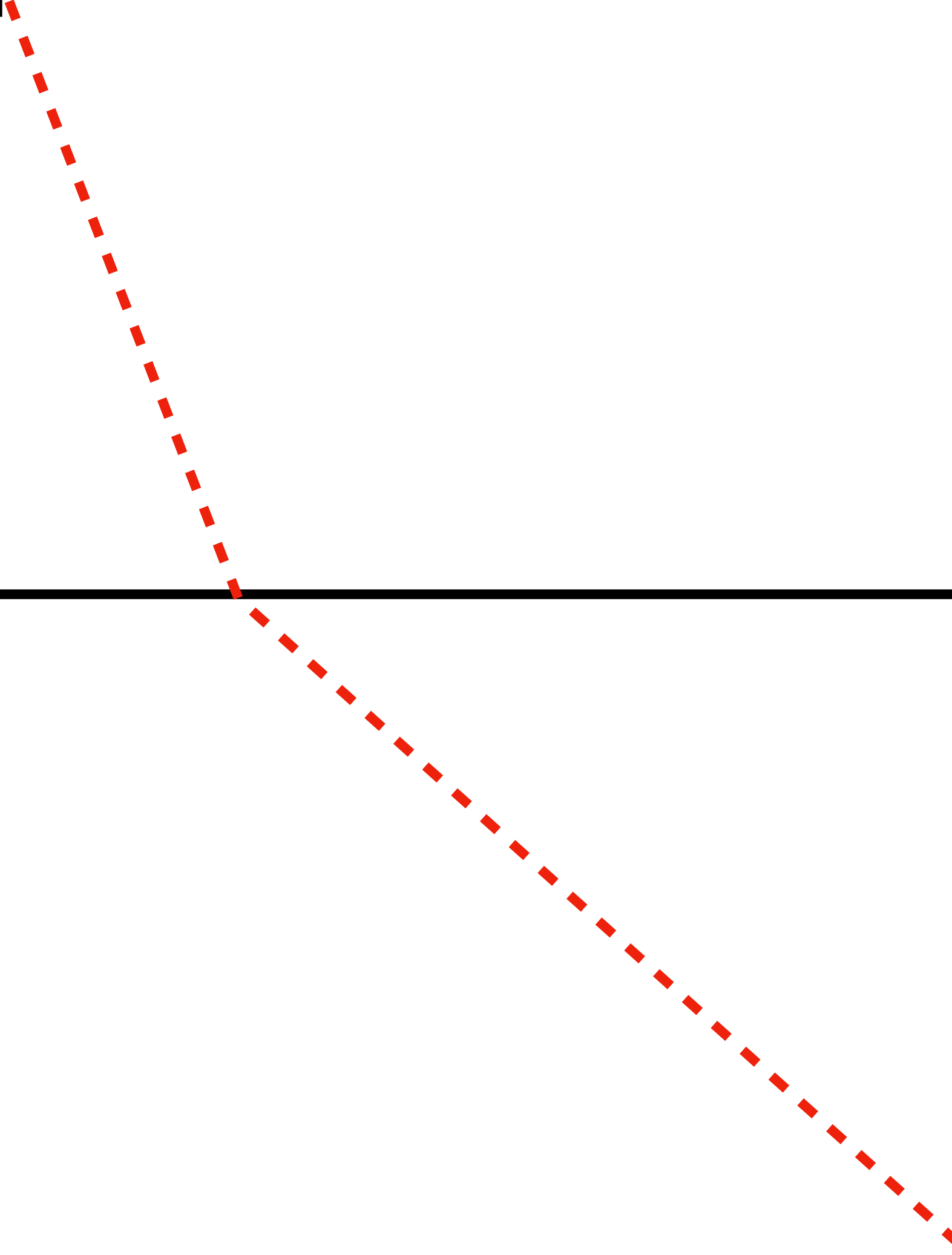
Sea



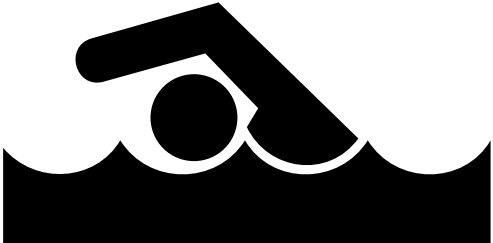
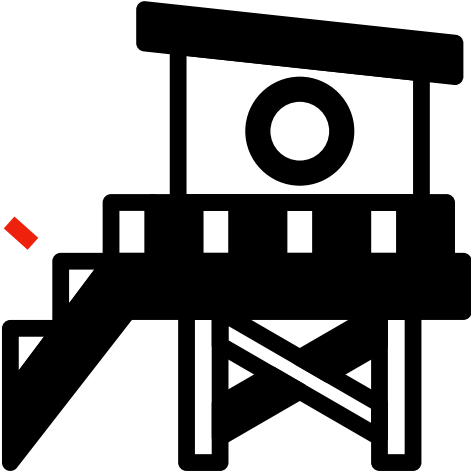
Beach



Sea



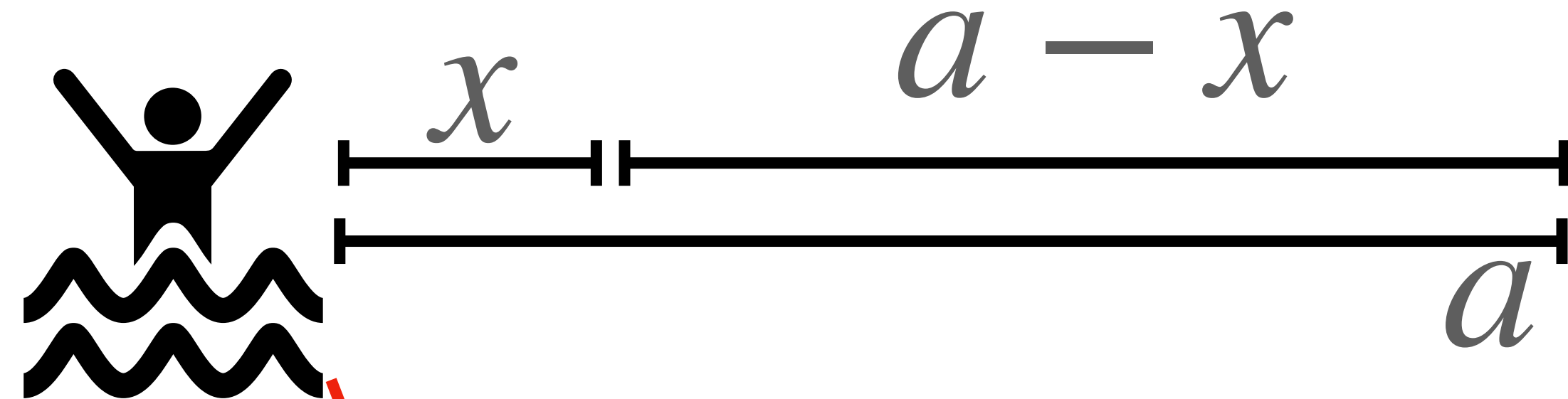
Beach



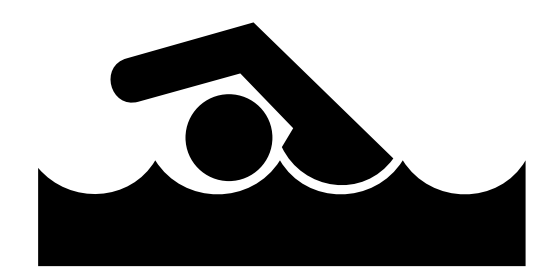
$v_1$

$v_2$





Sea



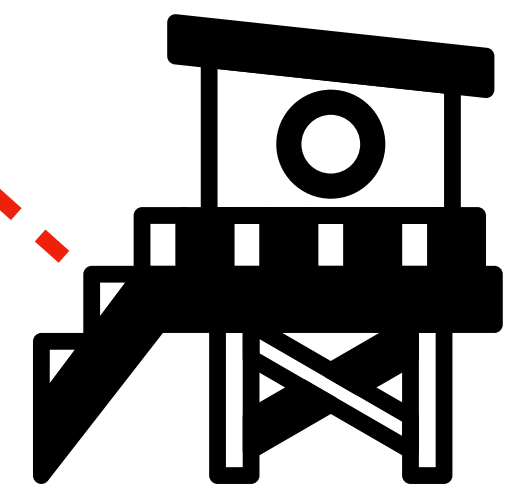
$v_1$

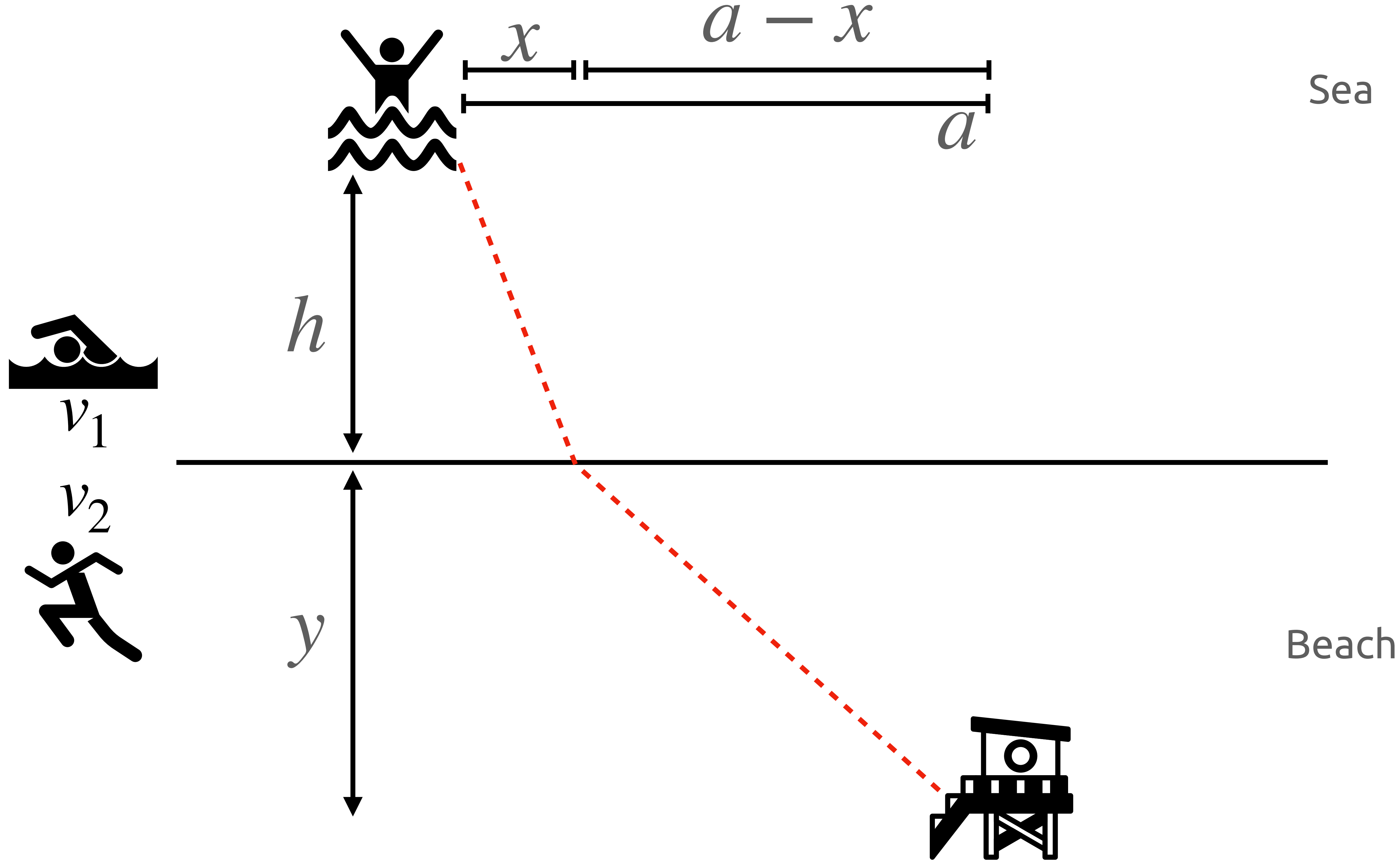


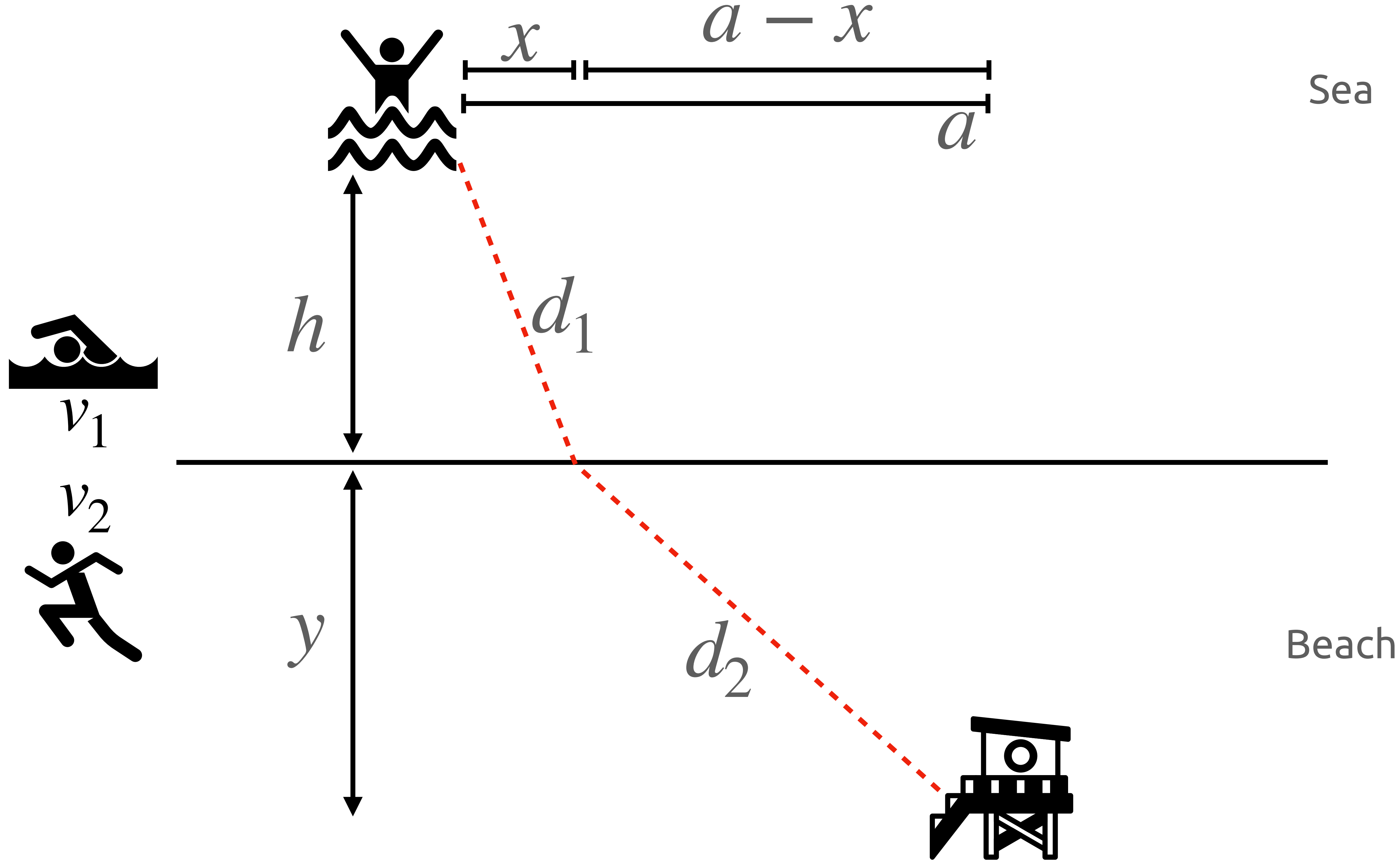
$v_2$

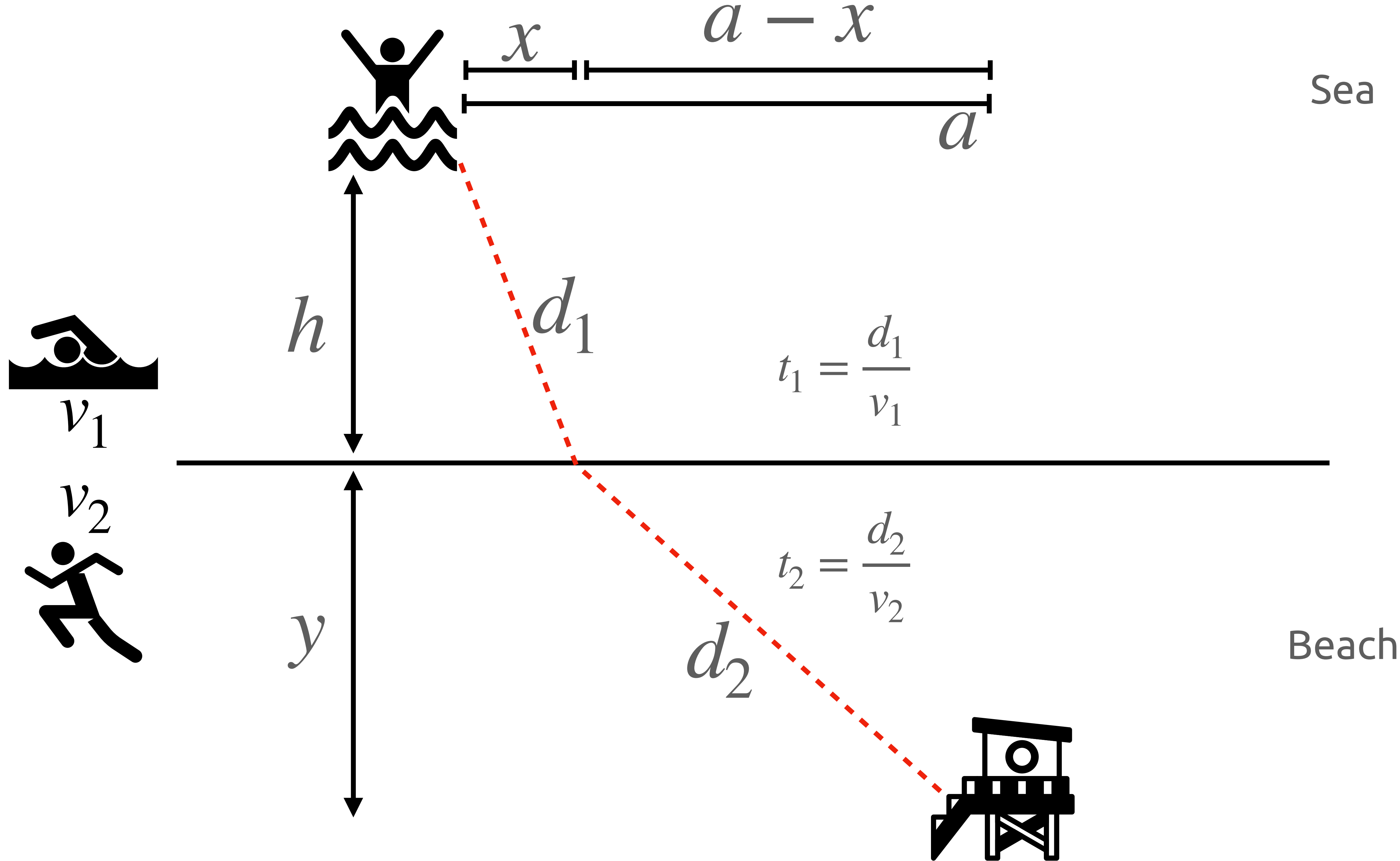


Beach

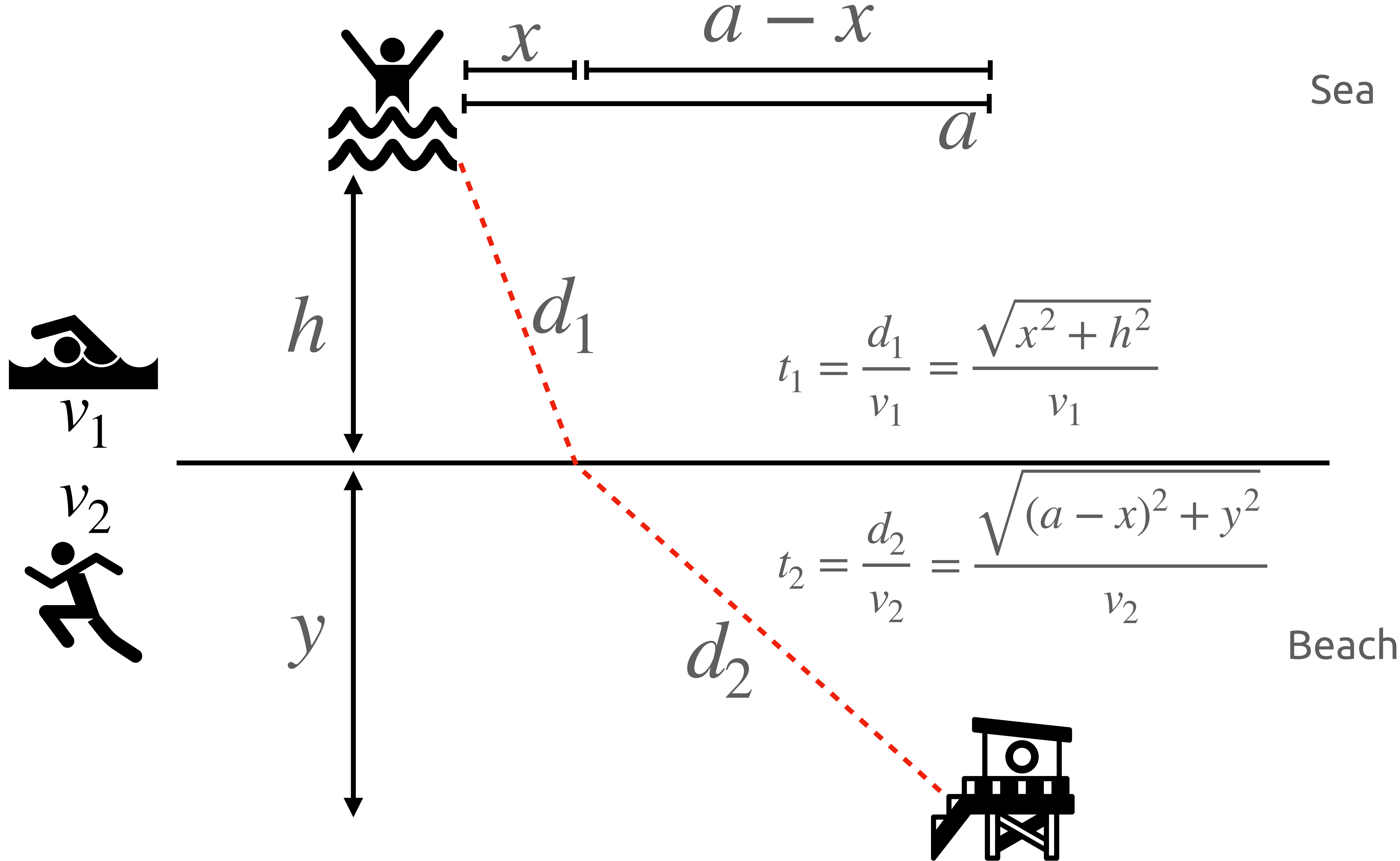




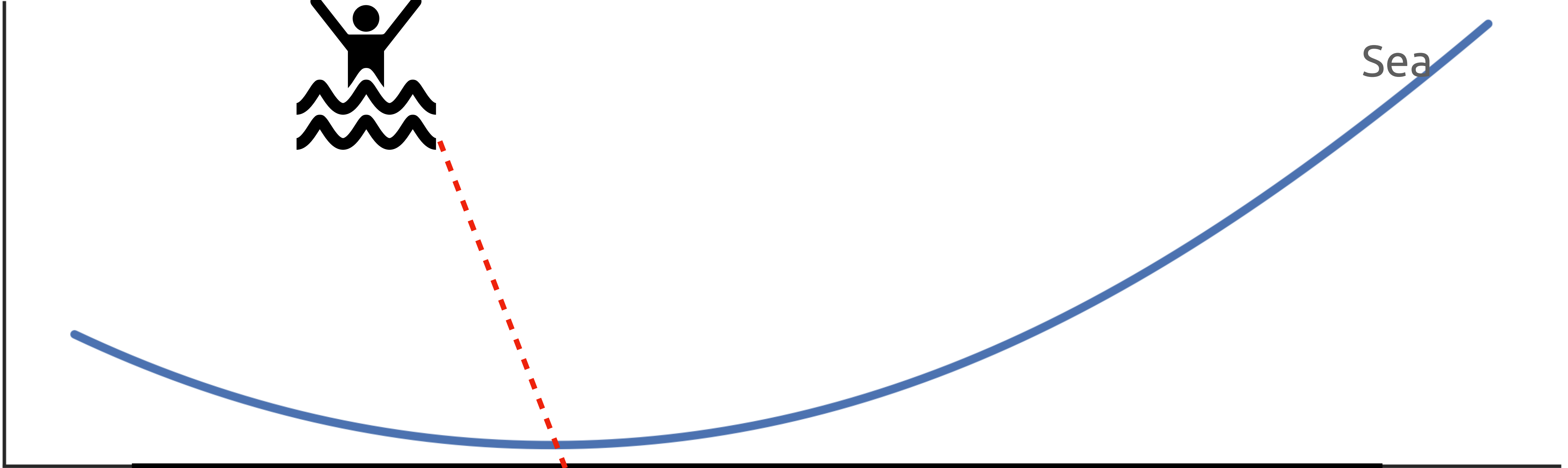








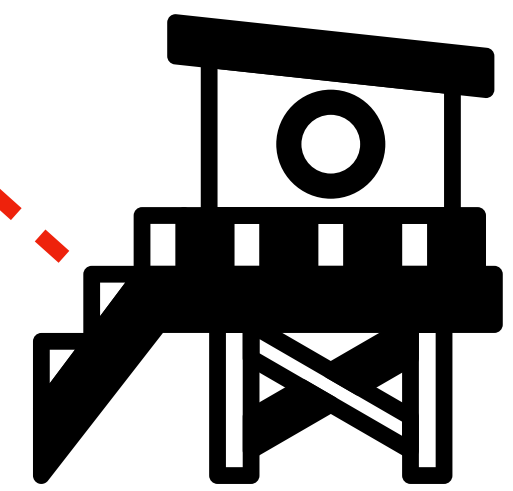
$t_1 + t_2$



Sea

Beach

$x$





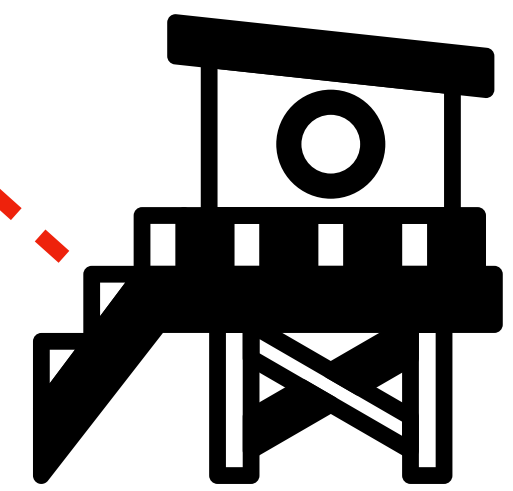
Sea

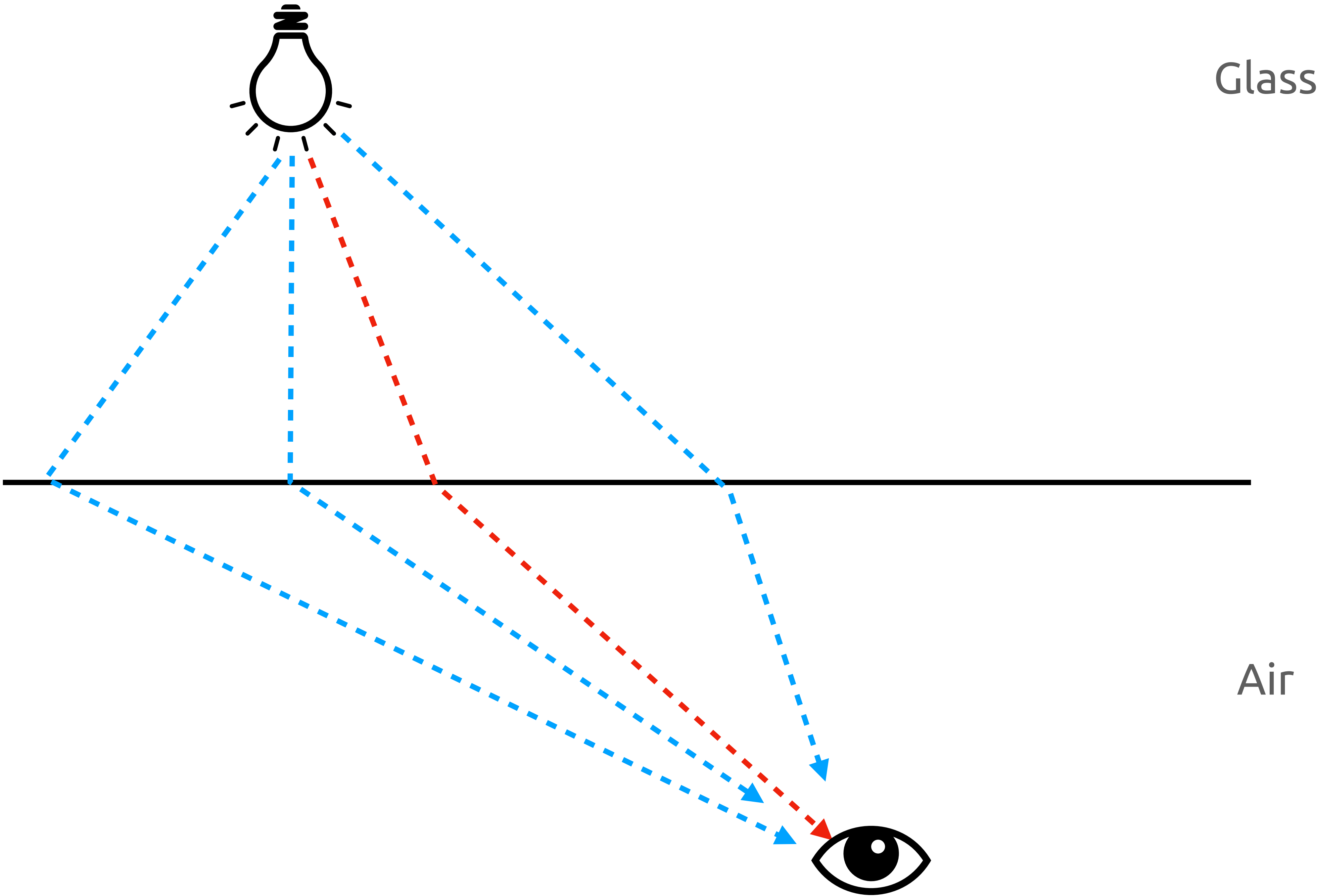
$$\frac{\sin(\theta_1)}{v_1} = \frac{\sin(\theta_2)}{v_2}$$

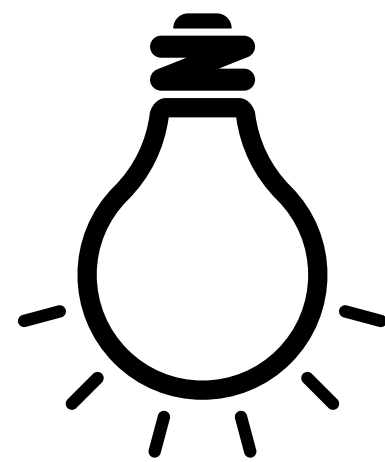
$\theta_1$

$\theta_2$

Beach



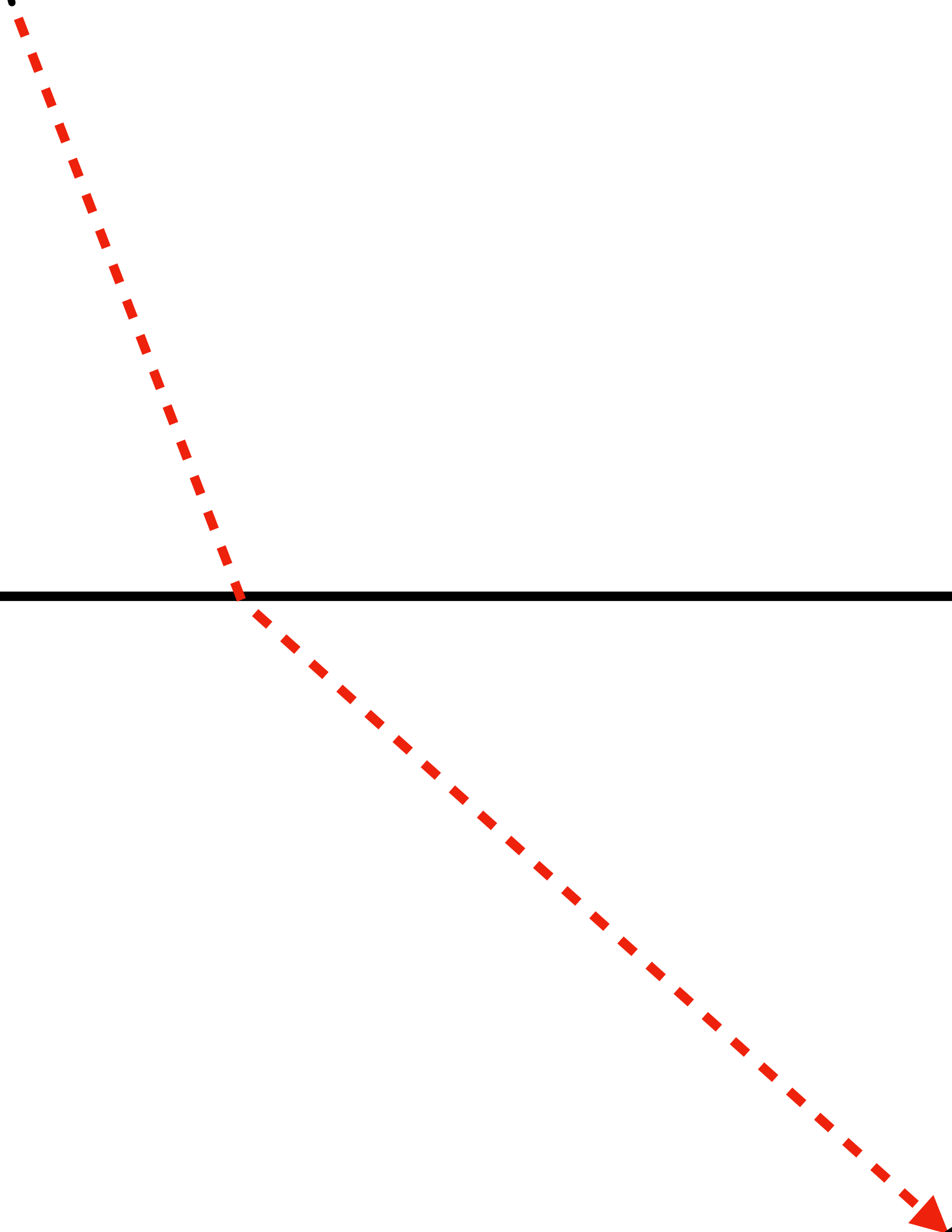
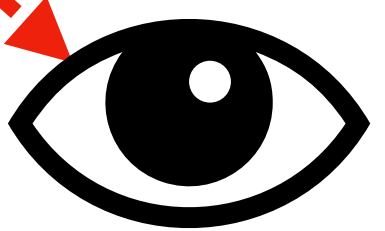


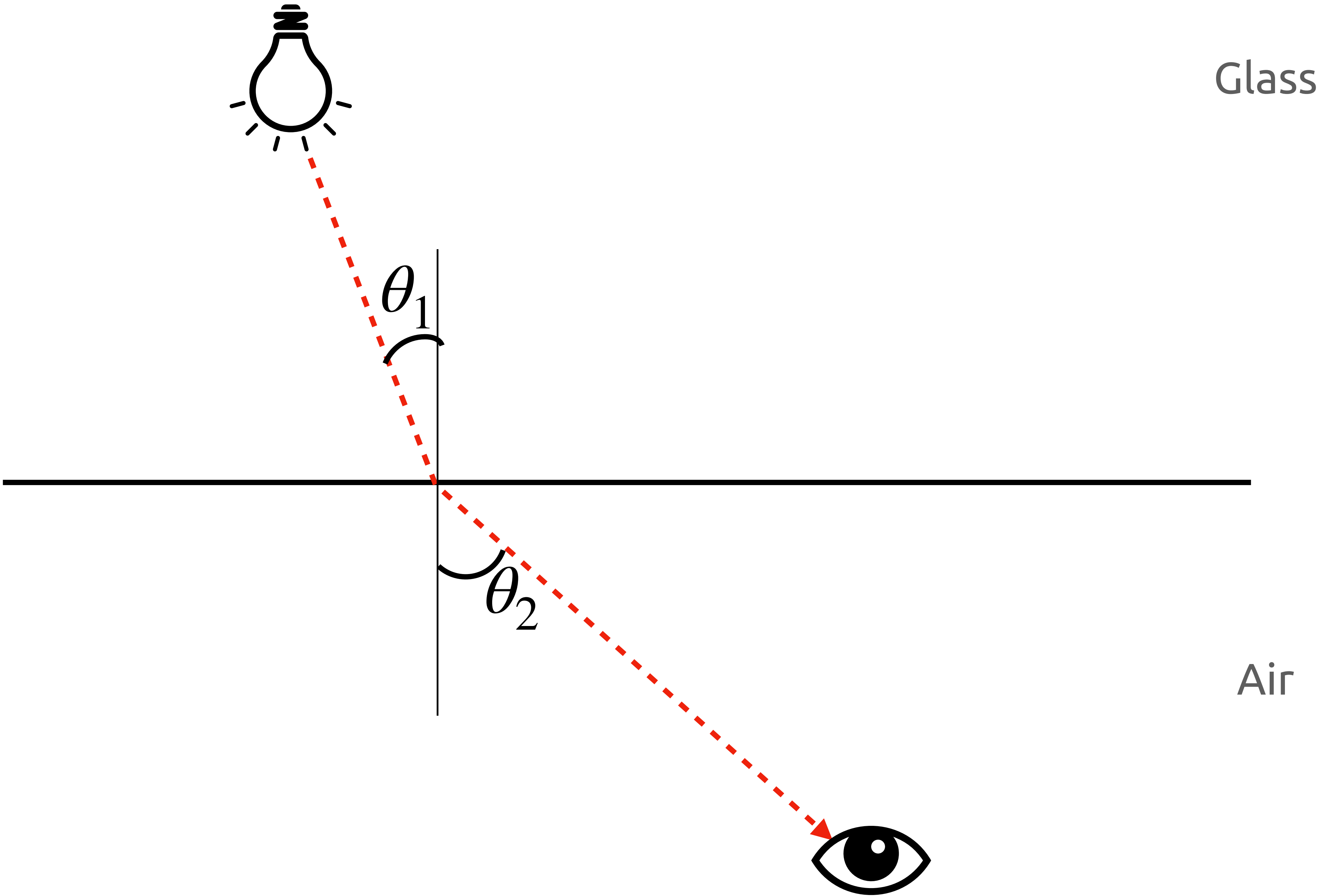


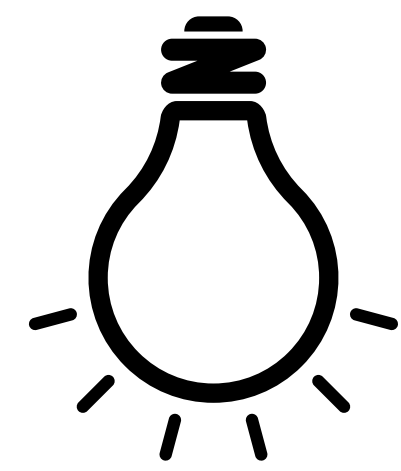
Glass



Air







Glass

Snell's Law of refraction

$$\frac{\sin(\theta_1)}{v_1} = \frac{\sin(\theta_2)}{v_2}$$

$\theta_1$

$\theta_2$

Air





Rough  
Felt

Smooth  
Felt

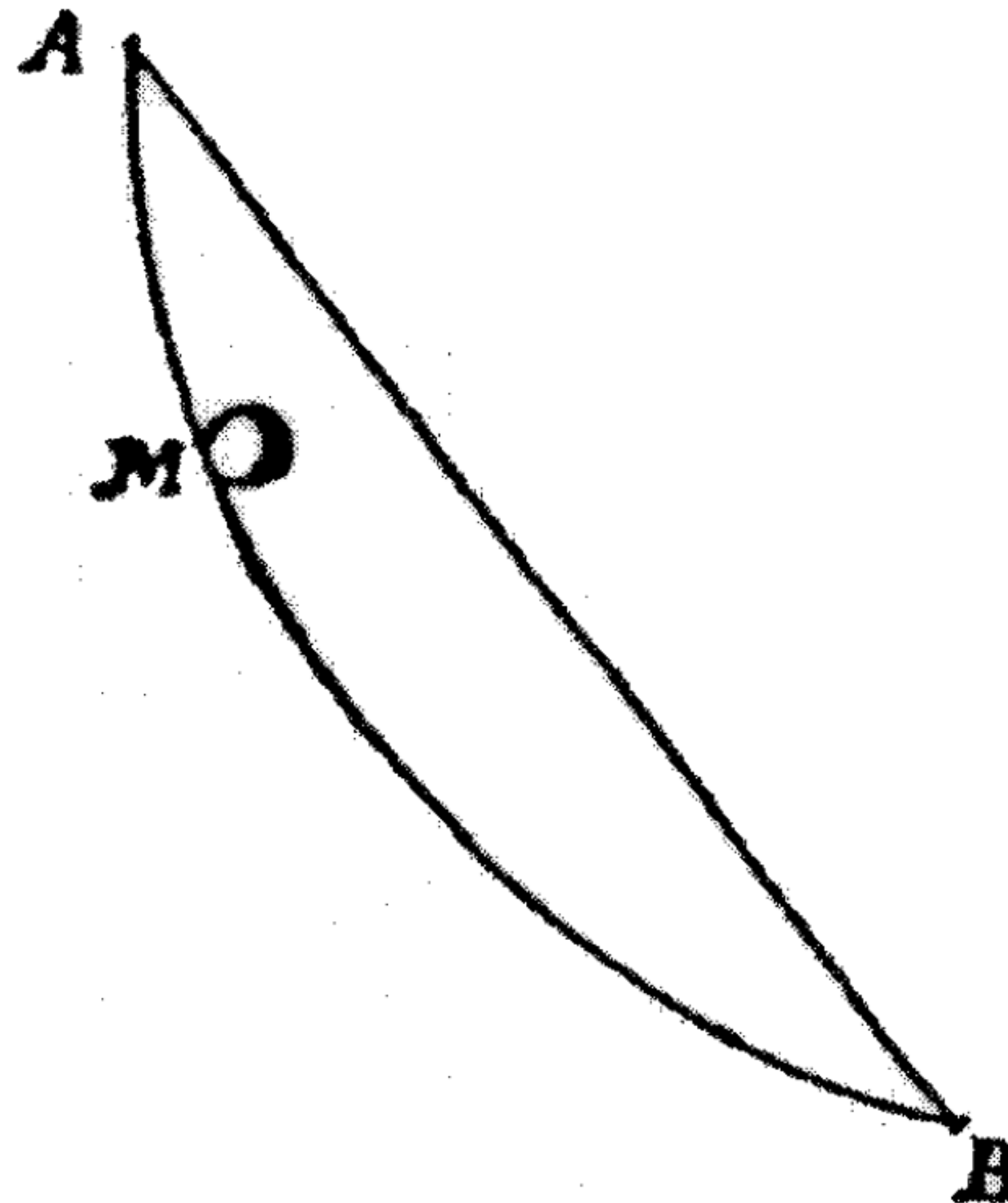


Johann Bernoulli



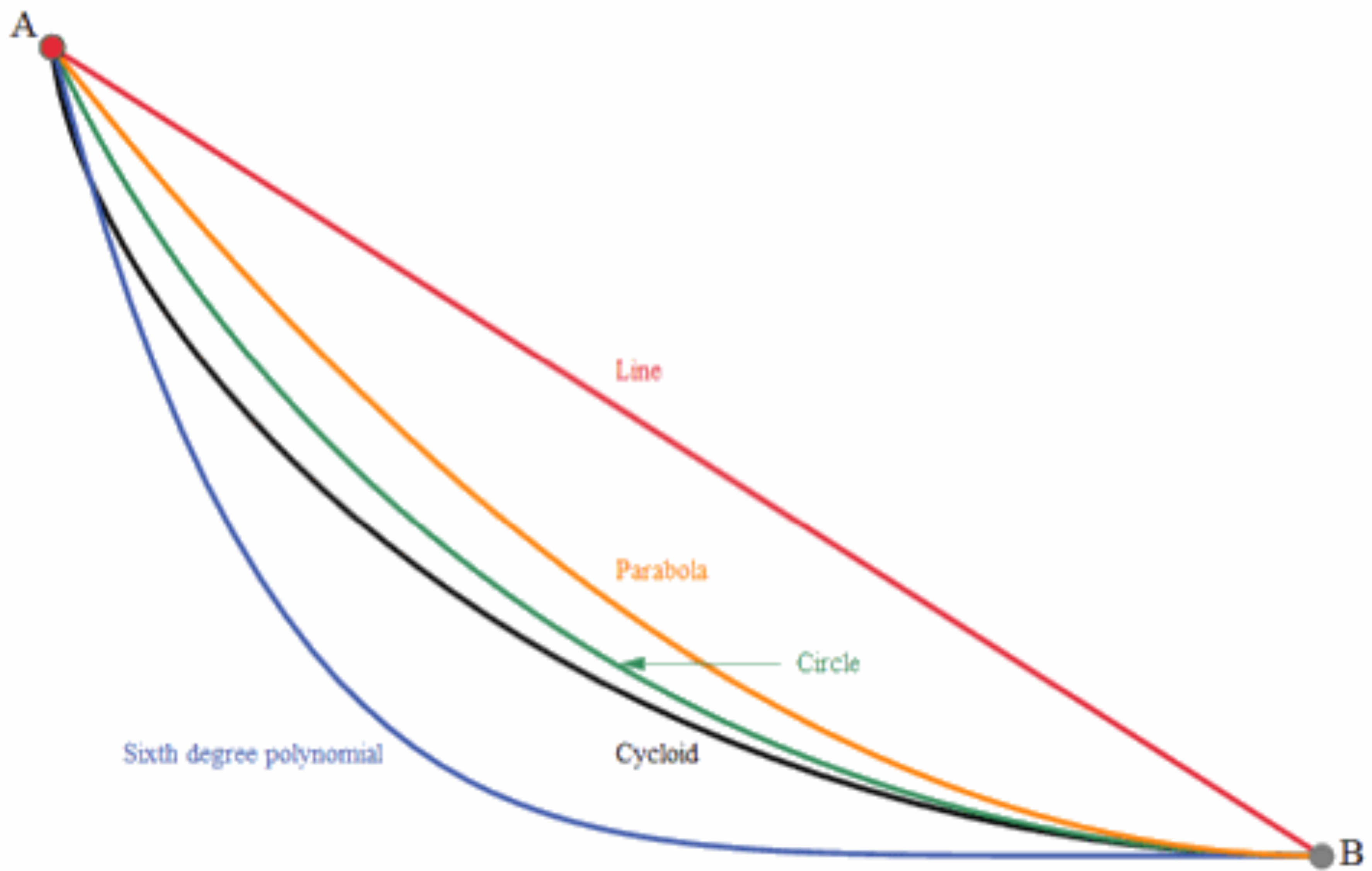
1696

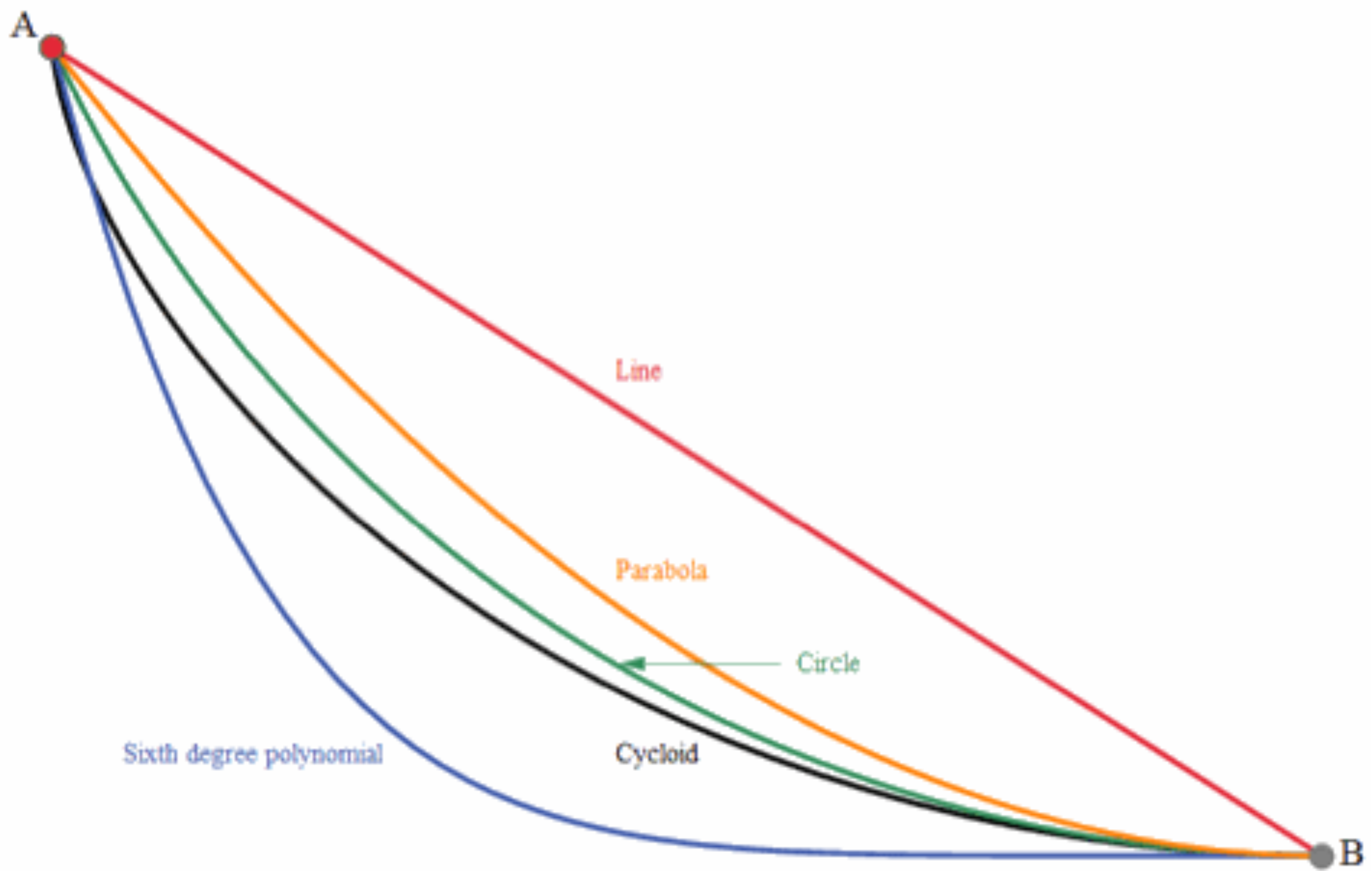
the brachistochrone problem



$$x = r(t - \sin t)$$

$$y = r(1 - \cos t)$$





cycloid



cycloid



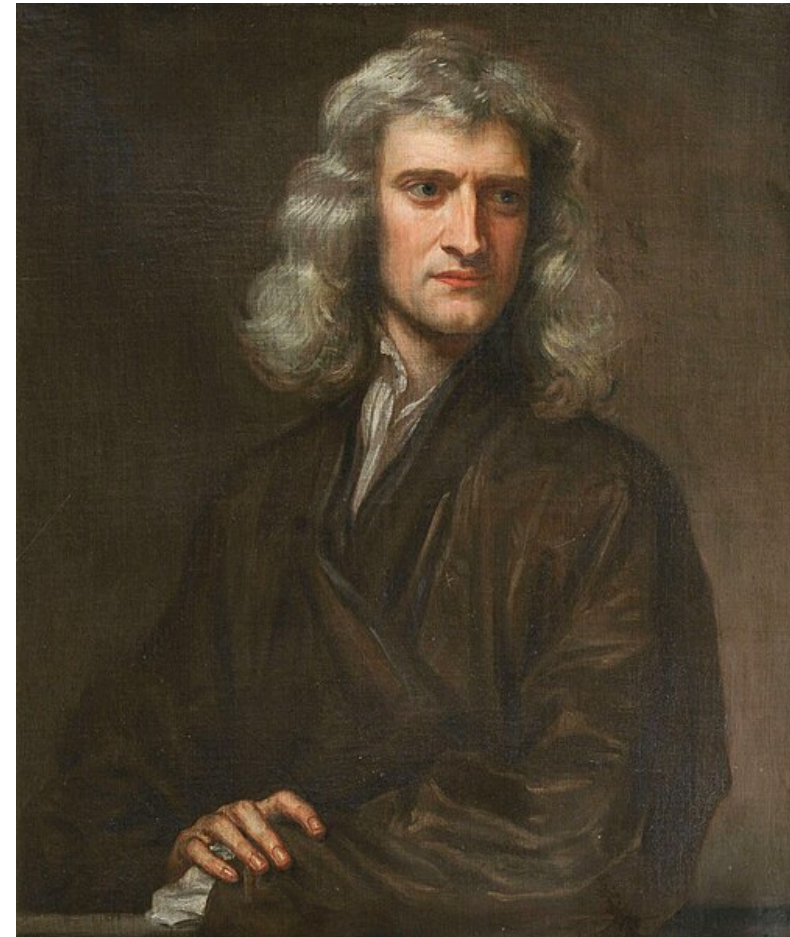
Johann  
Bernoulli



Gottfried  
Wilhelm  
Leibniz



Isaac  
Newton



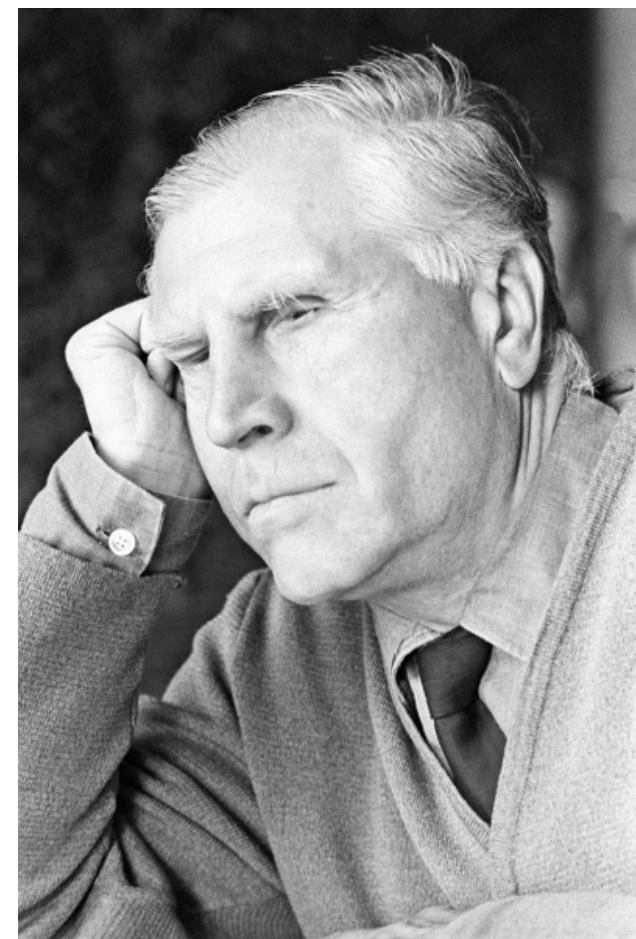
Leonhard  
Euler



Joseph-  
Louis  
Lagrange



William  
Rowan  
Hamilton



Lev  
Pontryagin



Richard E.  
Bellman

Johann  
Bernoulli



Gottfried  
Wilhelm  
Leibniz



Isaac  
Newton



Leonhard  
Euler



calculus of variations

optimal control

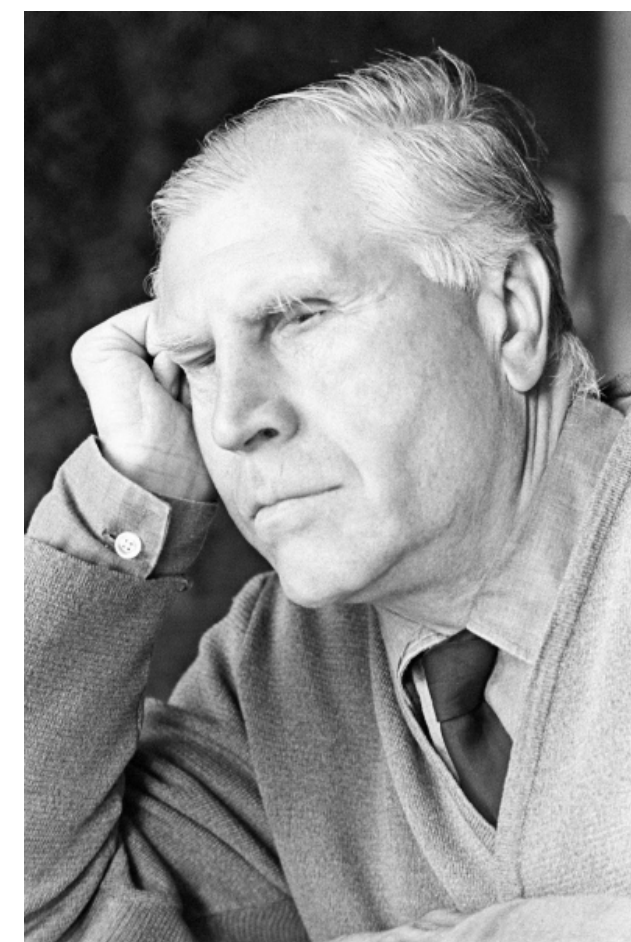
dynamic programming



Joseph-  
Louis  
Lagrange



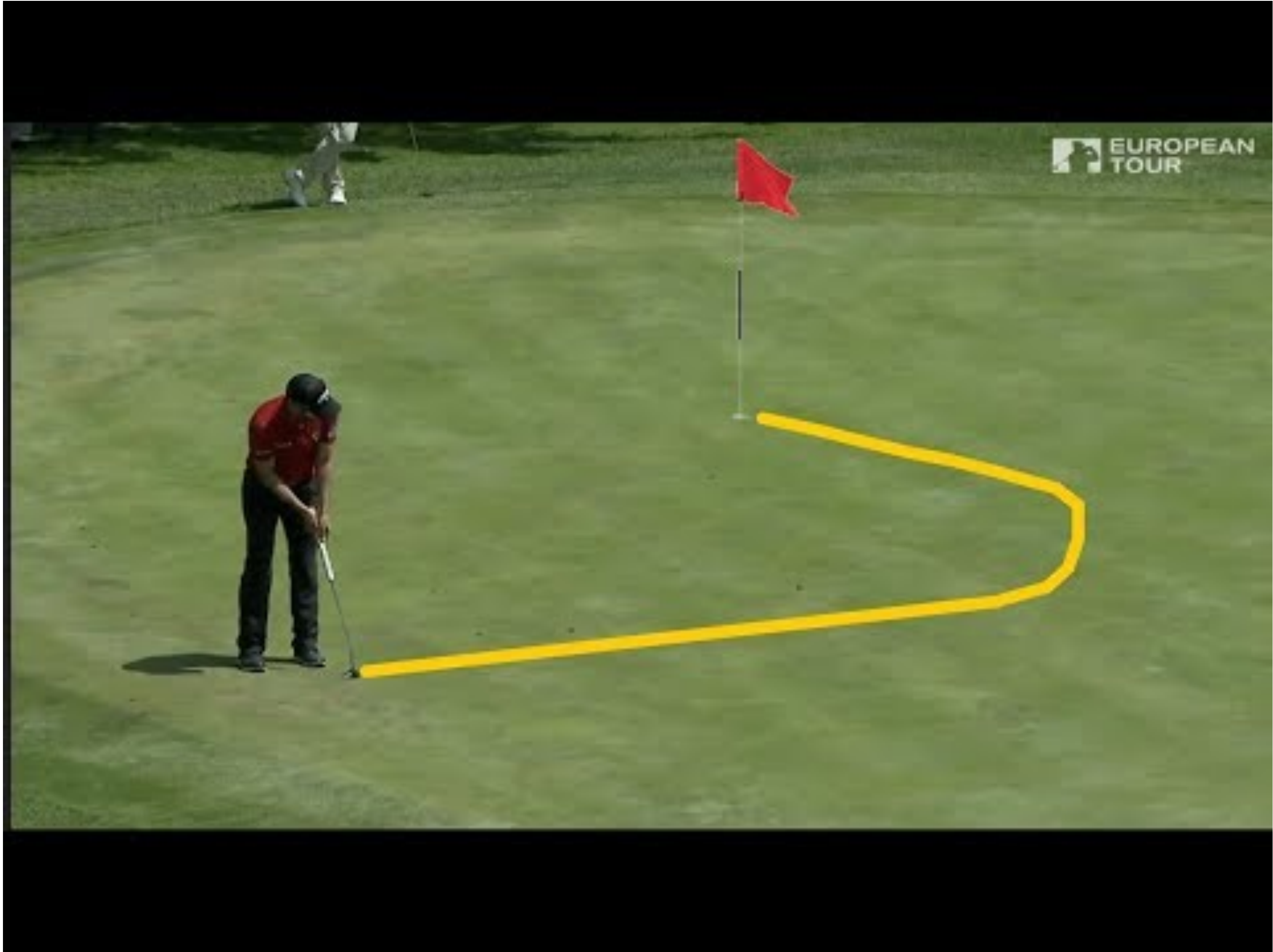
William  
Rowan  
Hamilton



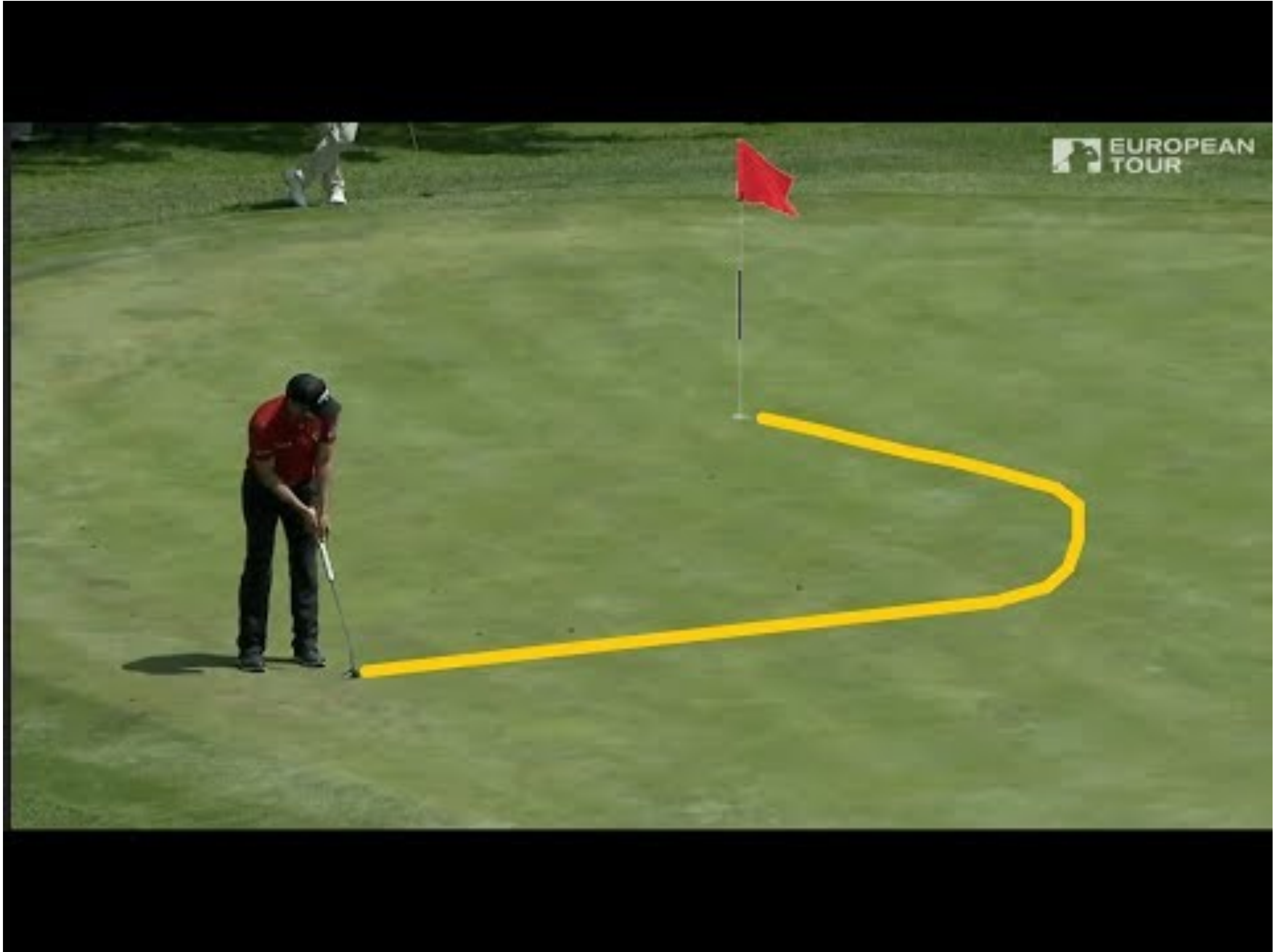
Lev  
Pontryagin

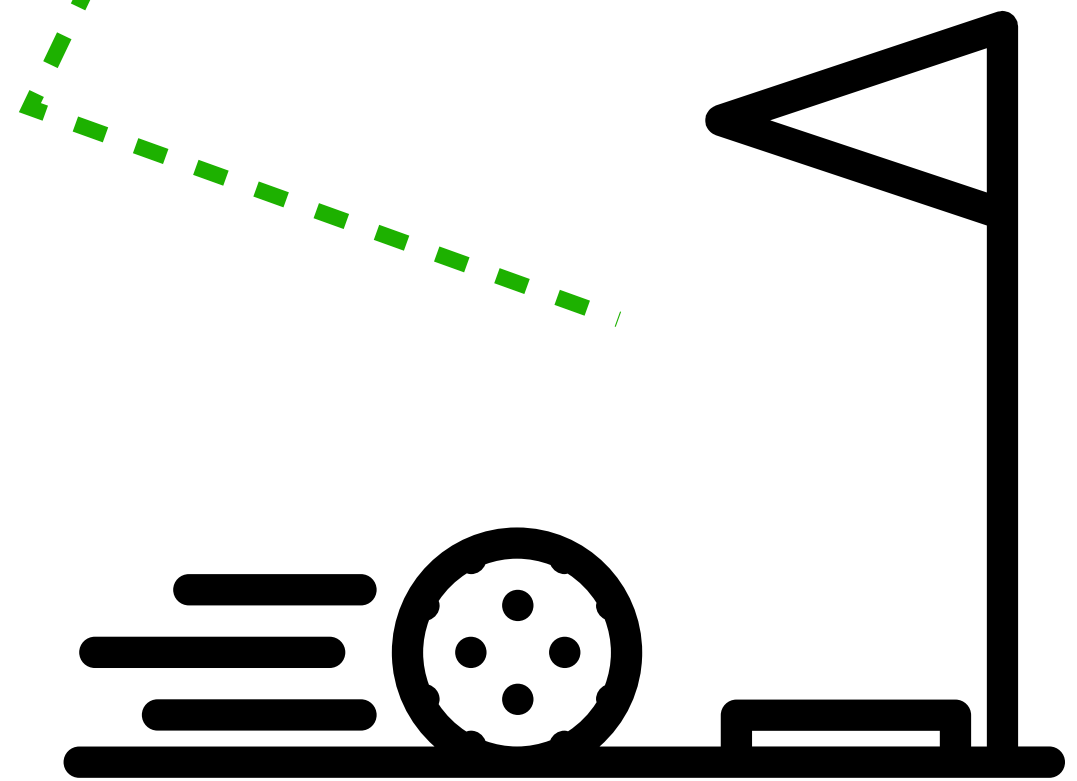
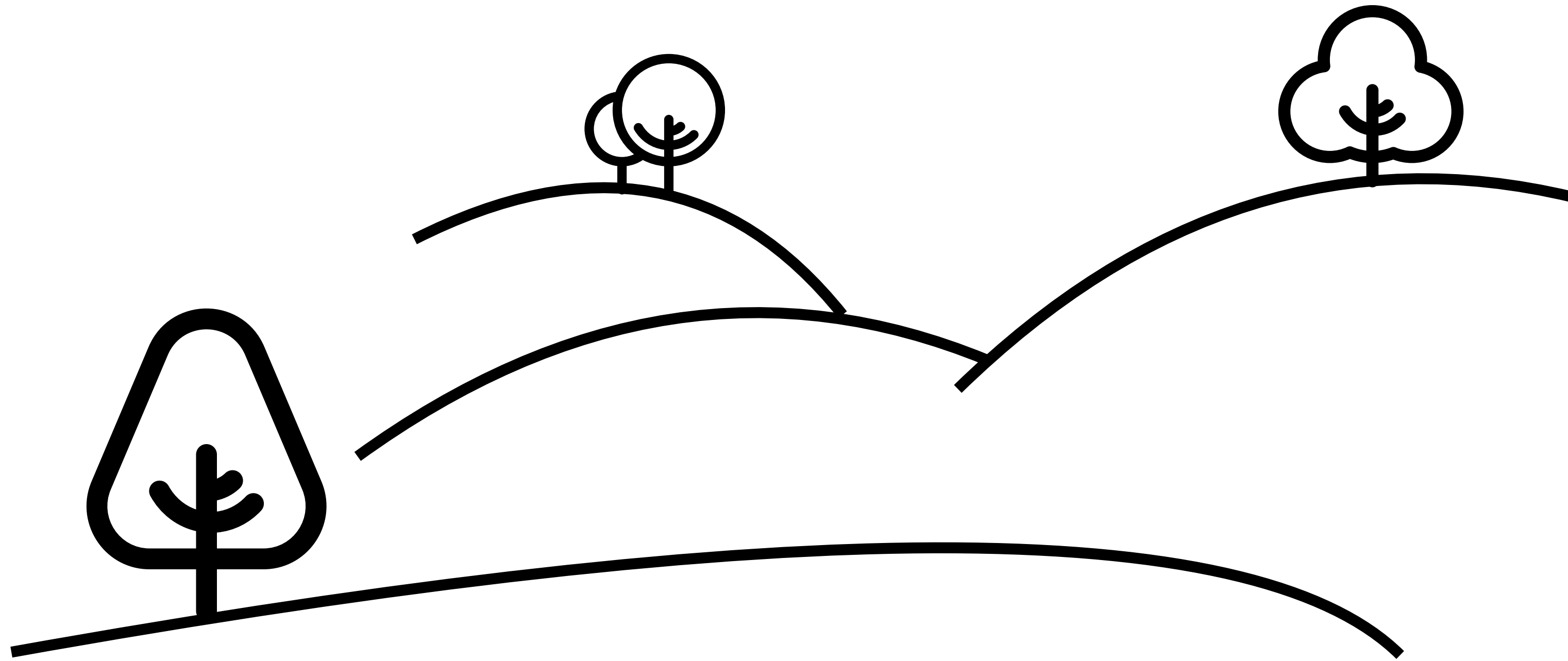
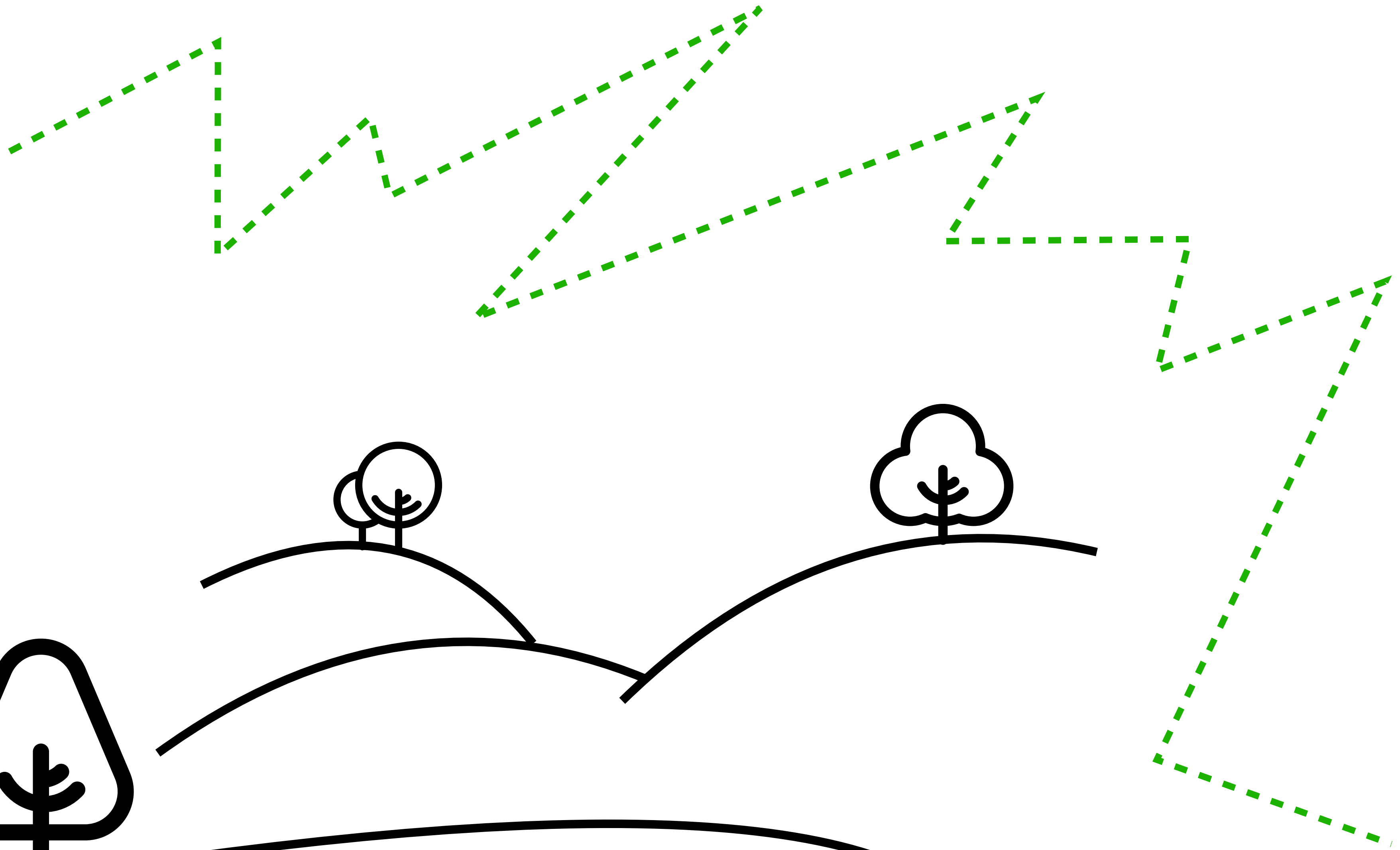
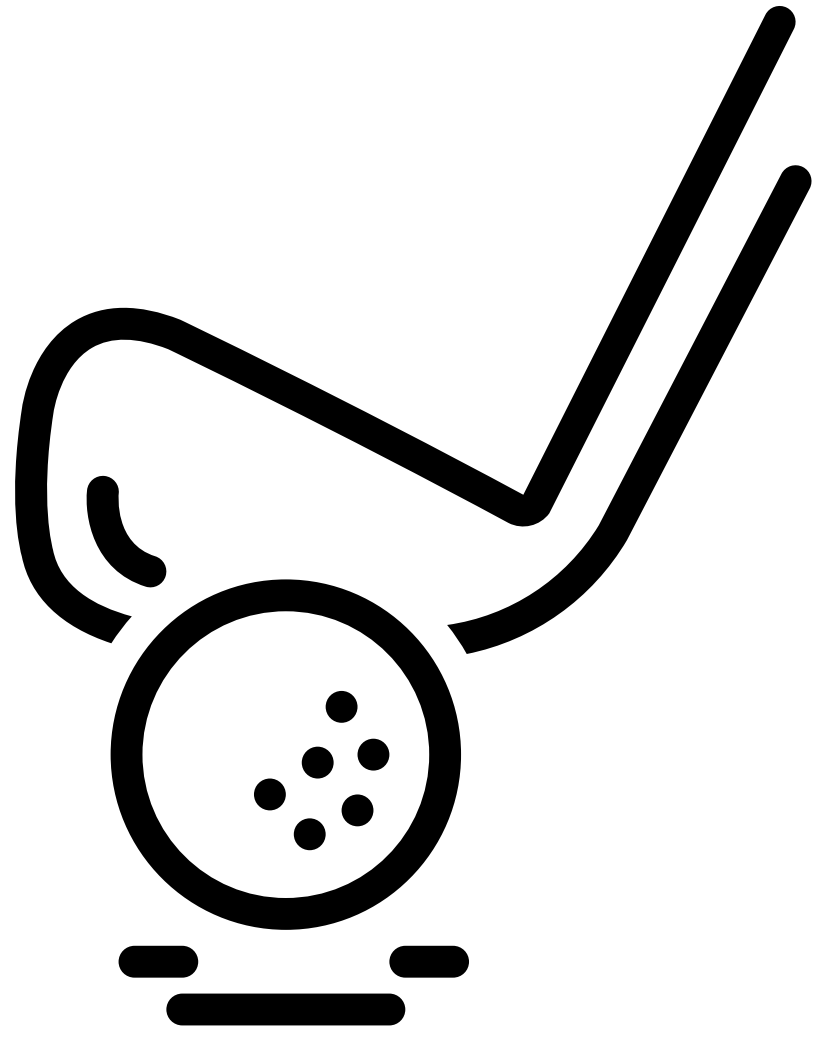


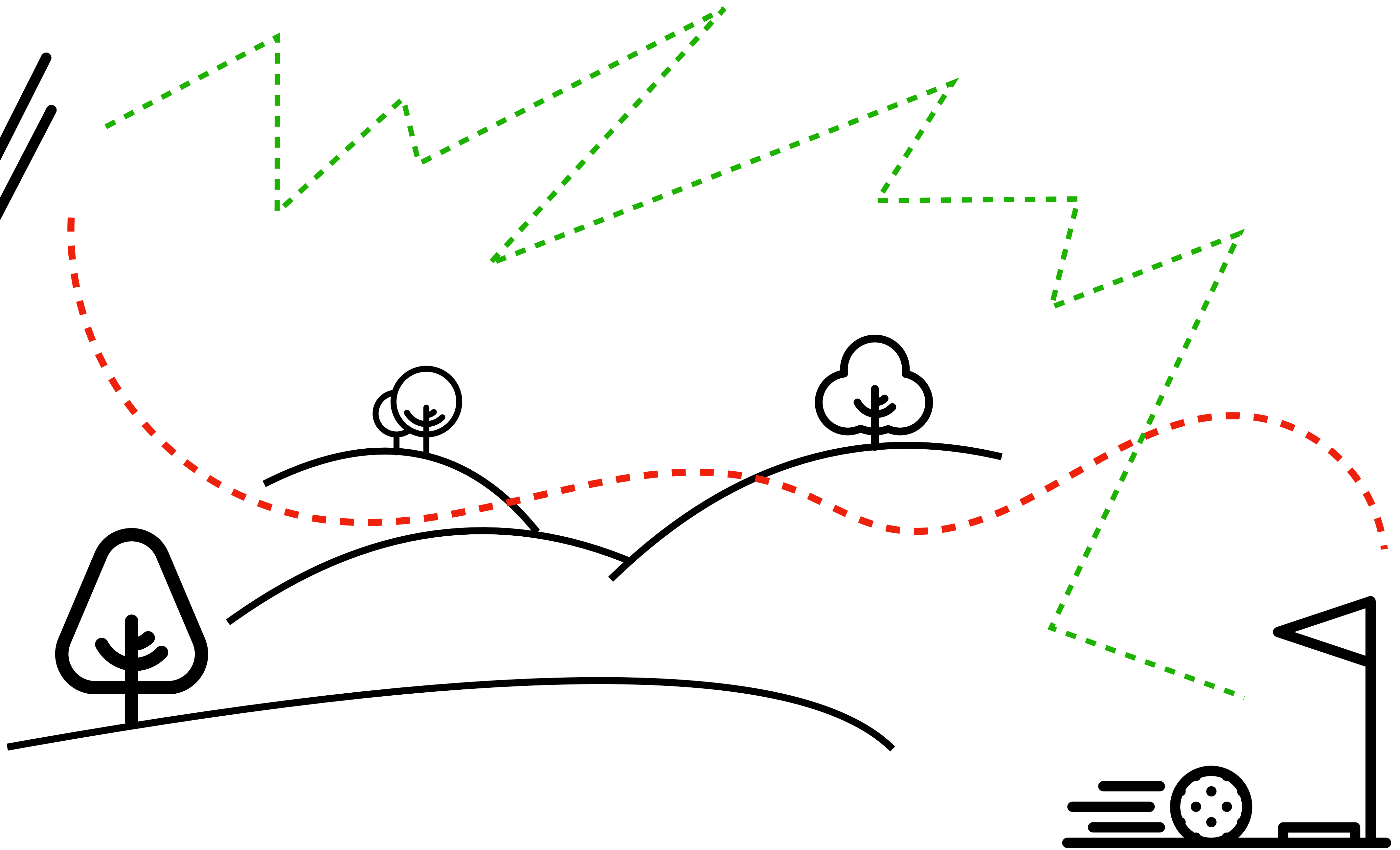
Richard E.  
Bellman

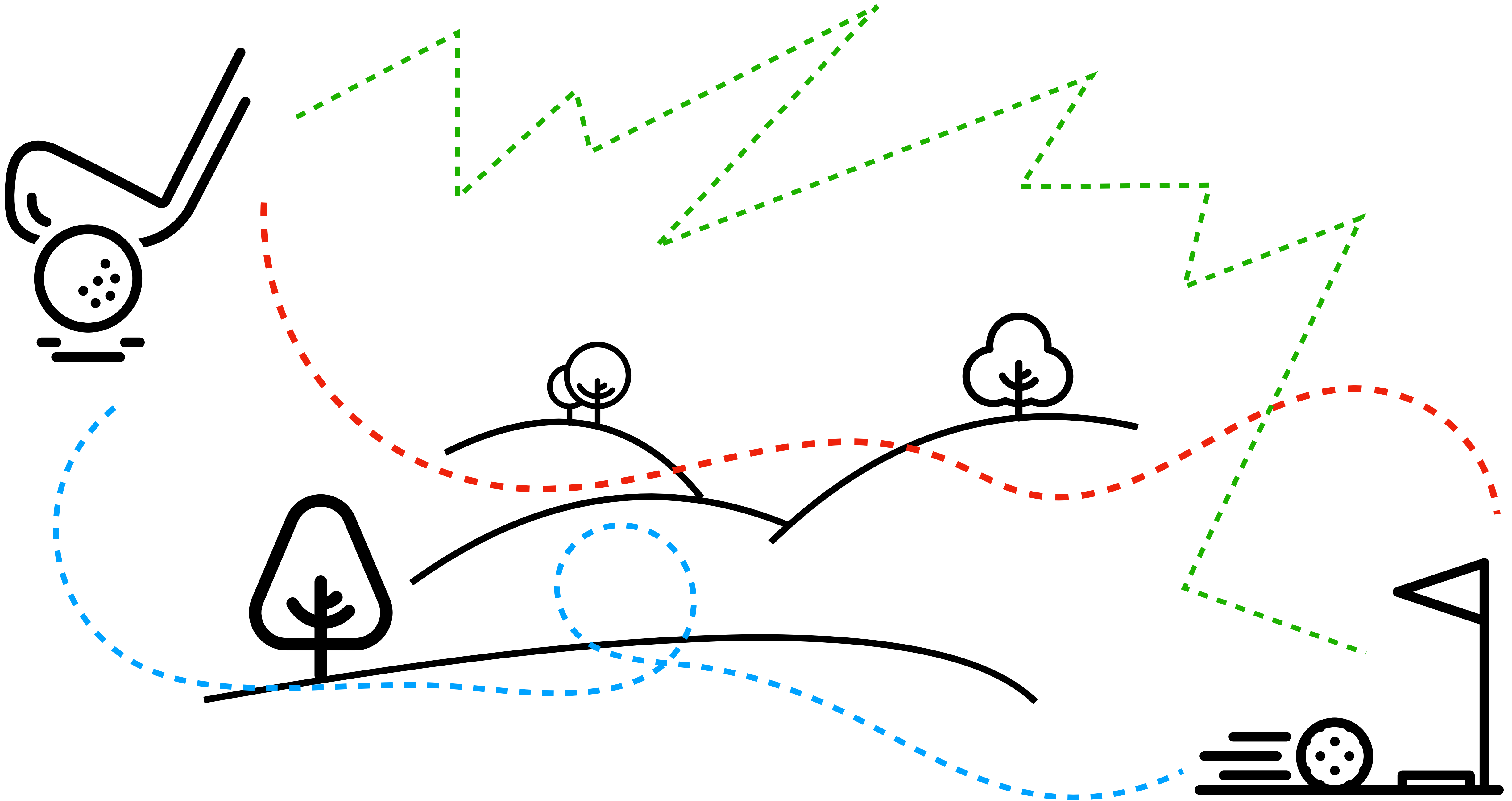














how does the ball *know* where to roll??

how does the ball *know* where to roll??

It doesn't. It just follows  
Newton's laws at every  
instant in time

$$F=ma$$

how does the ball *know* where to roll??

It doesn't. It just follows  
Newton's laws at every  
instant in time

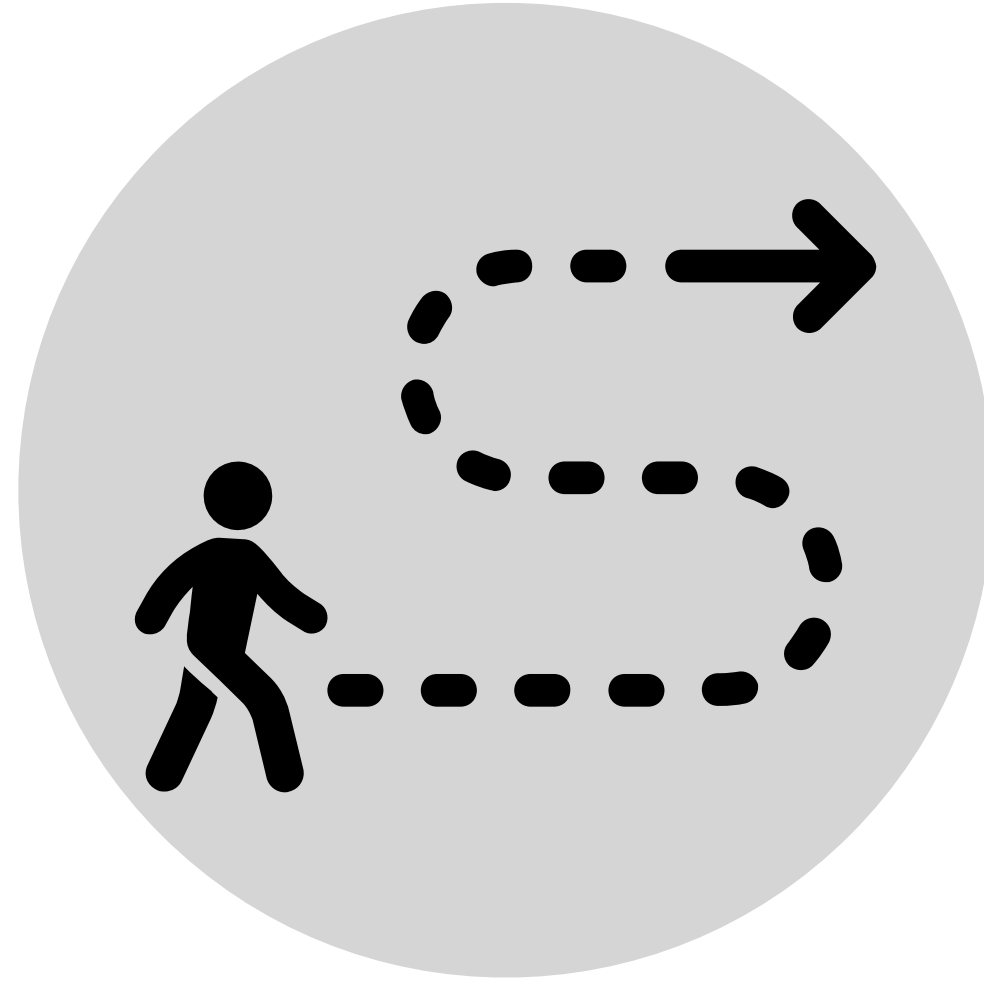
$$F=ma$$

Every path has a score called  
"action". The actual path is  
the one with the lowest score

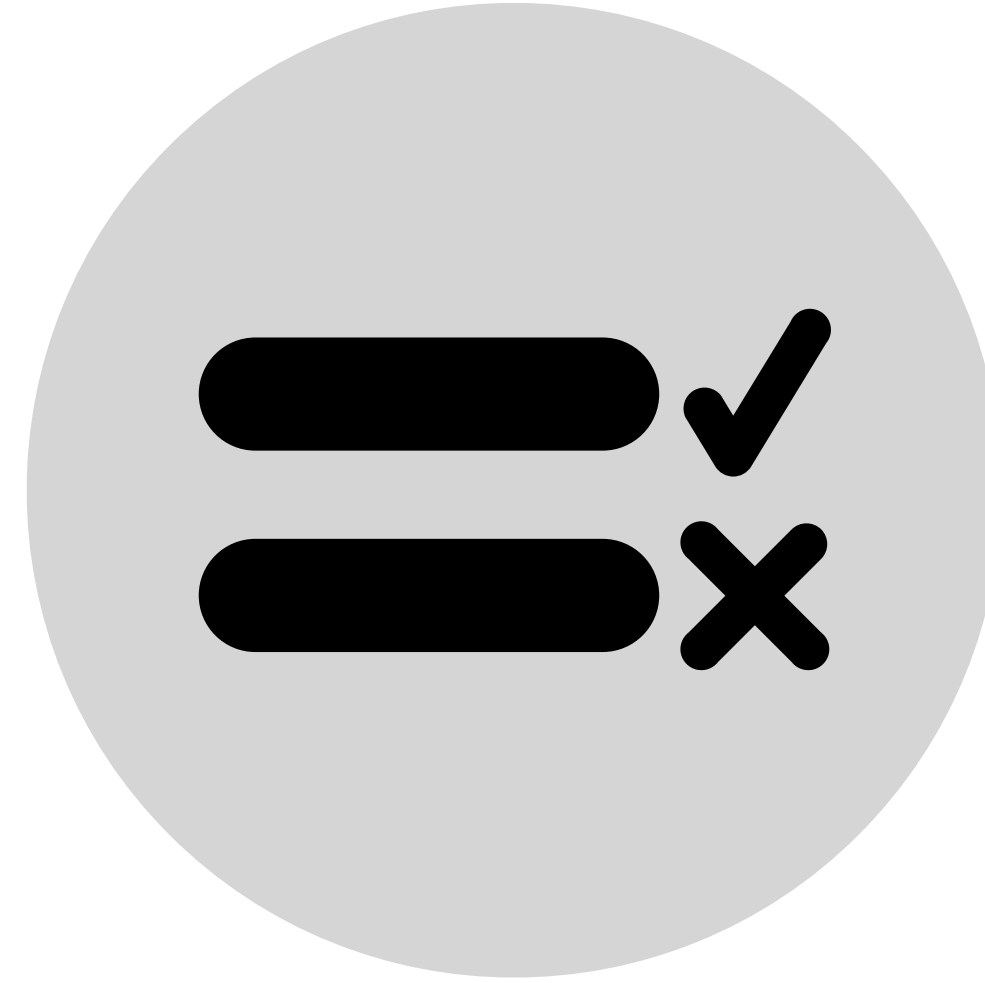
$$\int \mathcal{L} dt$$



observed path



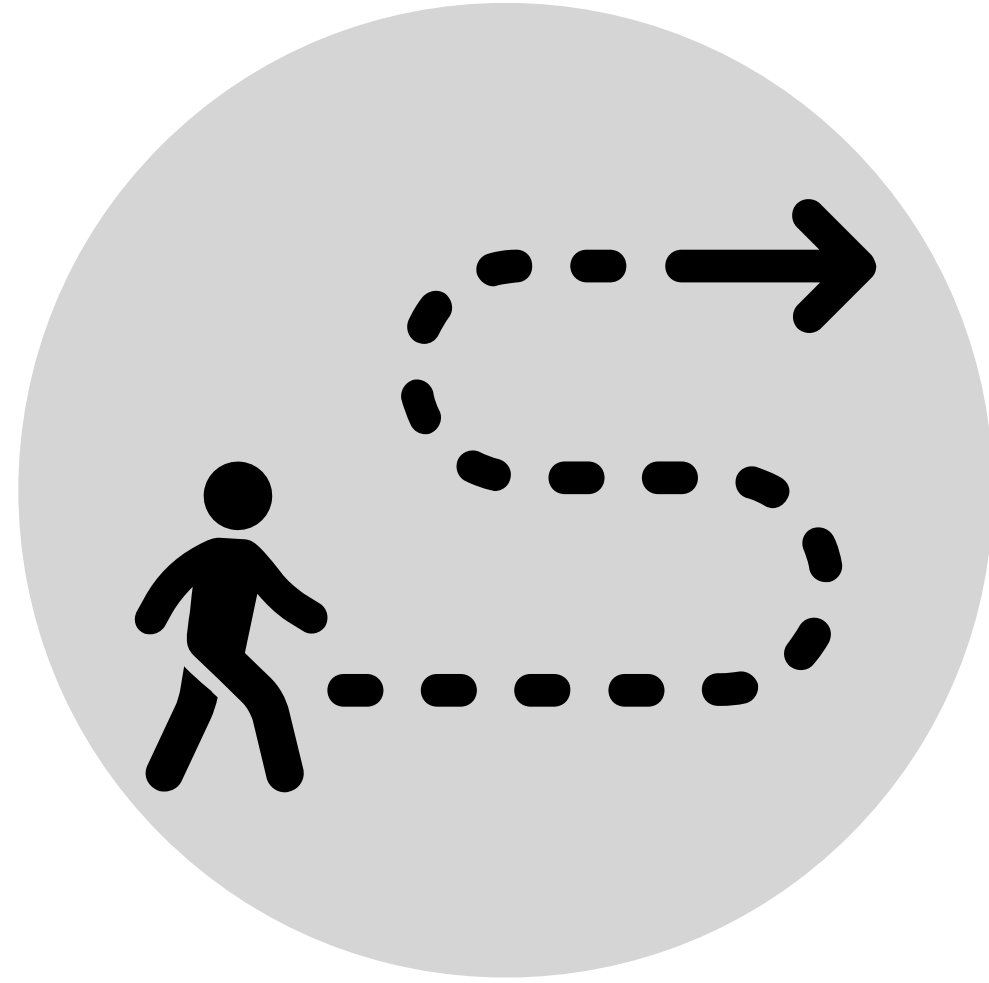
instantaneous rule



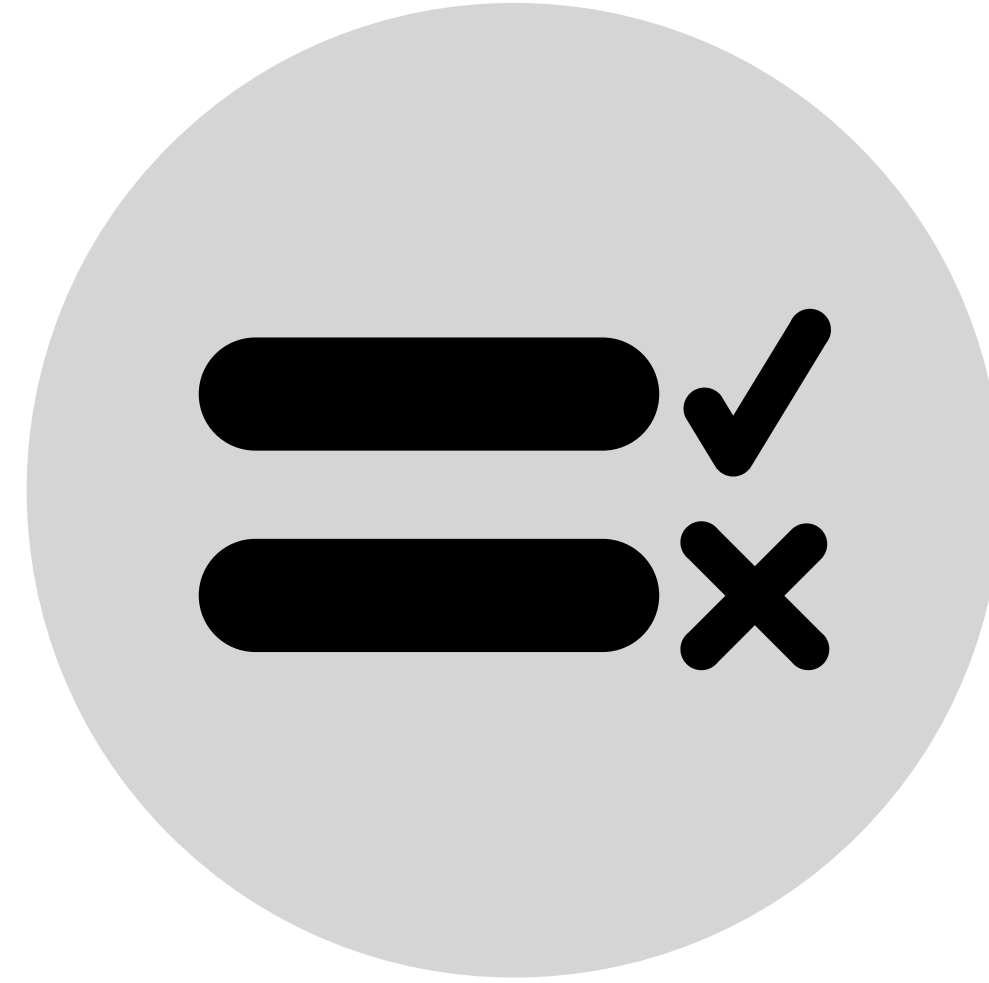
global principle



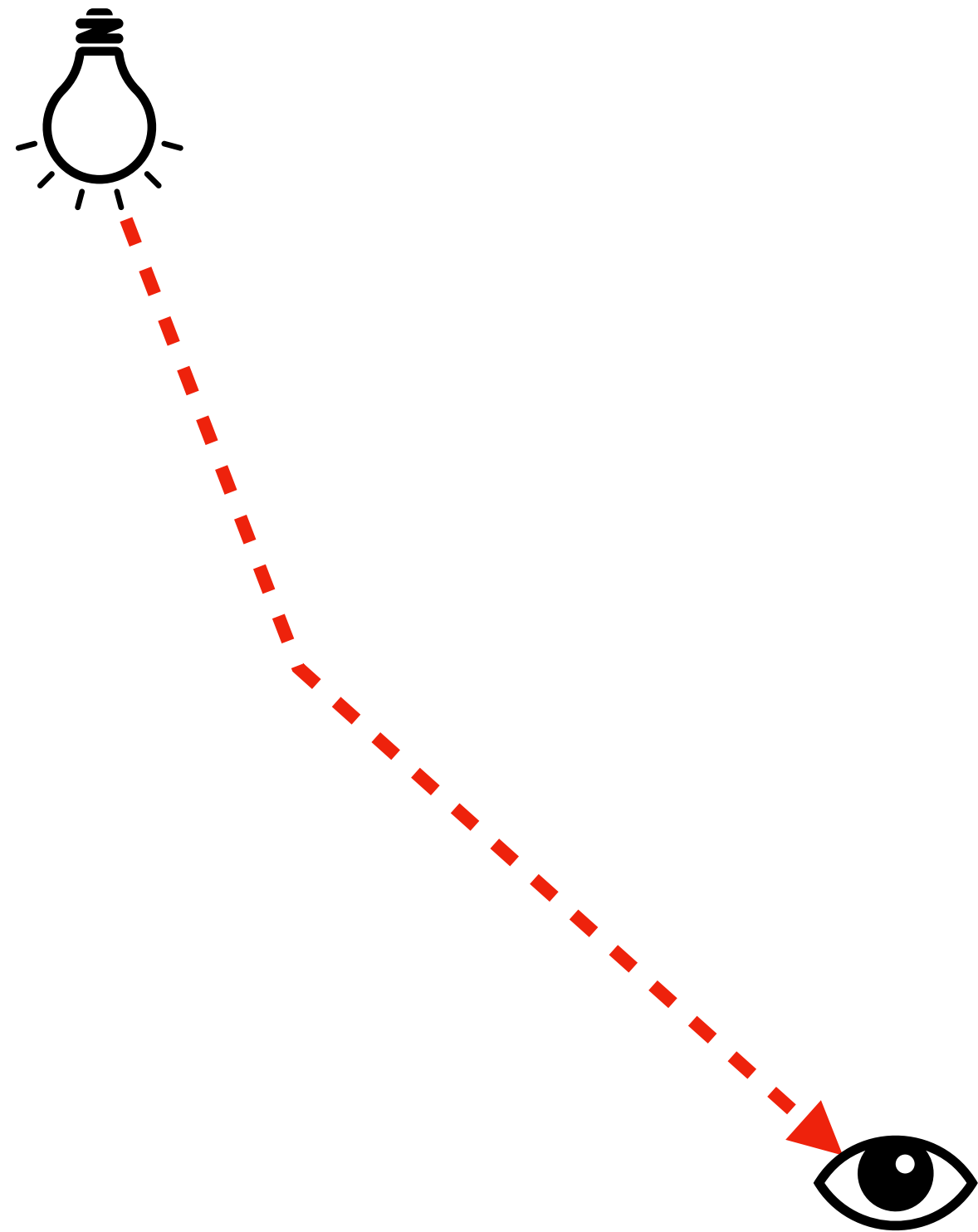
observed path



instantaneous rule

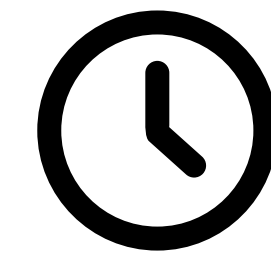


global principle

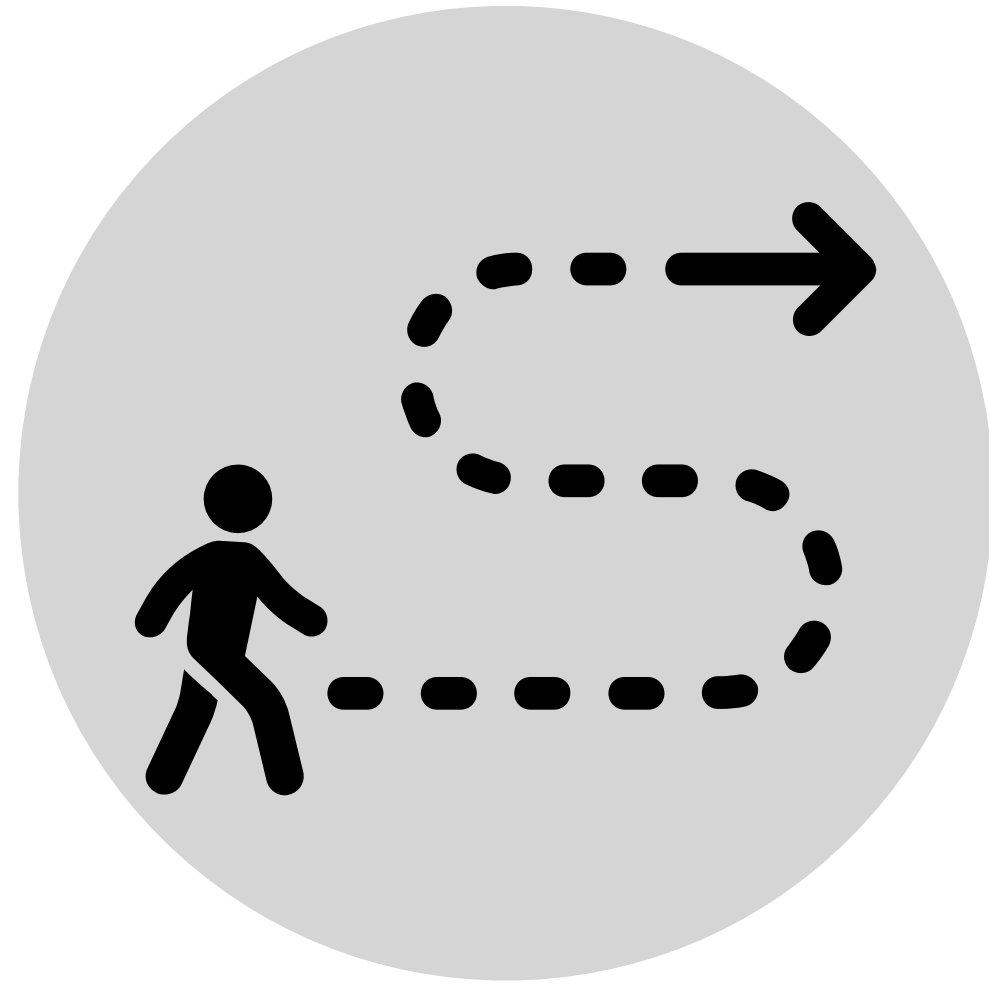


$$\frac{\sin(\theta_1)}{v_1} = \frac{\sin(\theta_2)}{v_2}$$

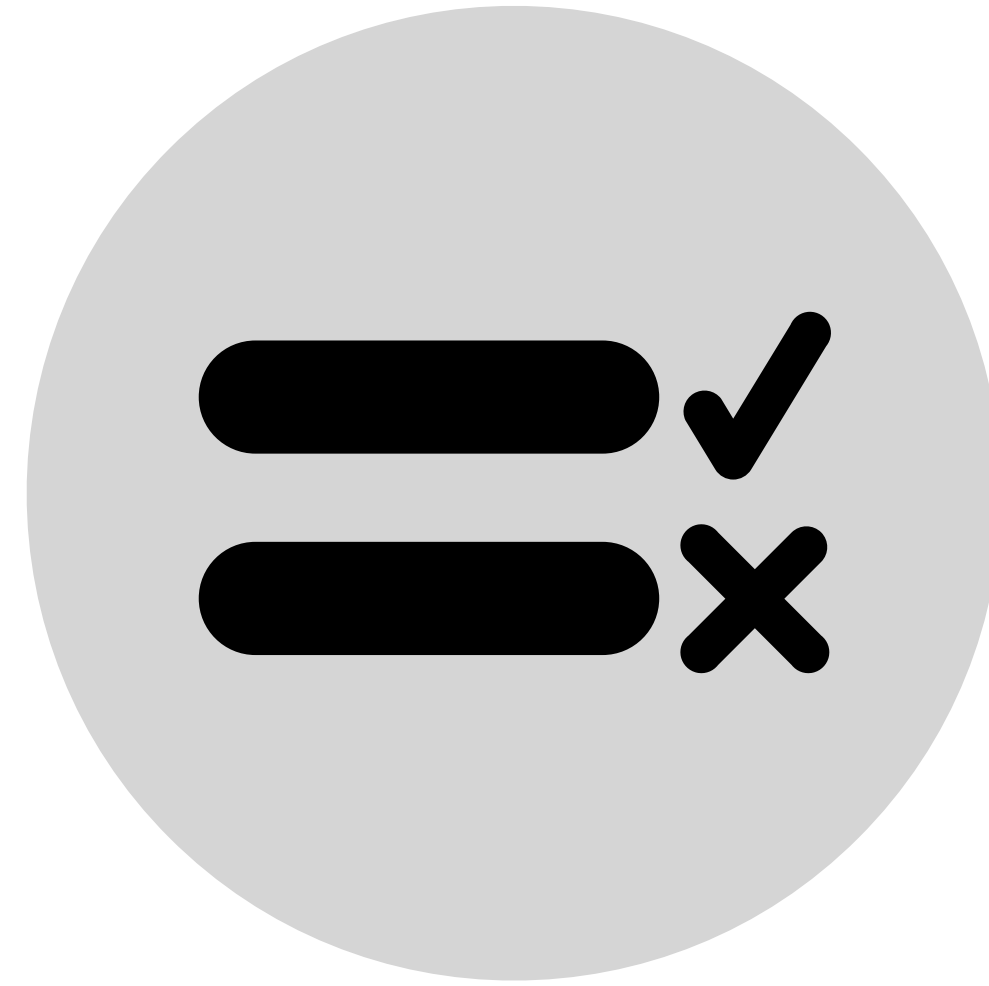
min time



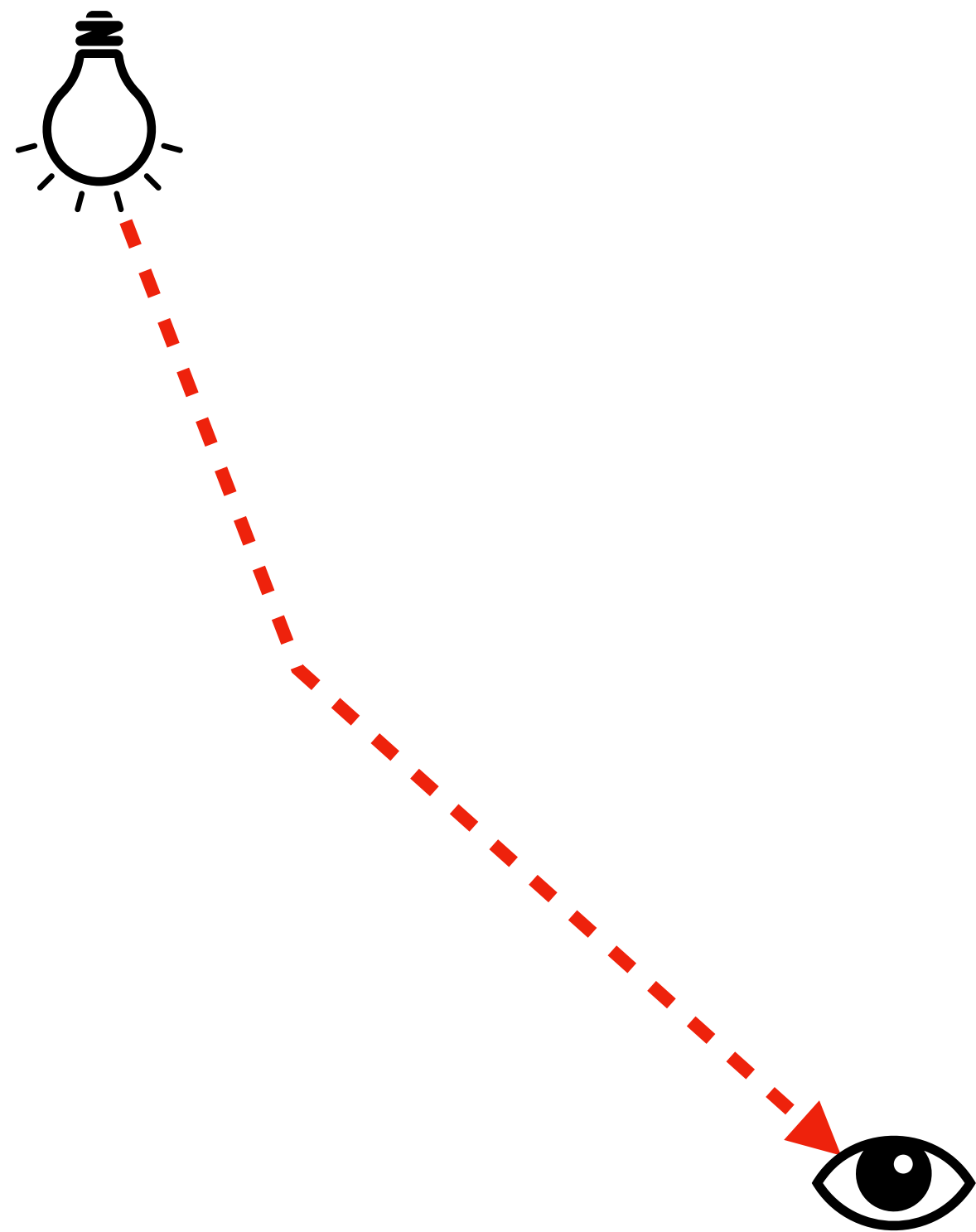
observed path



instantaneous rule

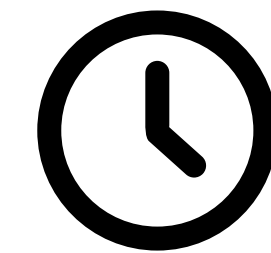


global principle



$$\frac{\sin(\theta_1)}{v_1} = \frac{\sin(\theta_2)}{v_2}$$

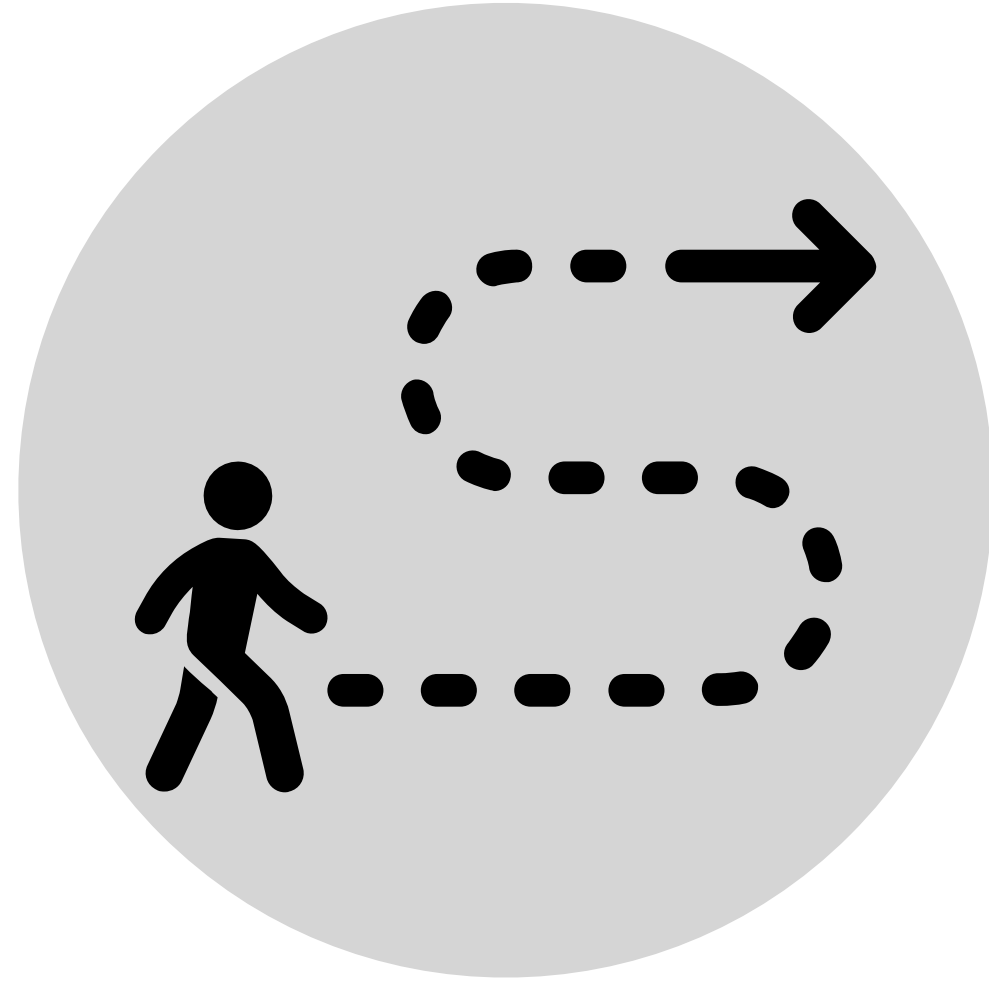
min time



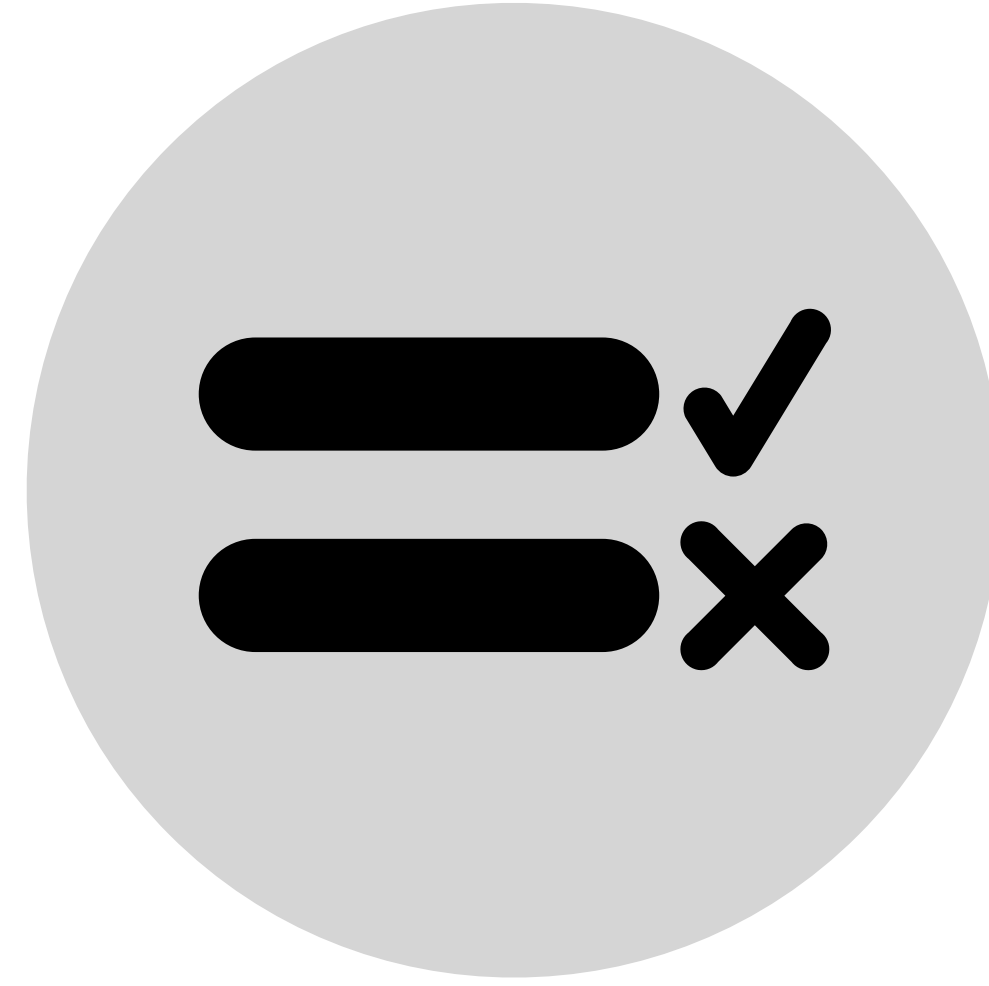
Fermat's principle



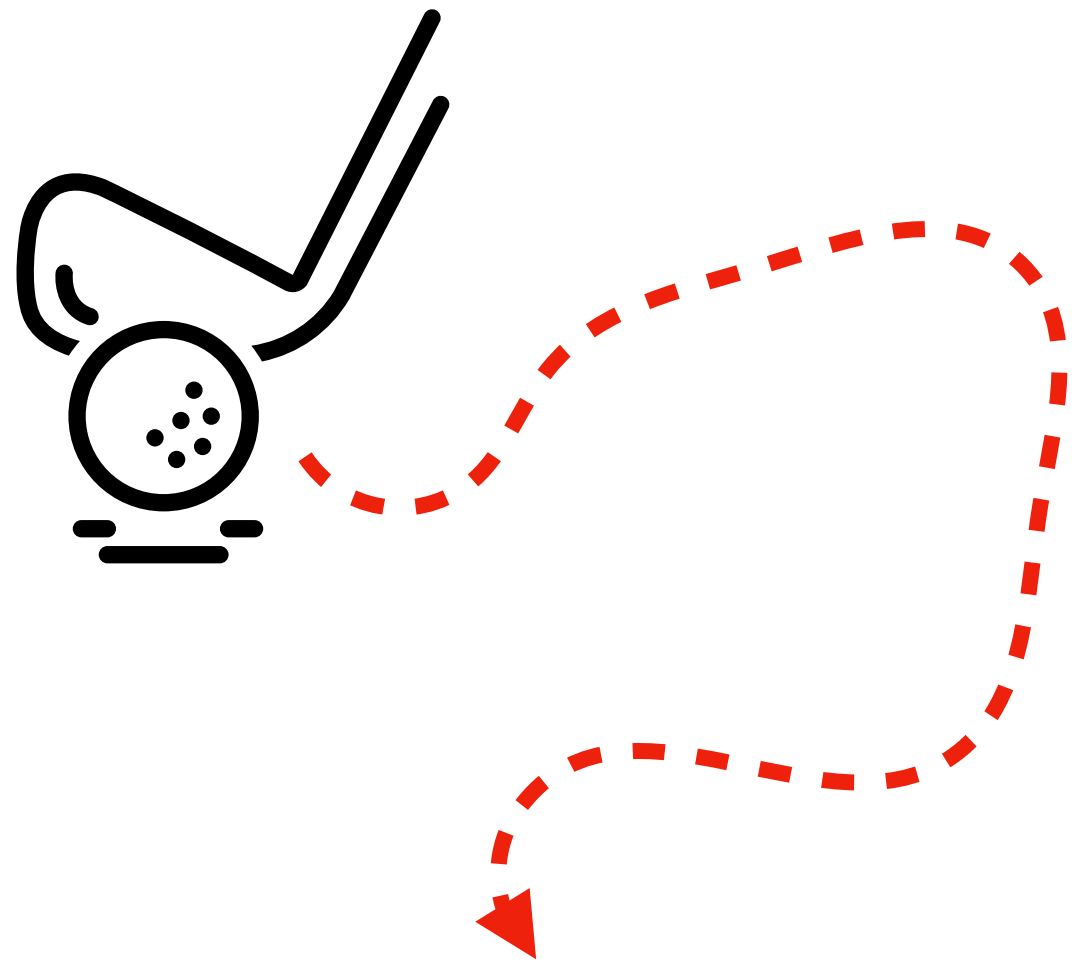
observed path



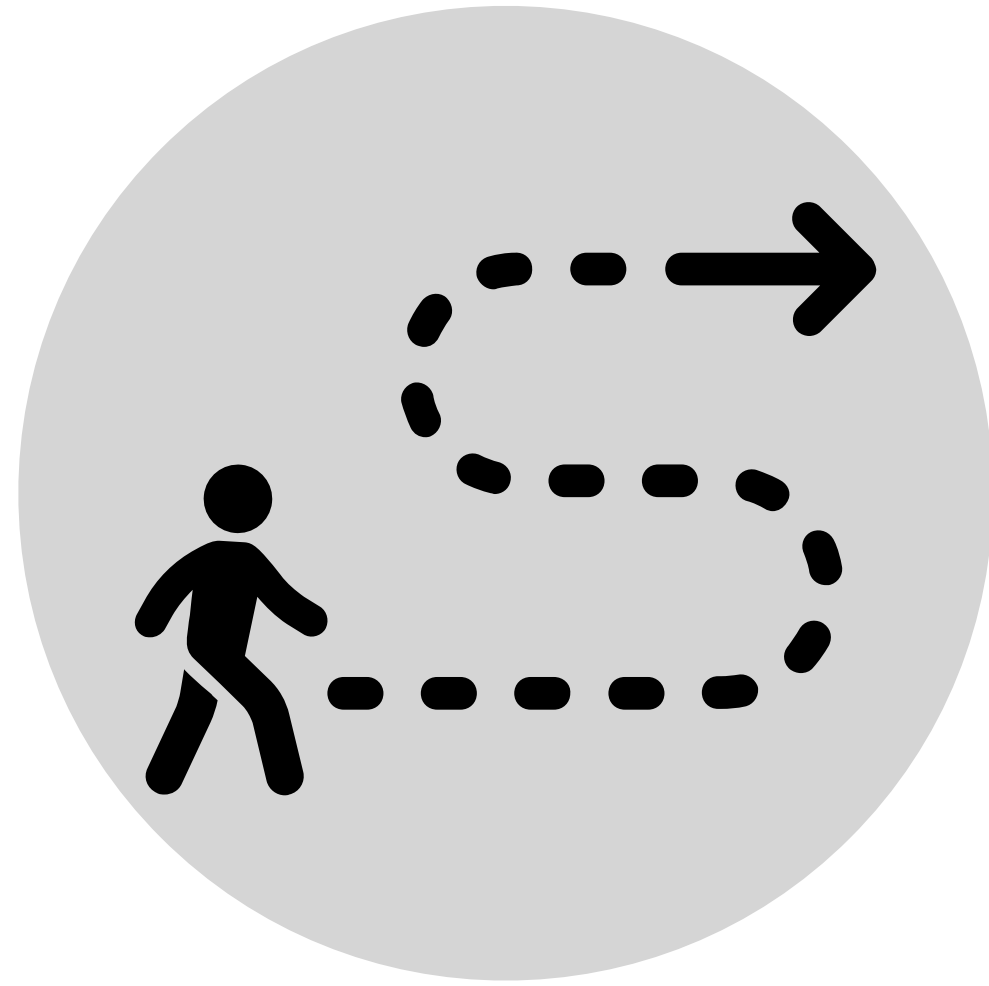
instantaneous rule



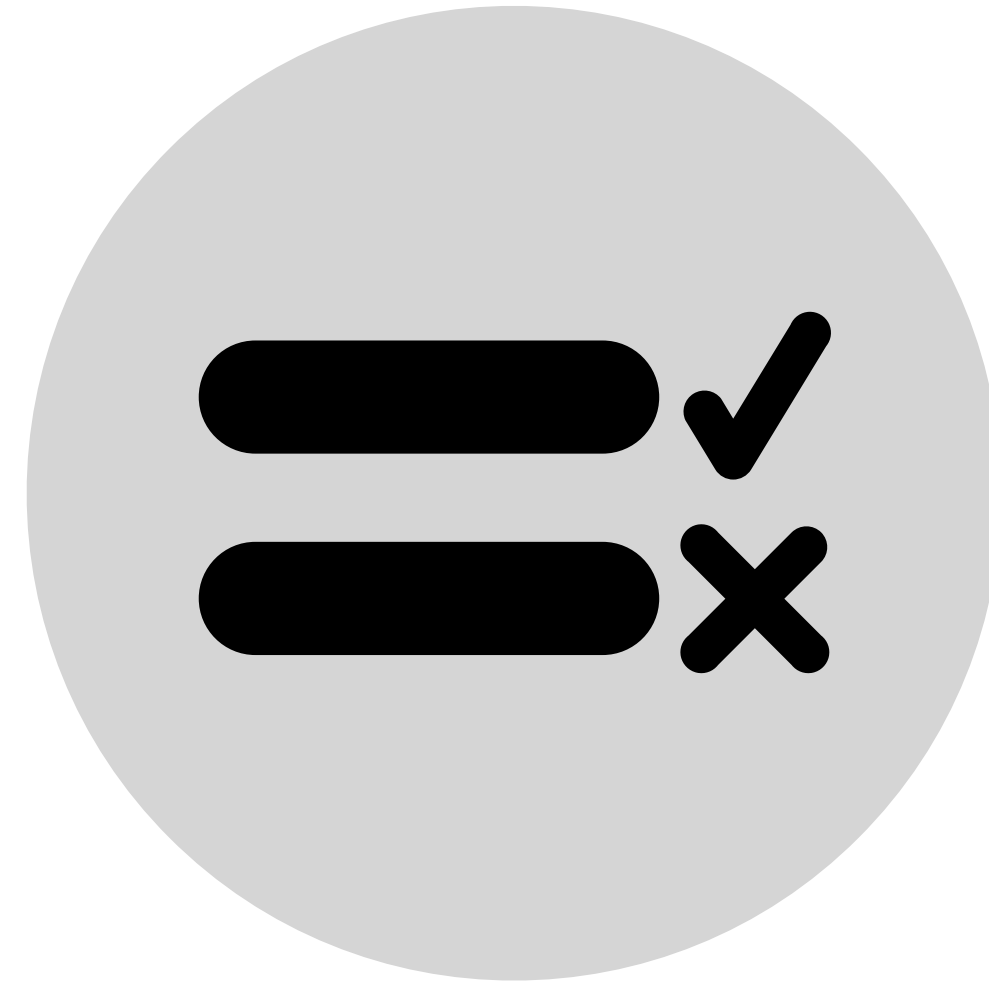
global principle



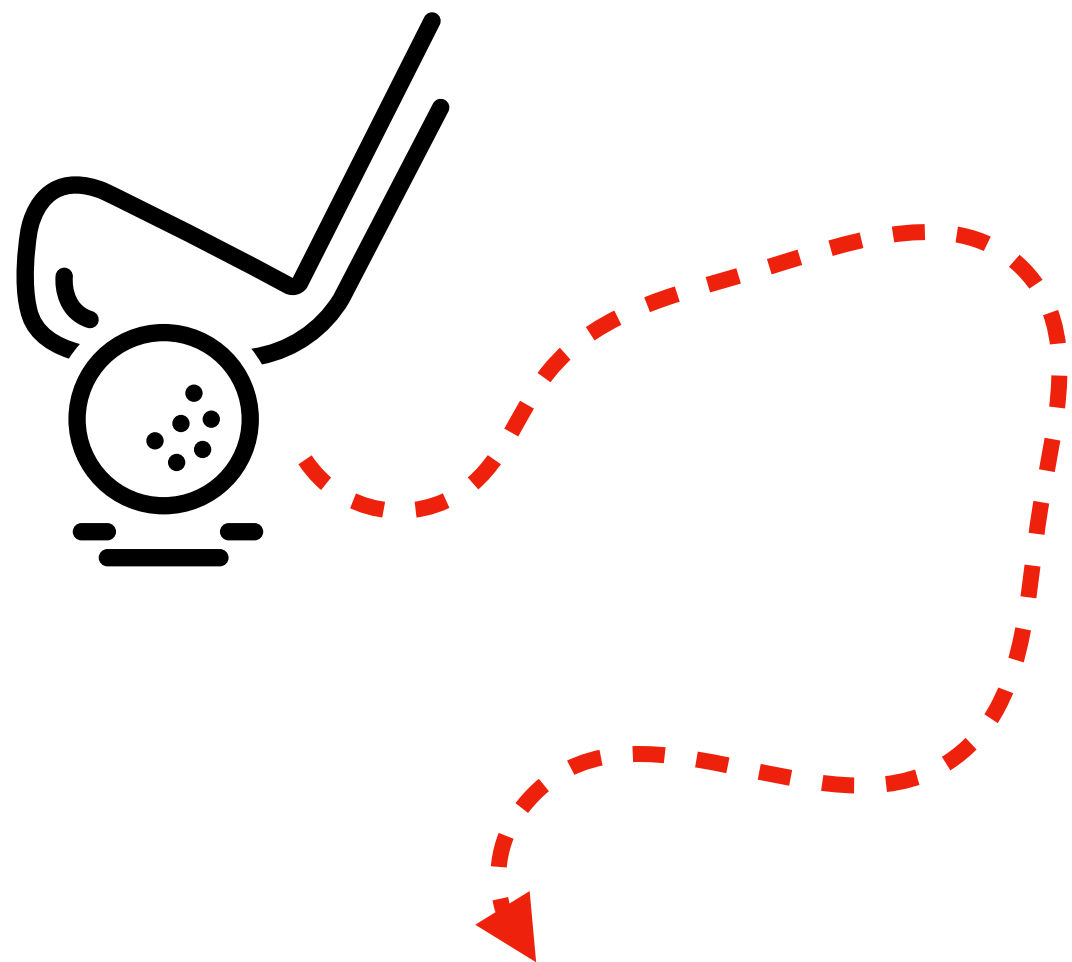
observed path



instantaneous rule



global principle

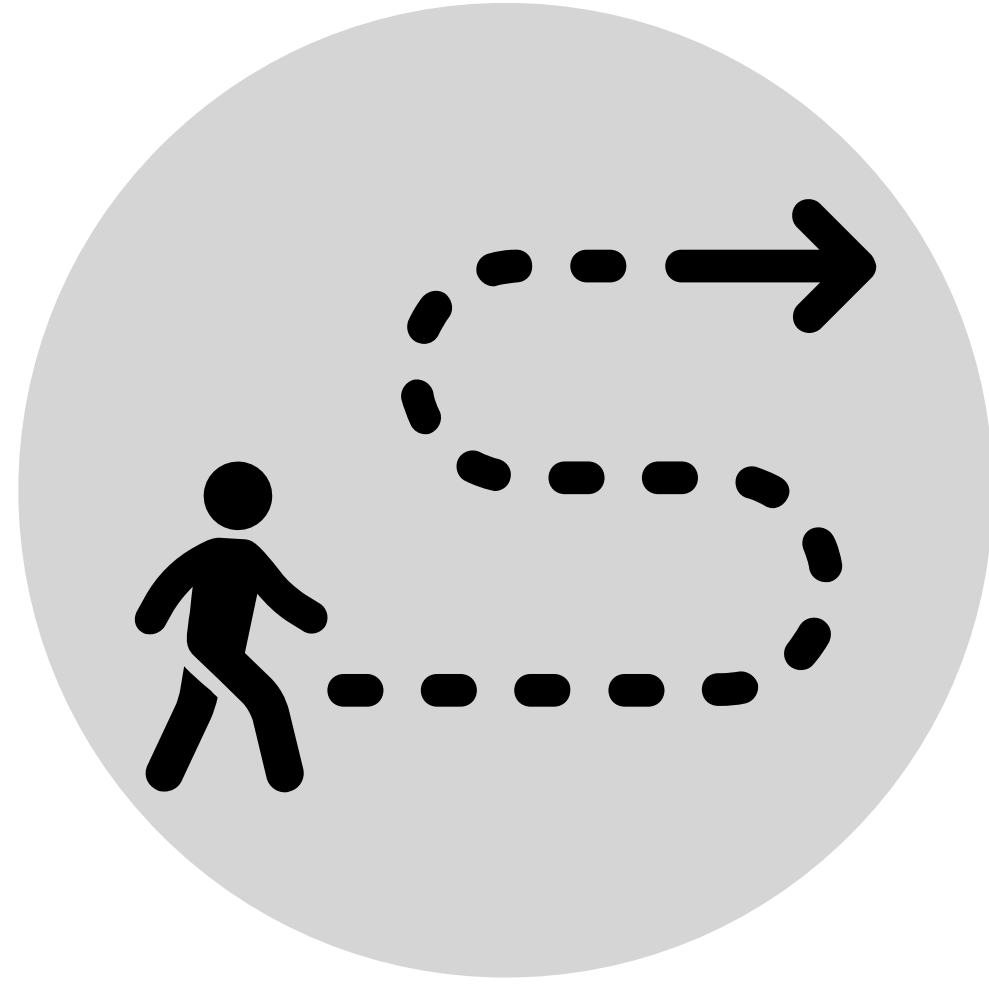


$$F=ma$$

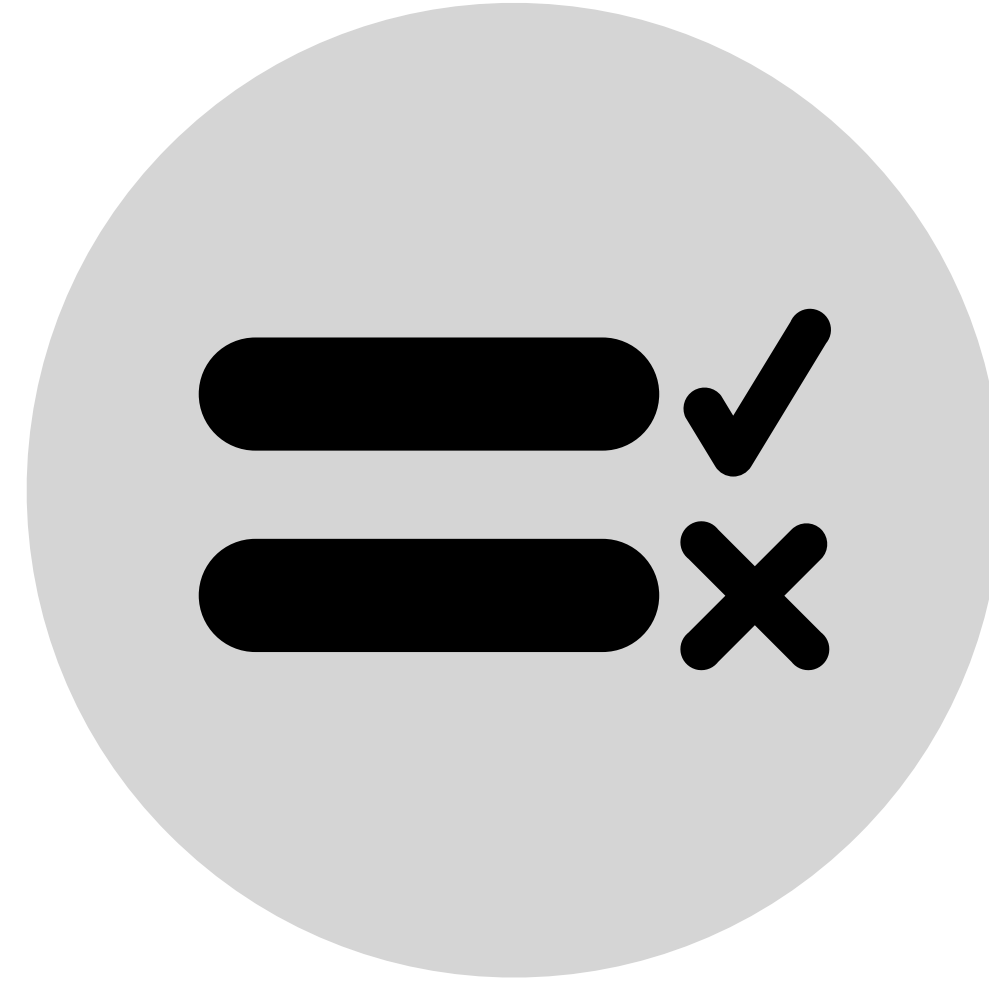
min action

$$\int \mathcal{L} dt$$

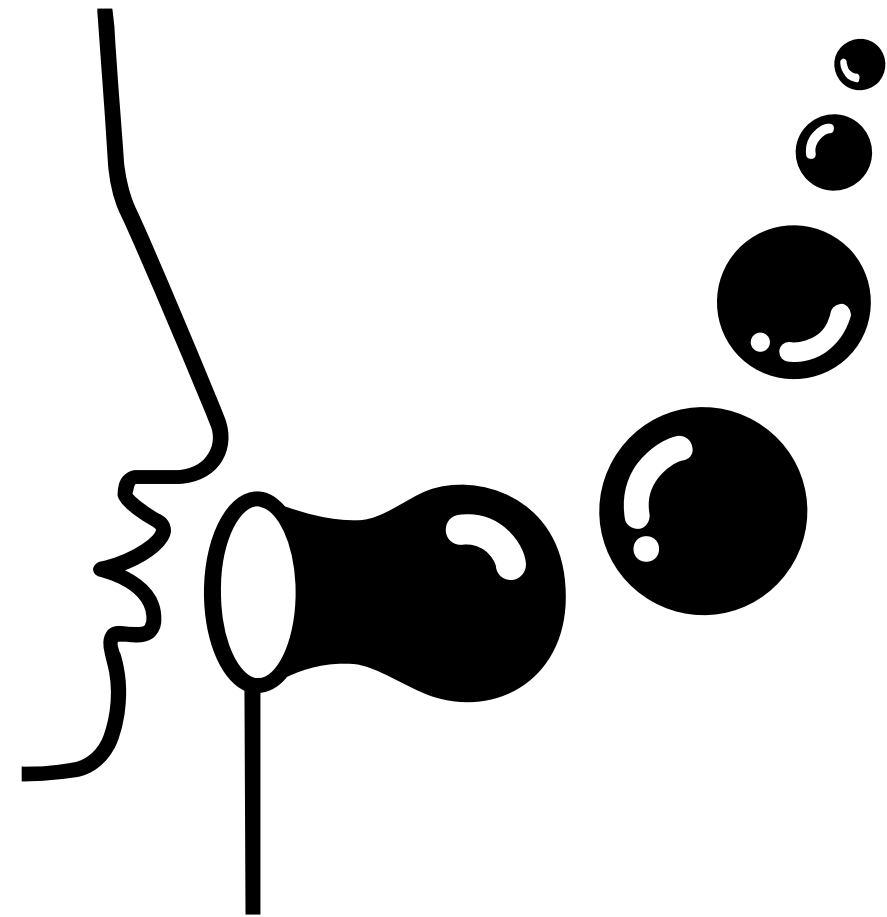
observed path



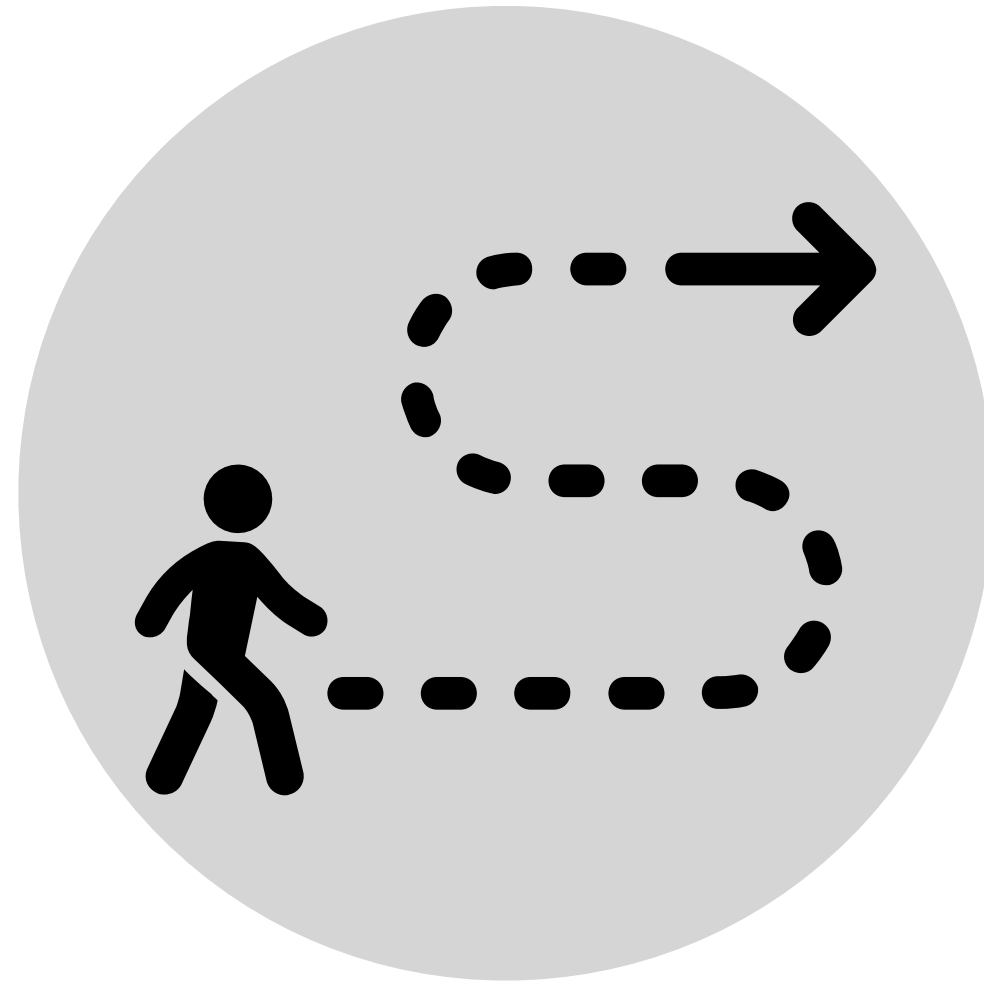
instantaneous rule



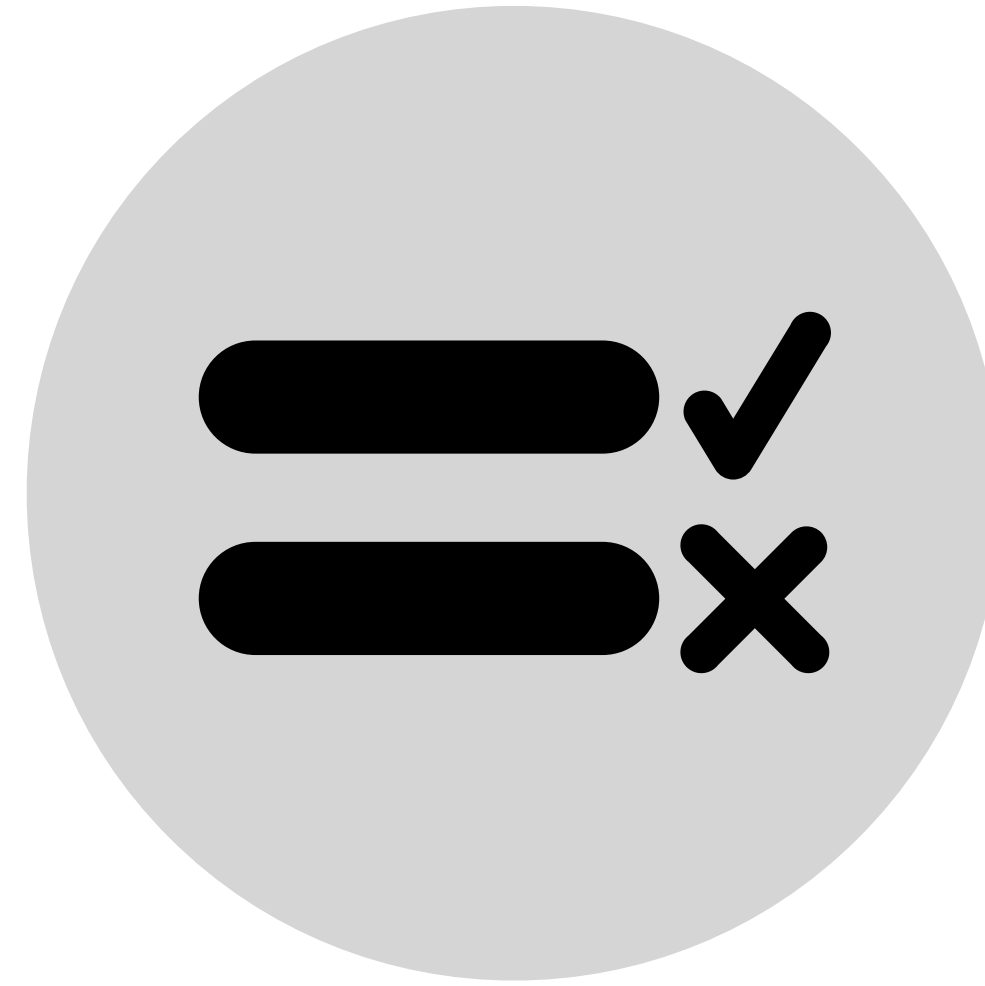
global principle



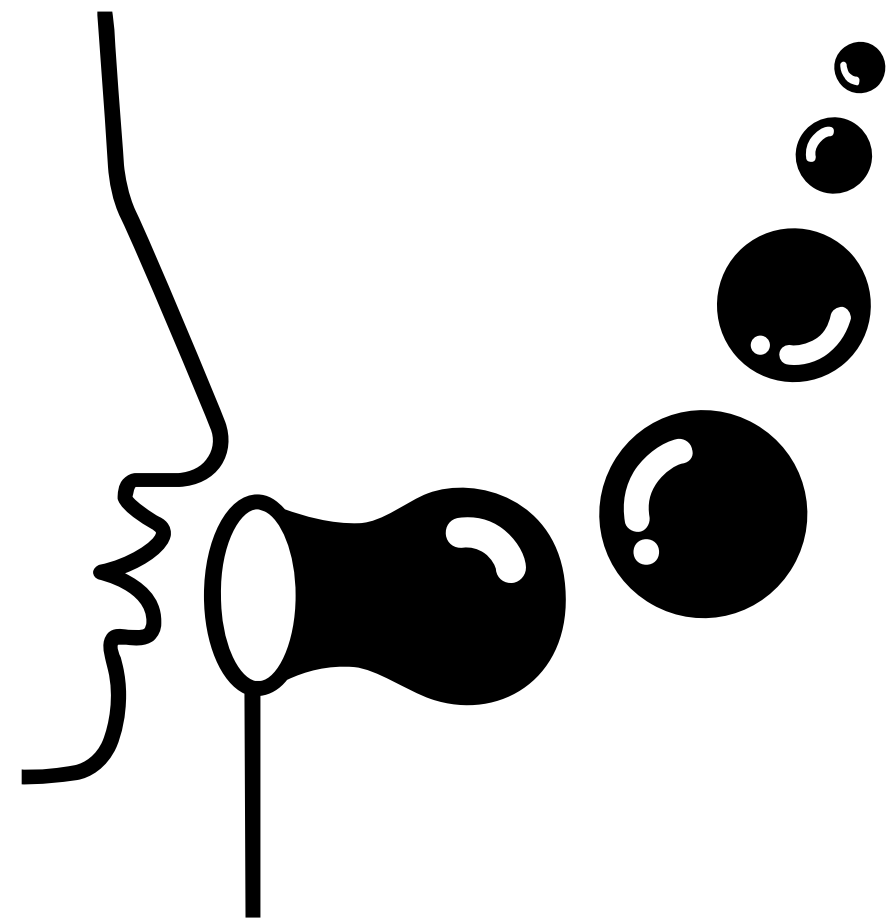
observed path



instantaneous rule



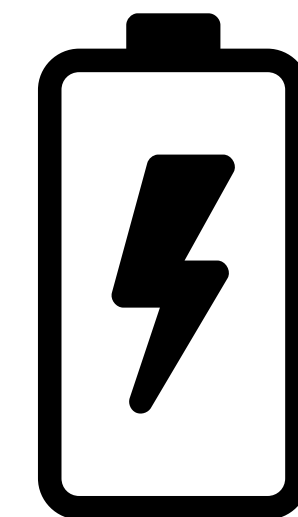
global principle



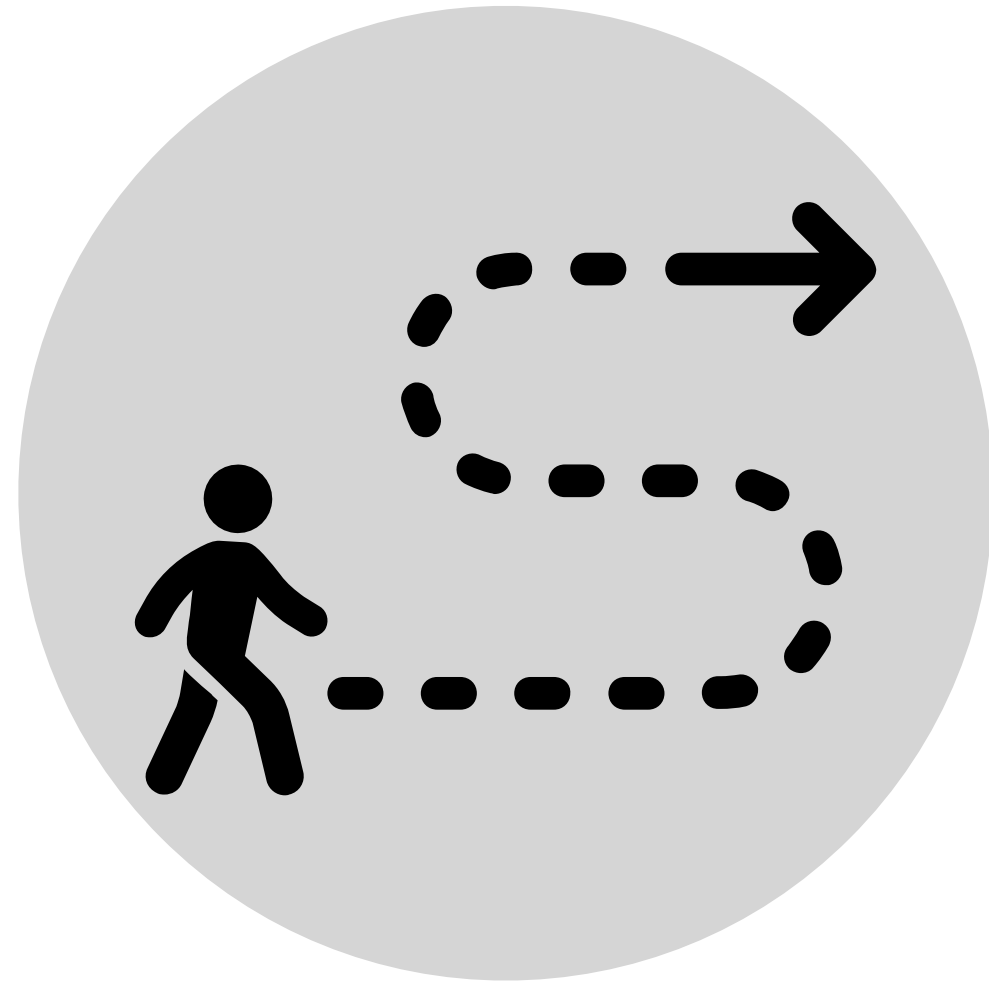
$$\Delta p = \frac{4\gamma}{R}$$

Young-Laplace

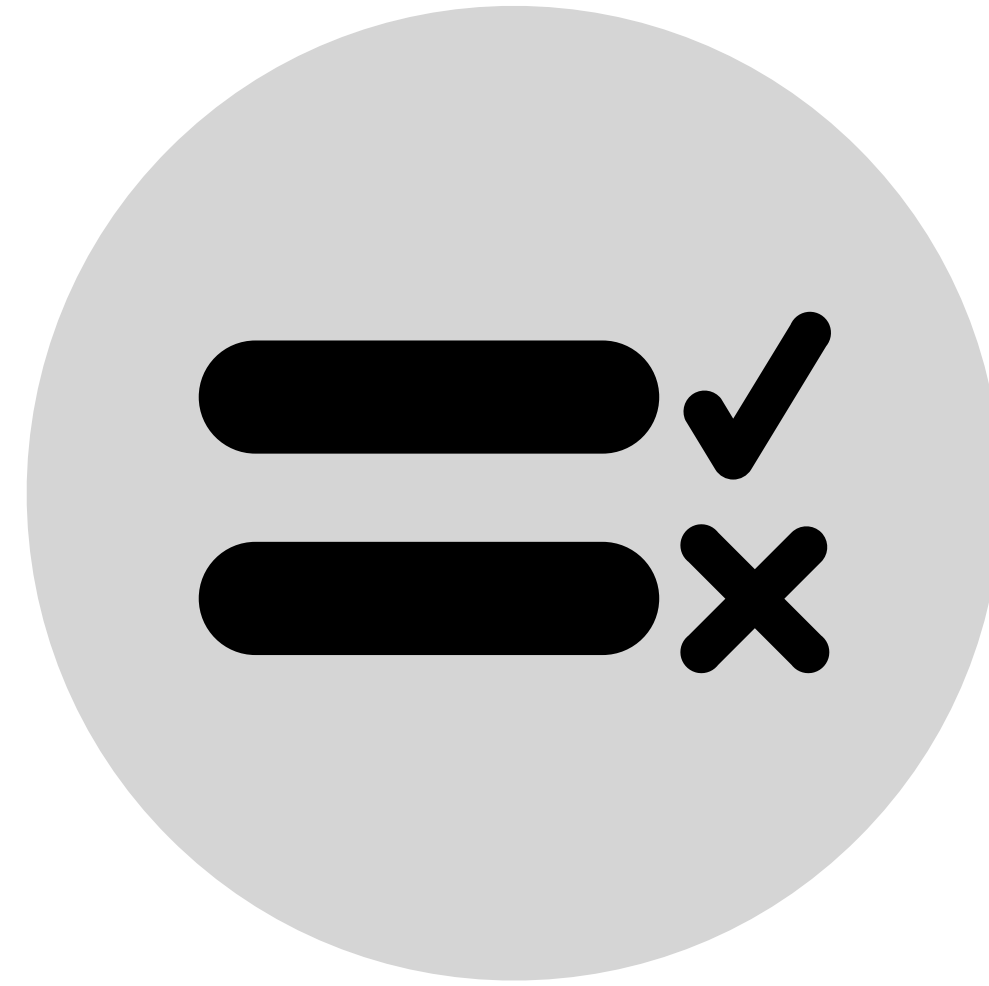
min energy



observed path



instantaneous rule

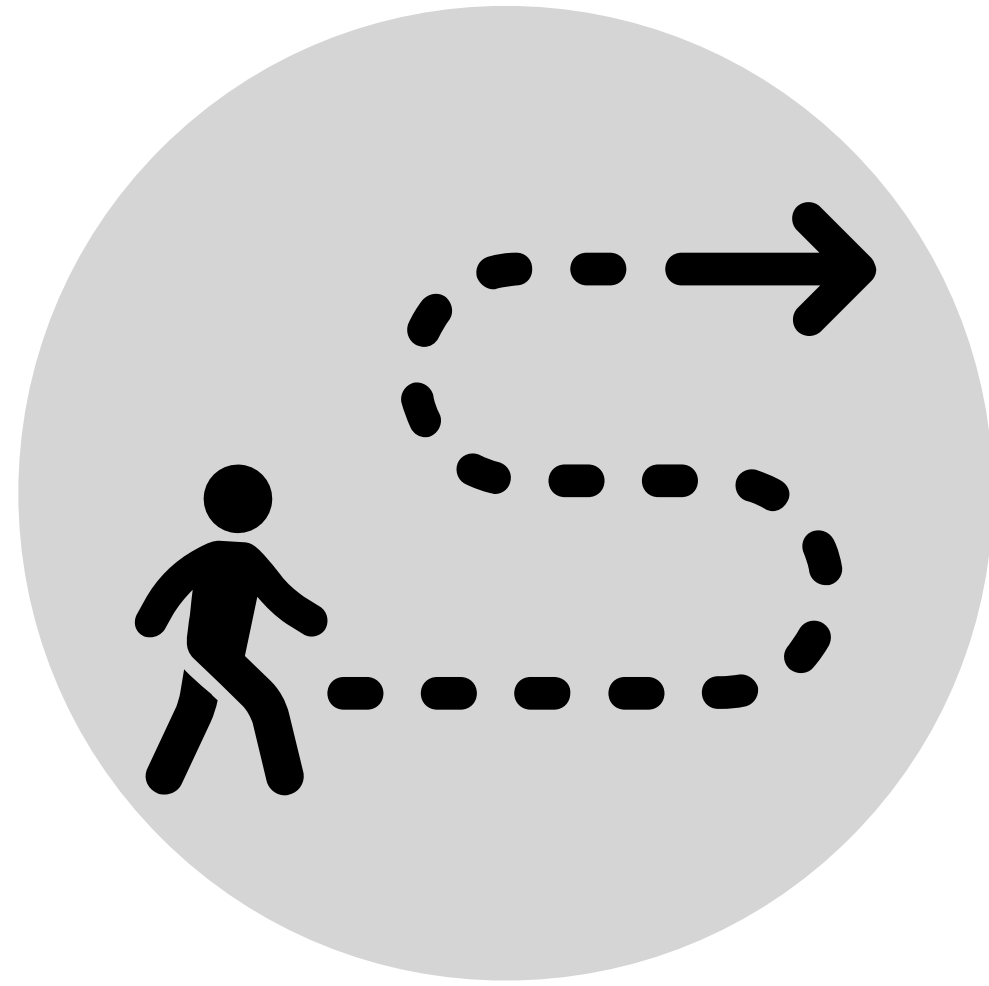


global principle

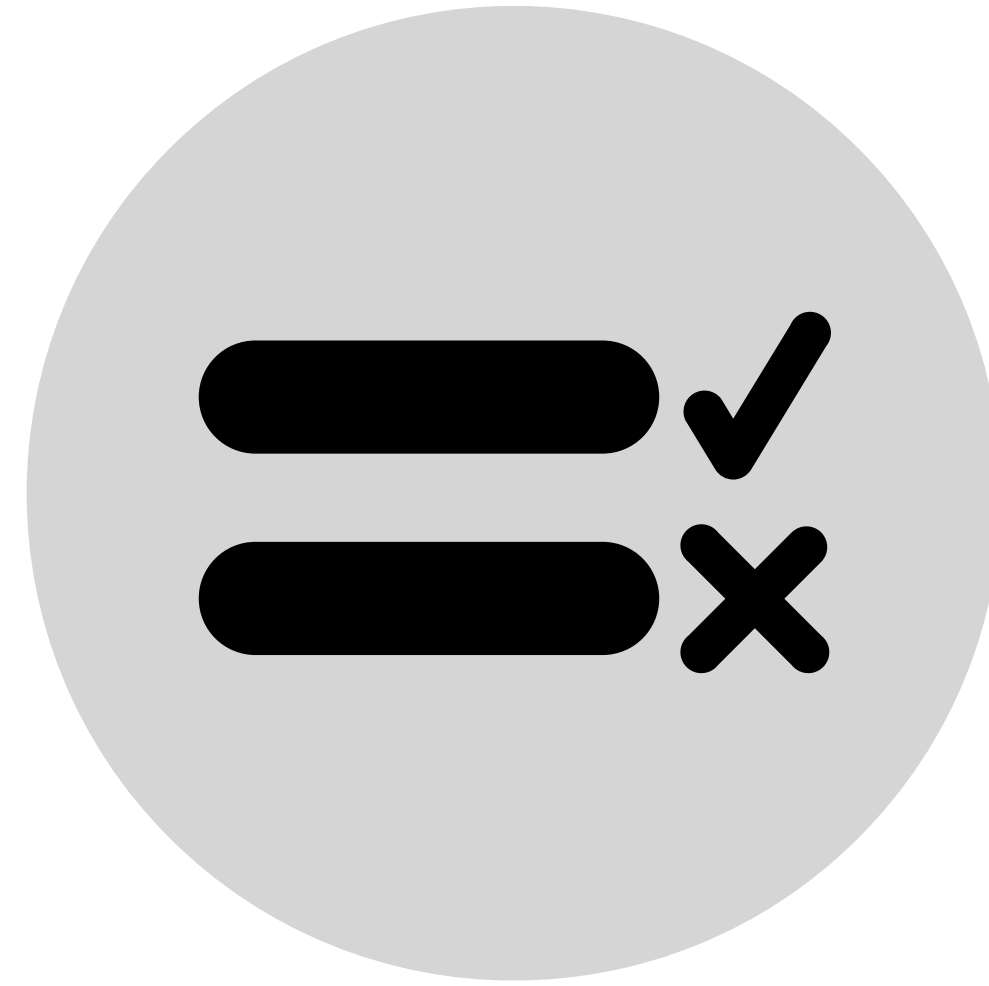




observed path



instantaneous rule



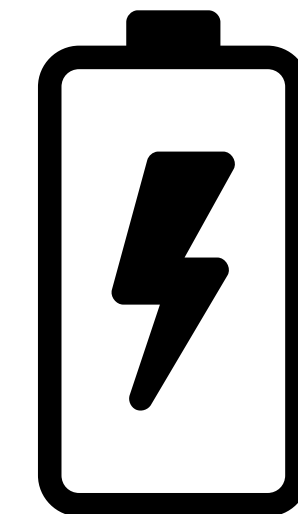
global principle



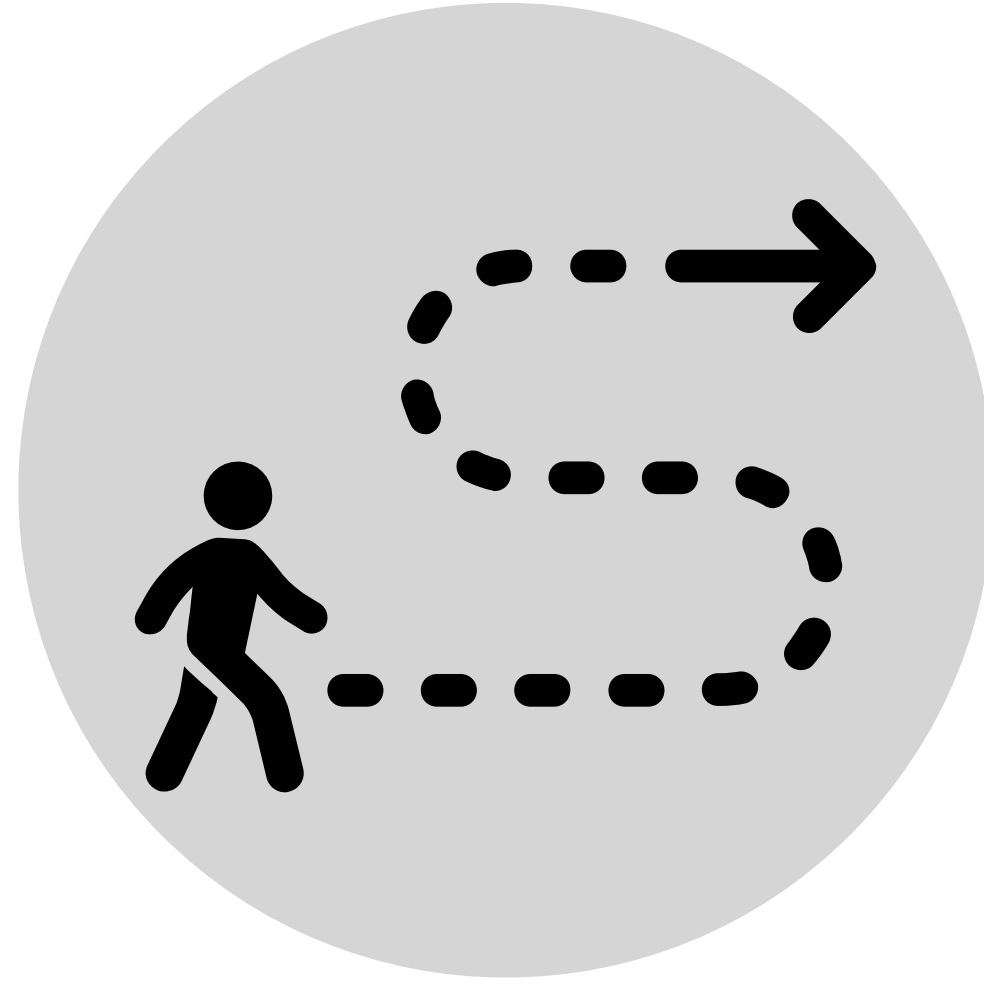
$$y = \frac{1}{a} \cosh(ax)$$

catenary

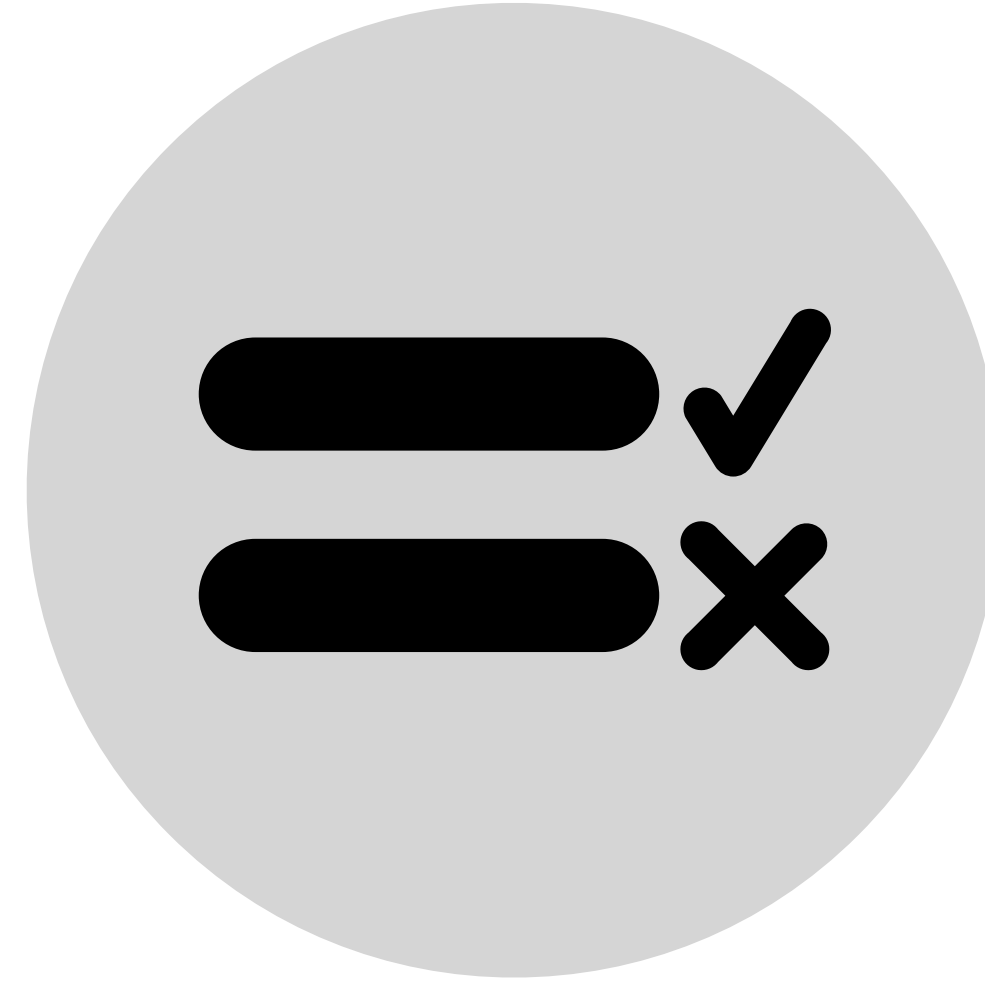
min potential



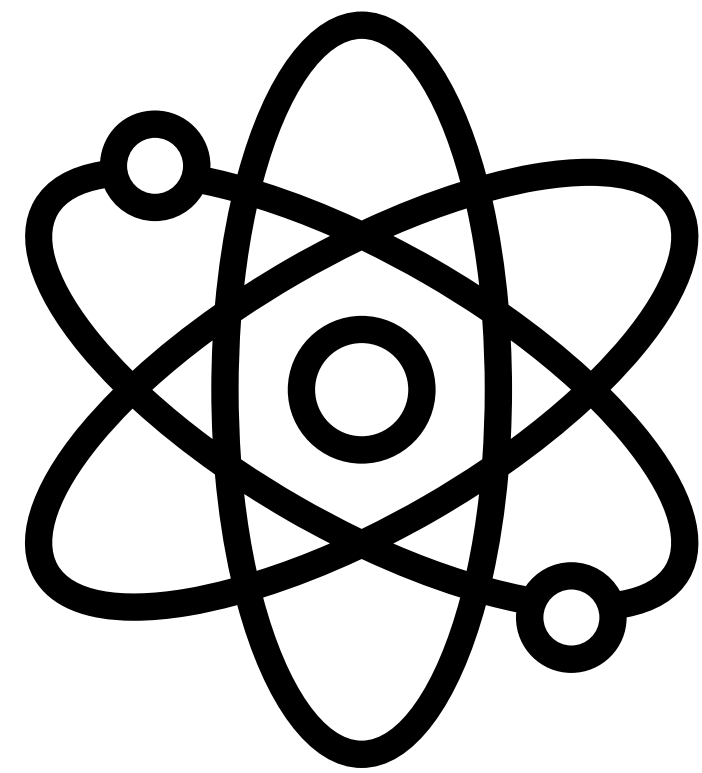
observed path



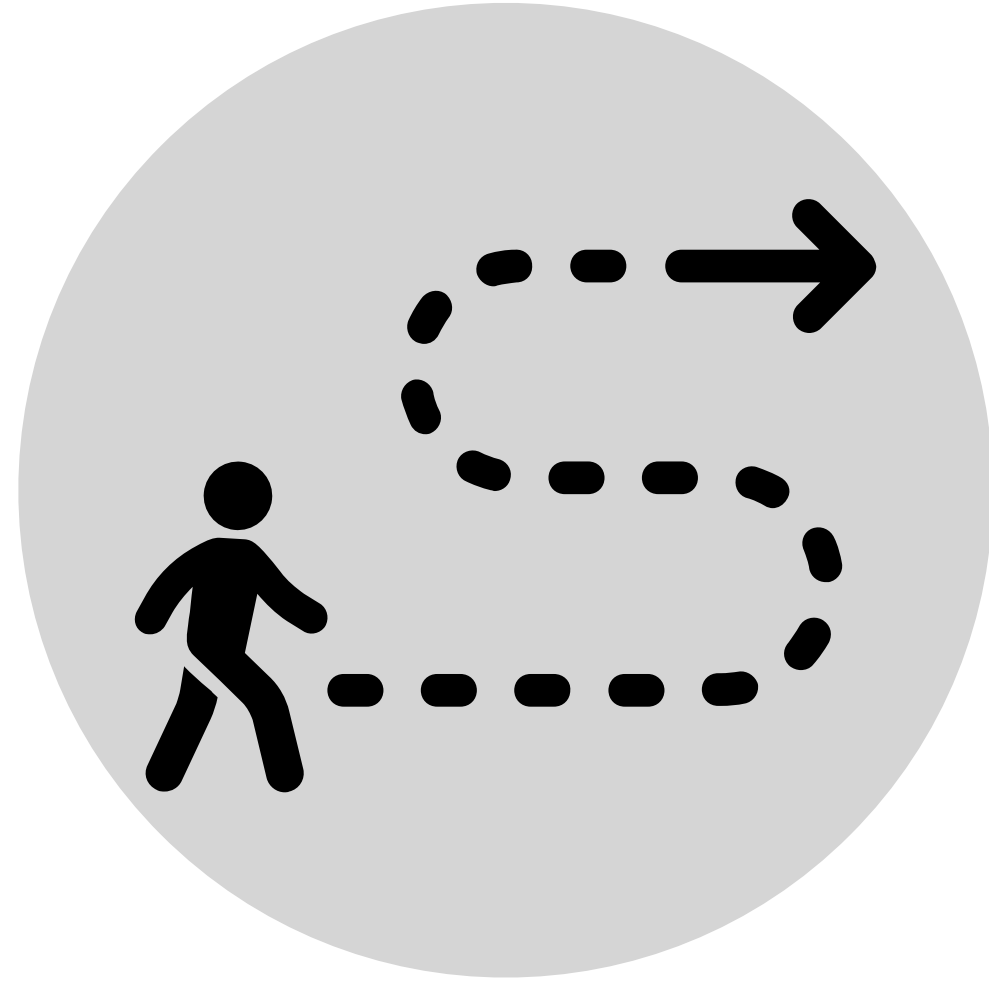
instantaneous rule



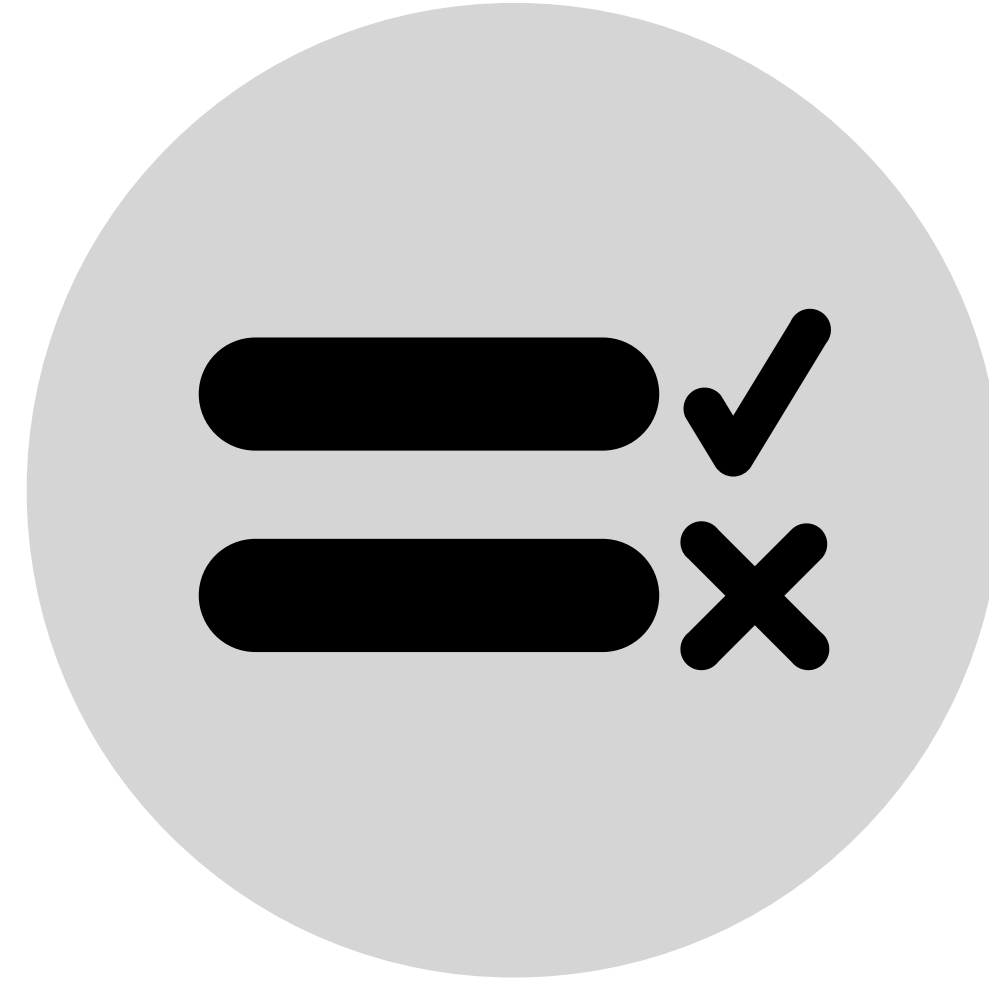
global principle



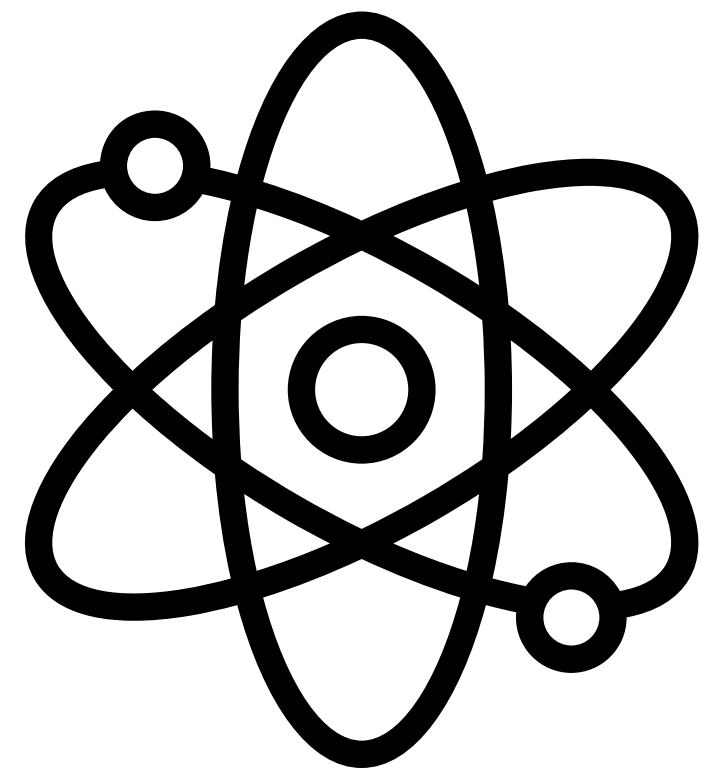
observed path



instantaneous rule



global principle



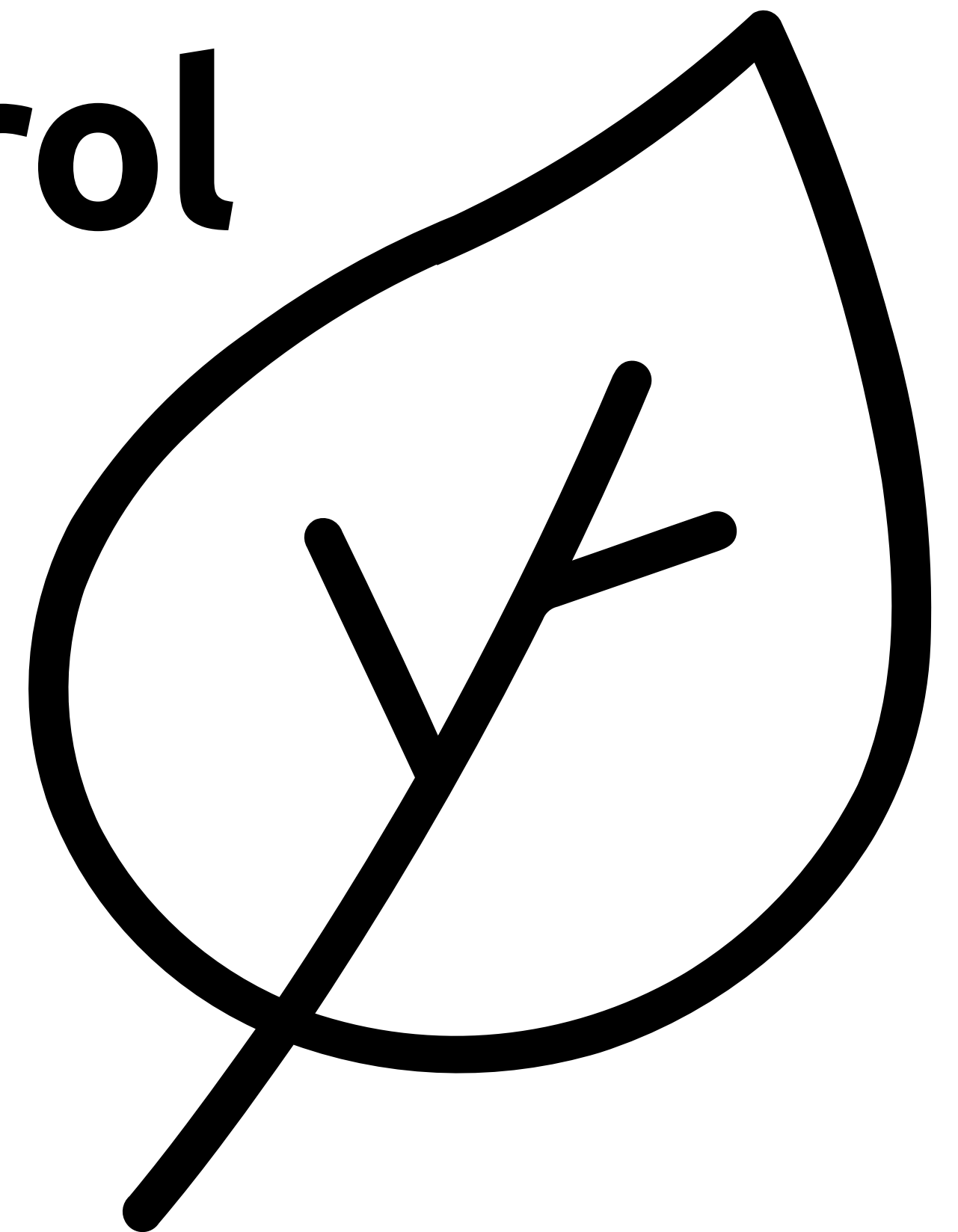
$$\begin{aligned}
 \mathcal{L} = & -\frac{1}{4} F_{\mu\nu} F^{\mu\nu} \\
 & + i \bar{\psi} \not{D} \psi + \text{h.c.} \\
 & + \psi_i^\dagger g_{ij} \psi_j \phi + \text{h.c.} \\
 & + |D_\mu \phi|^2 - V(\phi)
 \end{aligned}$$

Standard Model Formula

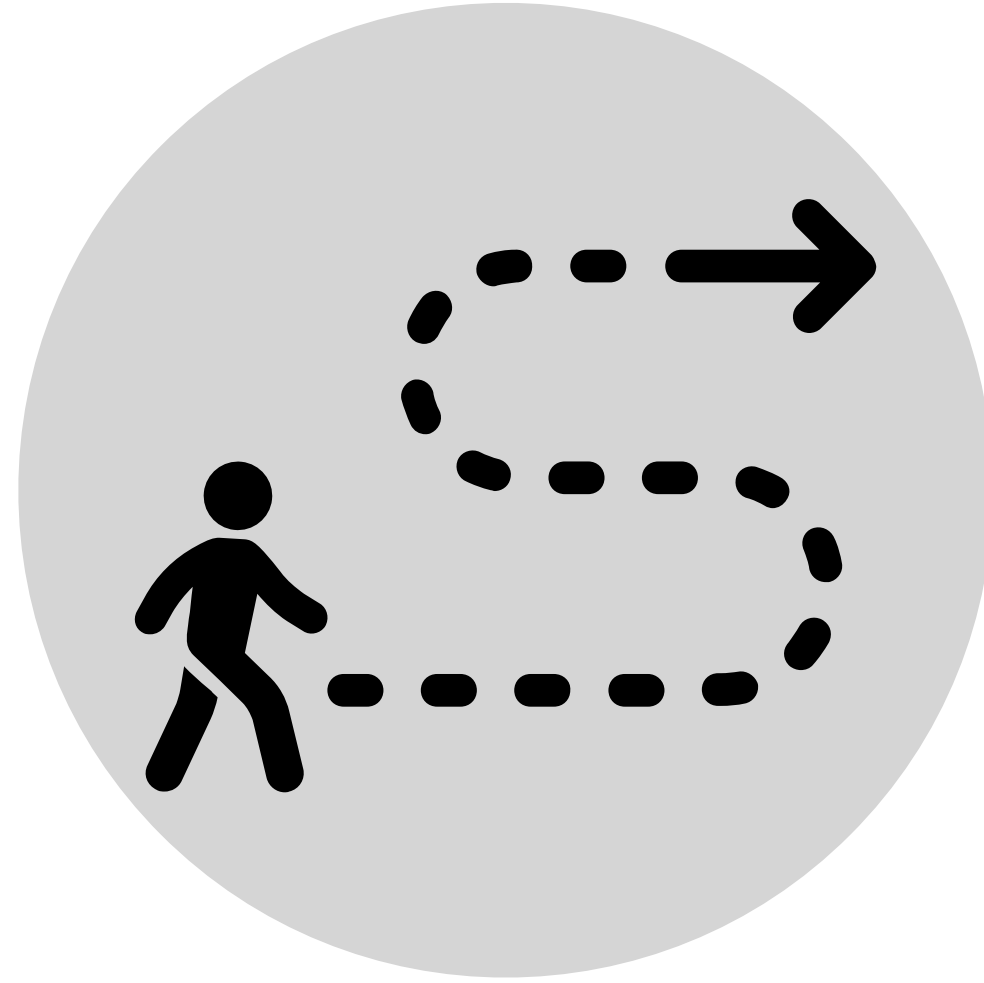
min action

$$\int \mathcal{L} d^n s$$

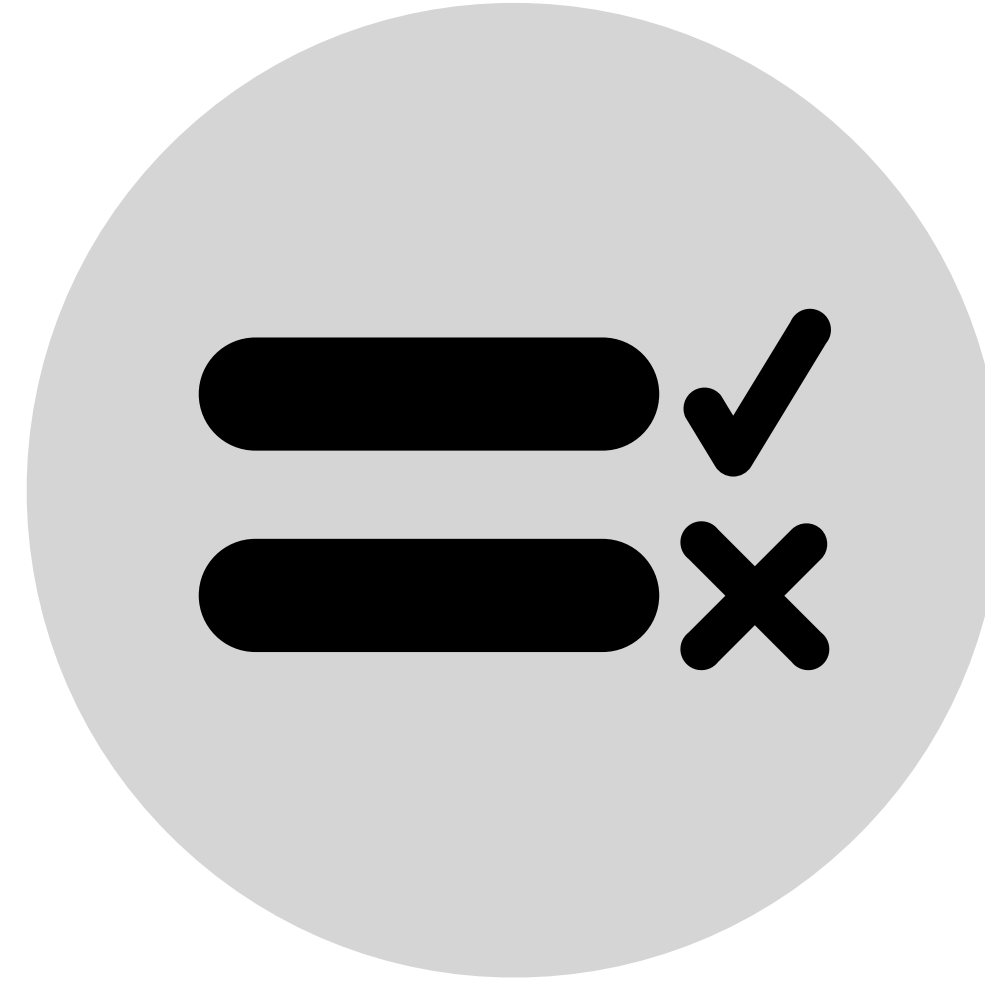
# Optimal stomatal control



observed path



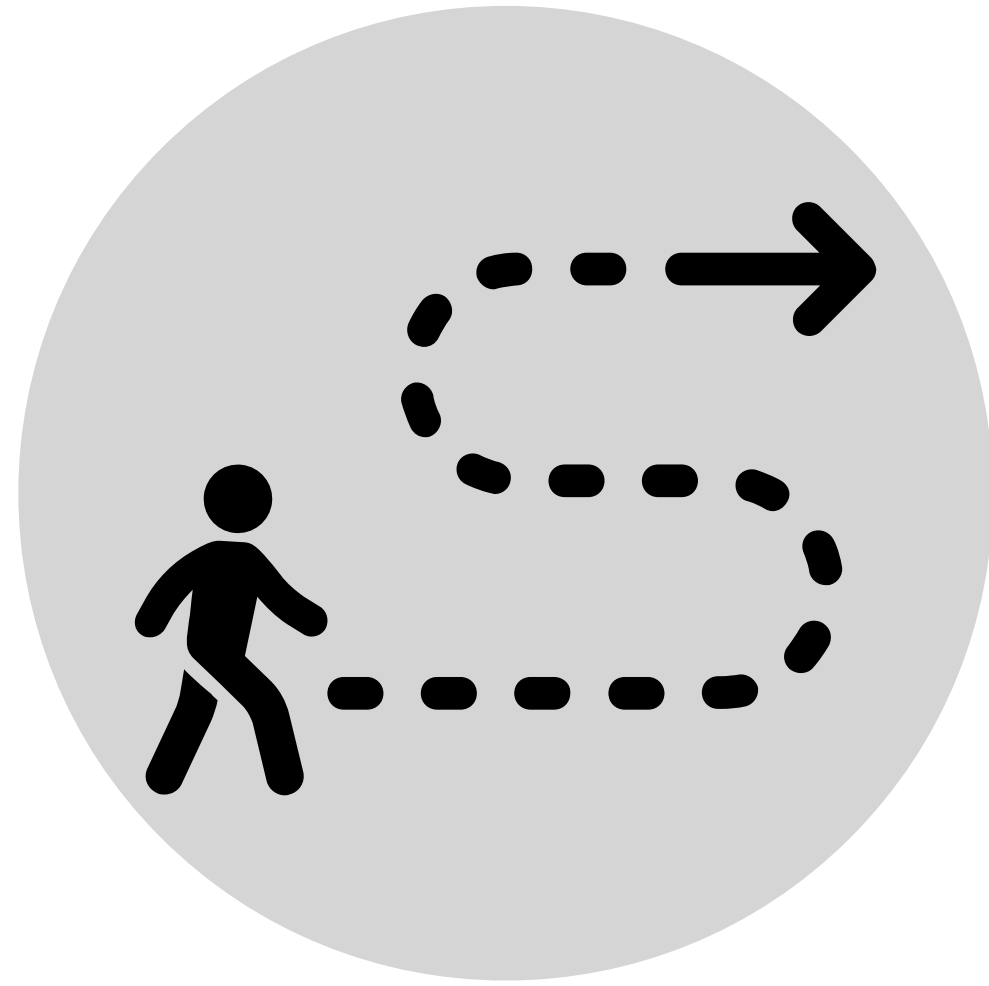
instantaneous rule



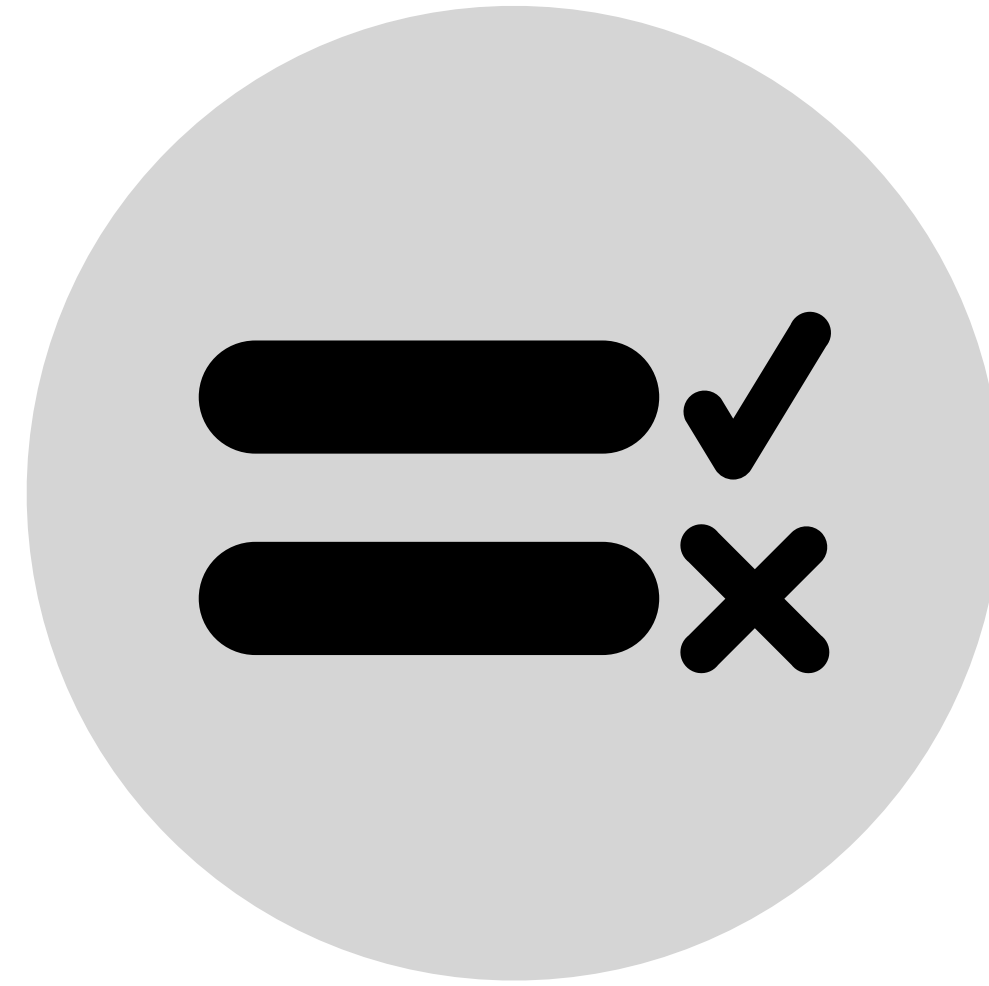
global principle



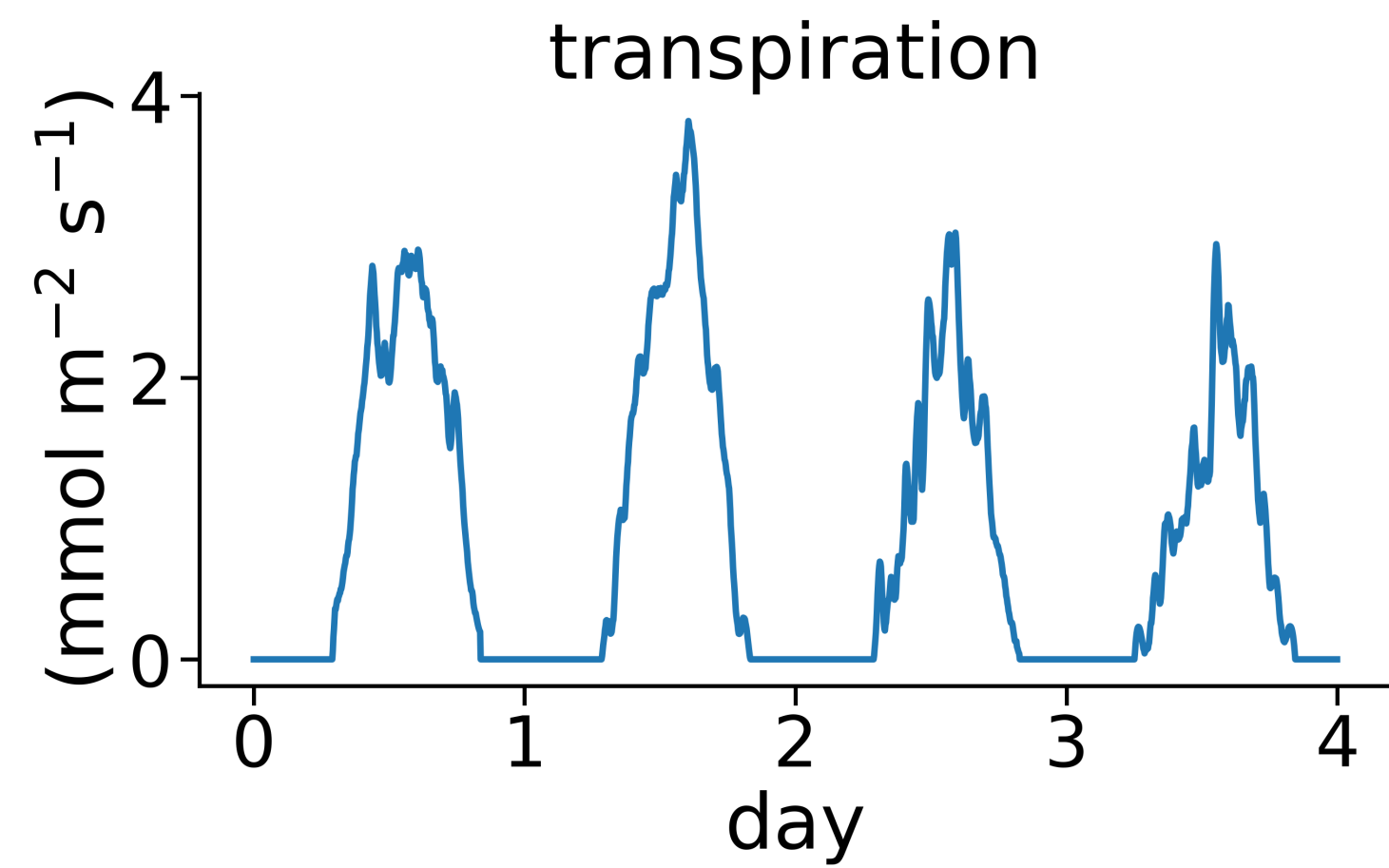
observed path



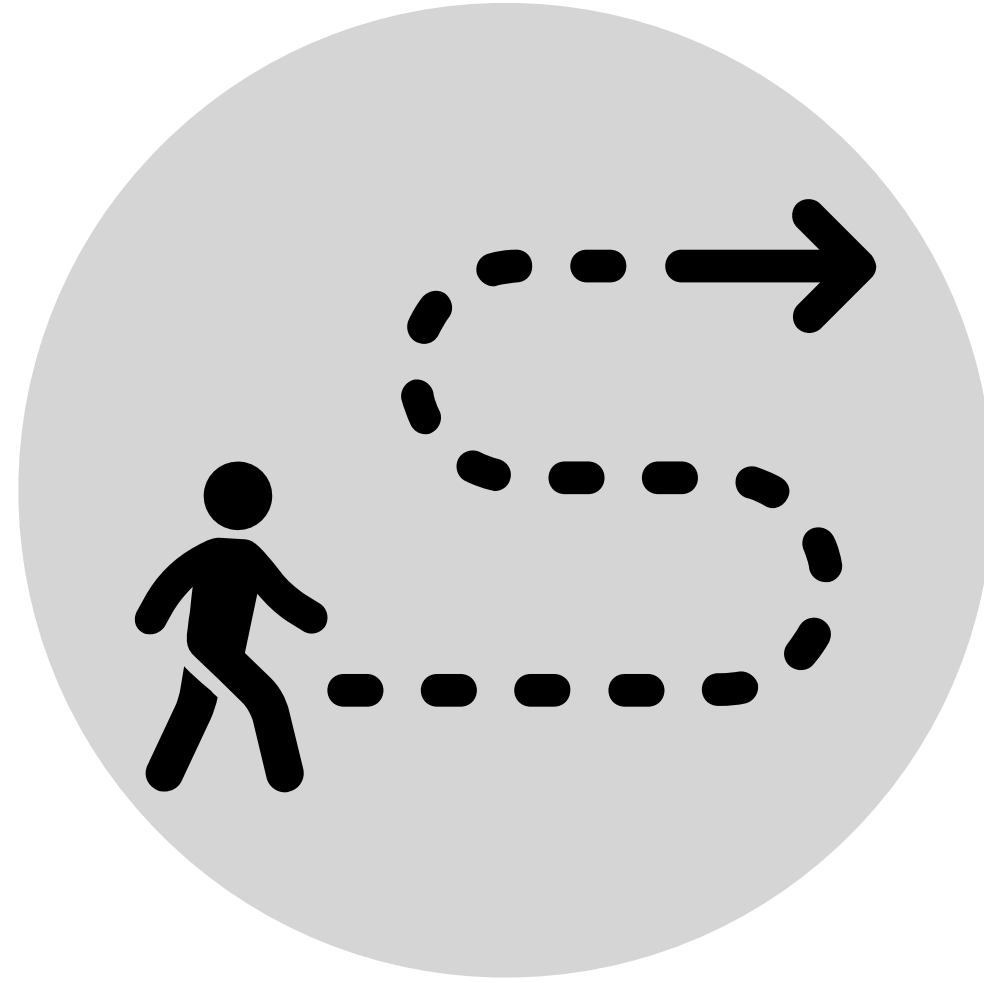
instantaneous rule



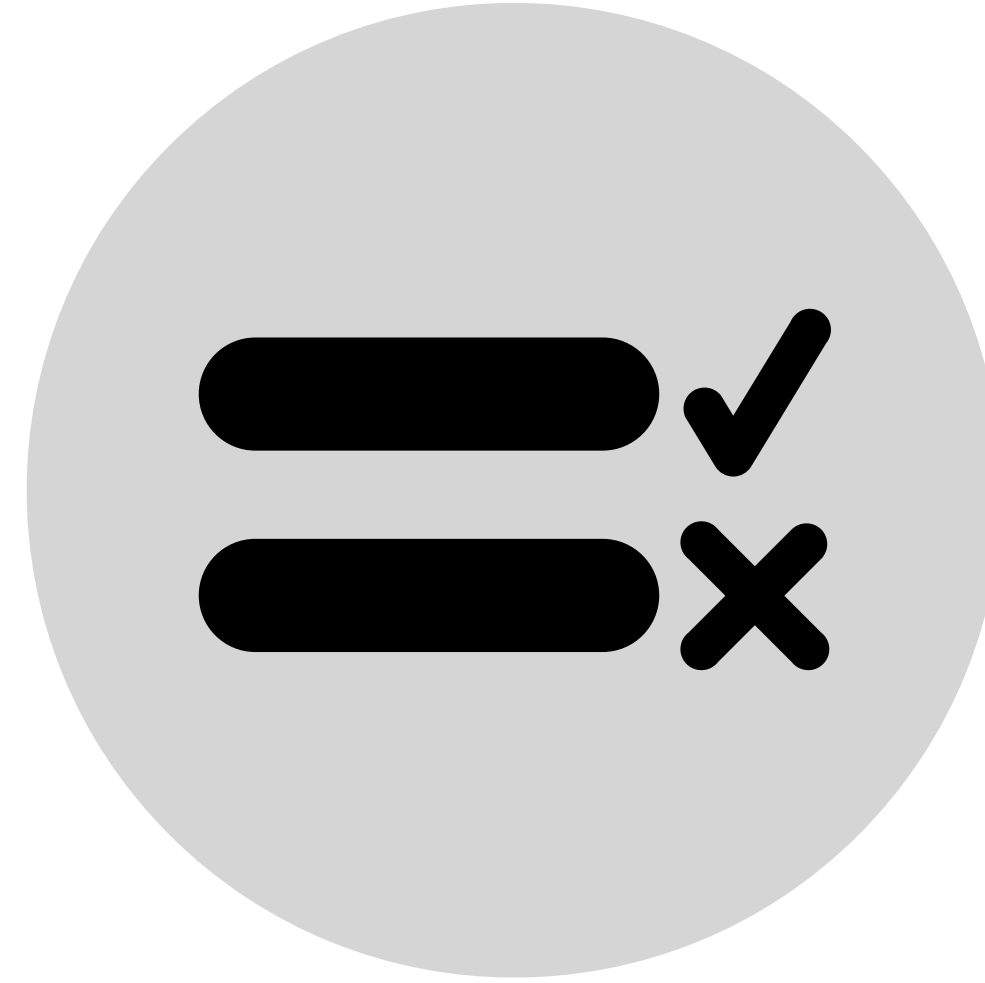
global principle



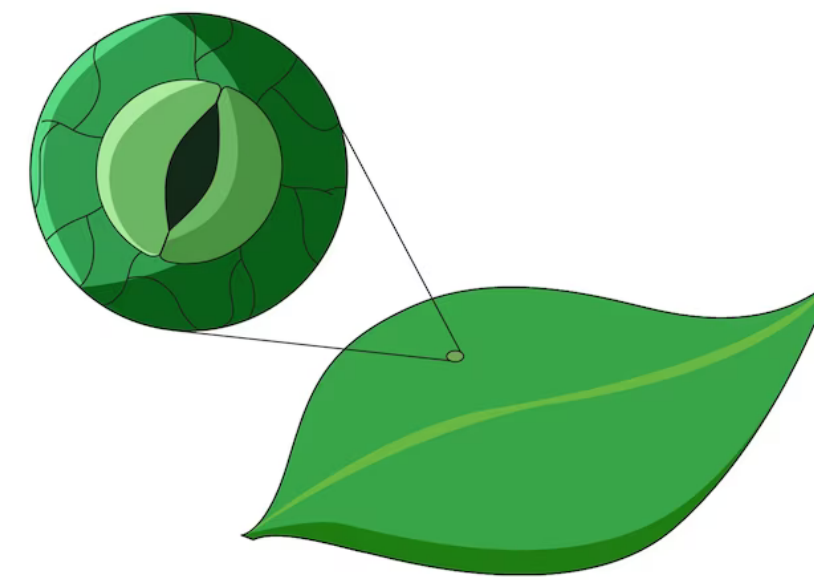
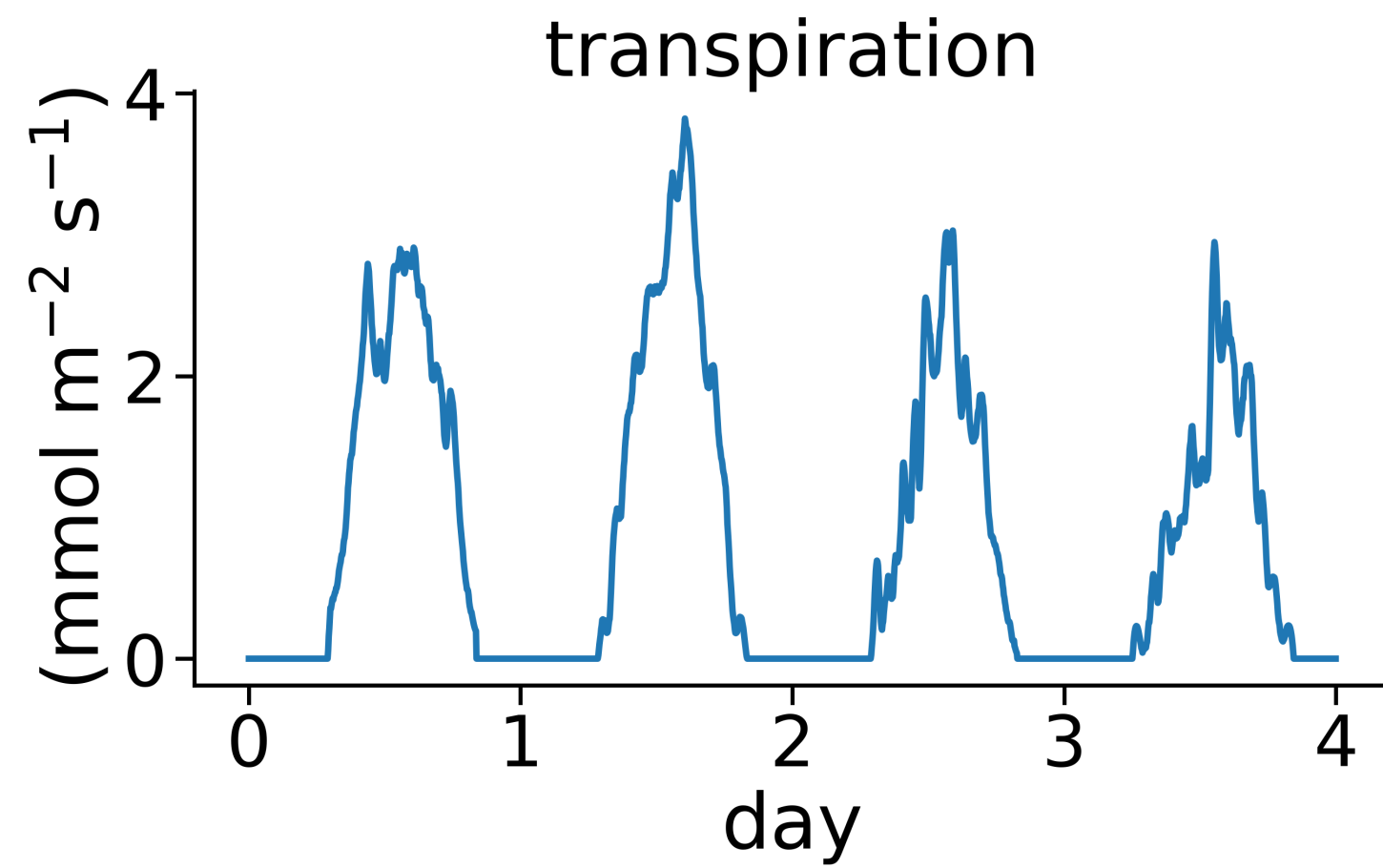
observed path



instantaneous rule



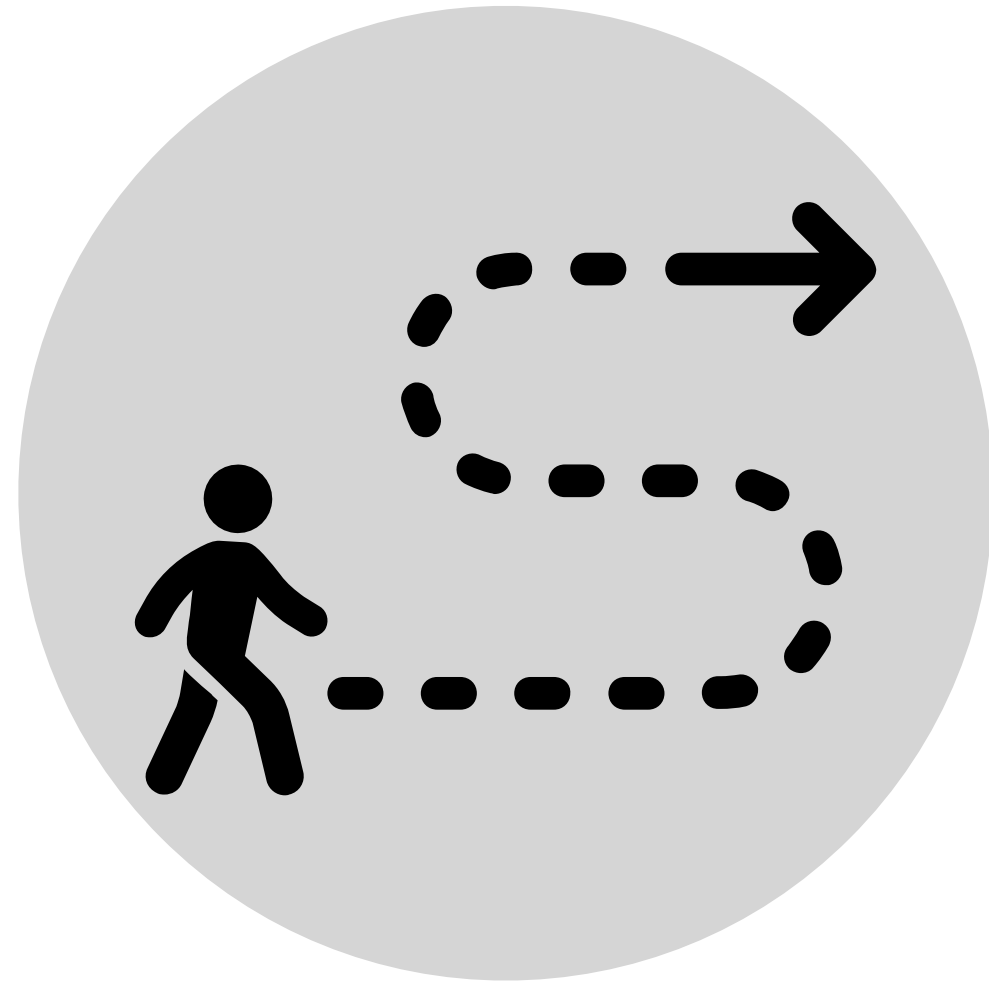
global principle



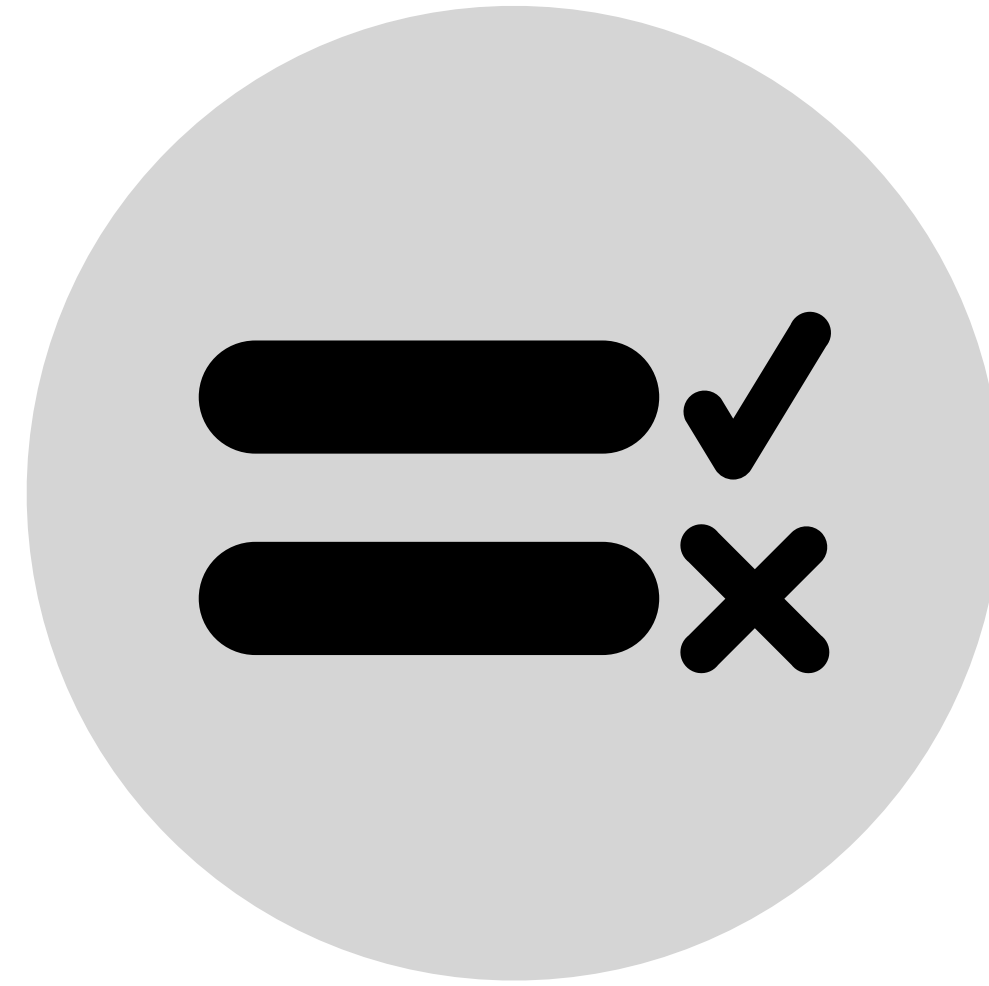
stomatal opening

$$g_s(?)$$

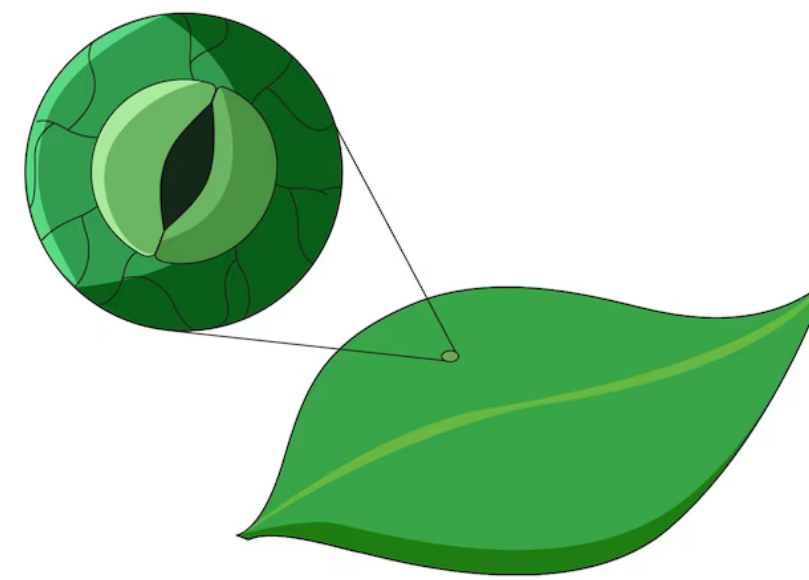
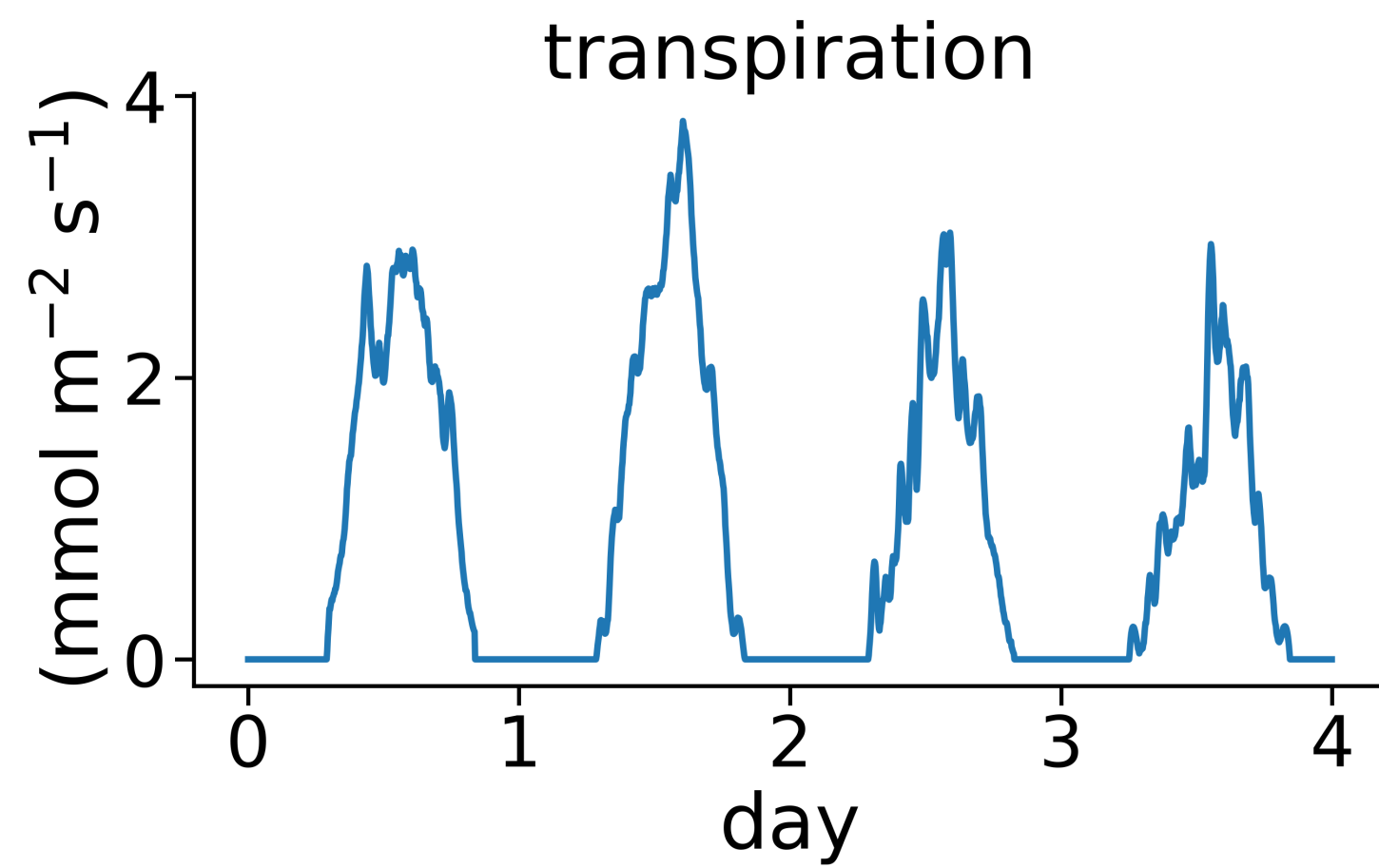
observed path



instantaneous rule



global principle



stomatal opening

$$g_s(?)$$







How do plants respond to drought stress?



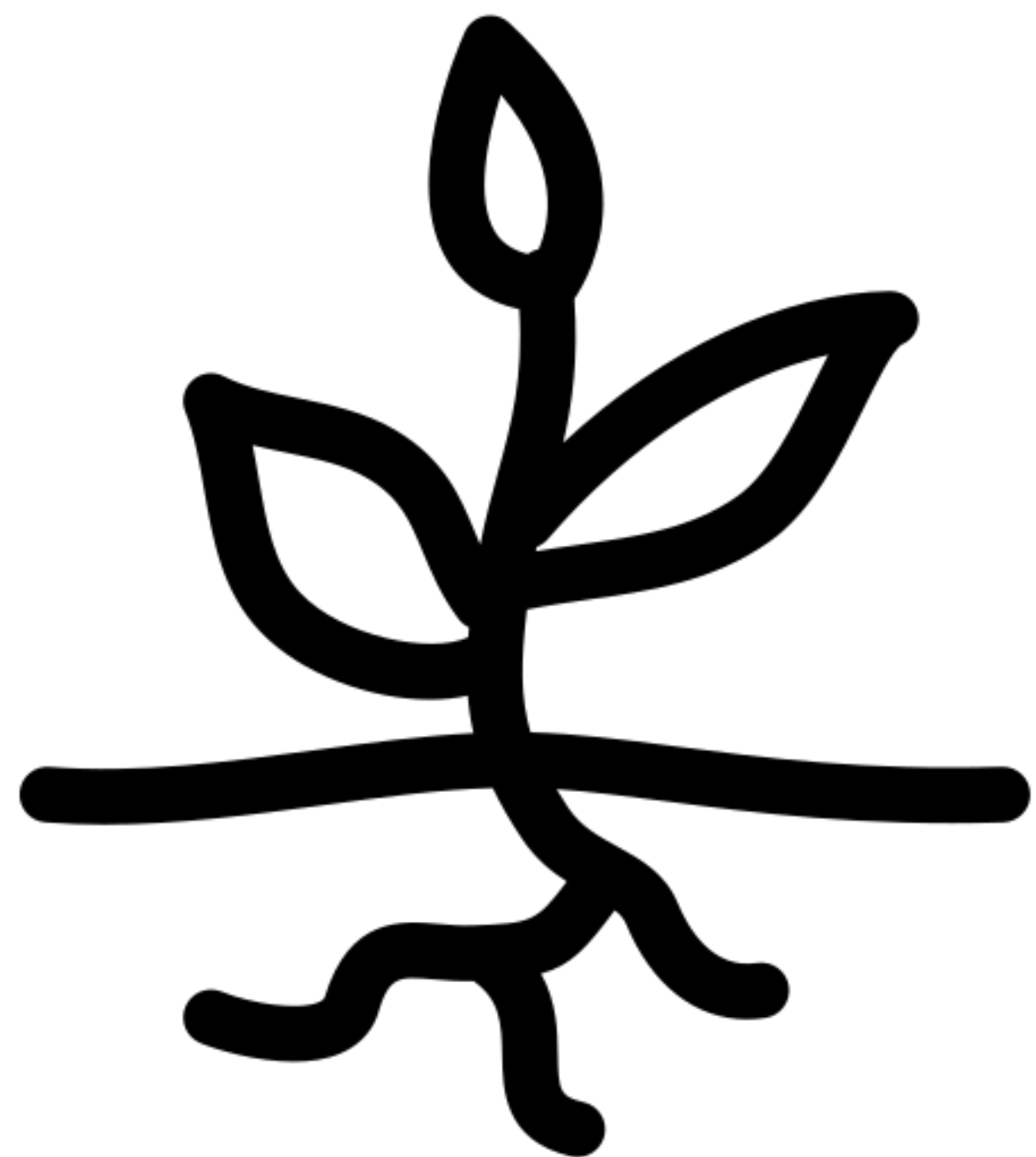
What are plants optimizing for?



What are the most important traits that explain the plant's behavior?



How do different plant species differ in their water management strategies?





# SUPER MARIO KART™









3 acceleration 1

---

3 top speed 4

---

3.5 weight 5





- intelligent agent
- perceives its environment
- takes actions autonomously
- in order to achieve goals
- may improve its performance with learning or may use knowledge







agent

perception



environment

agent

perception

action



min(time)

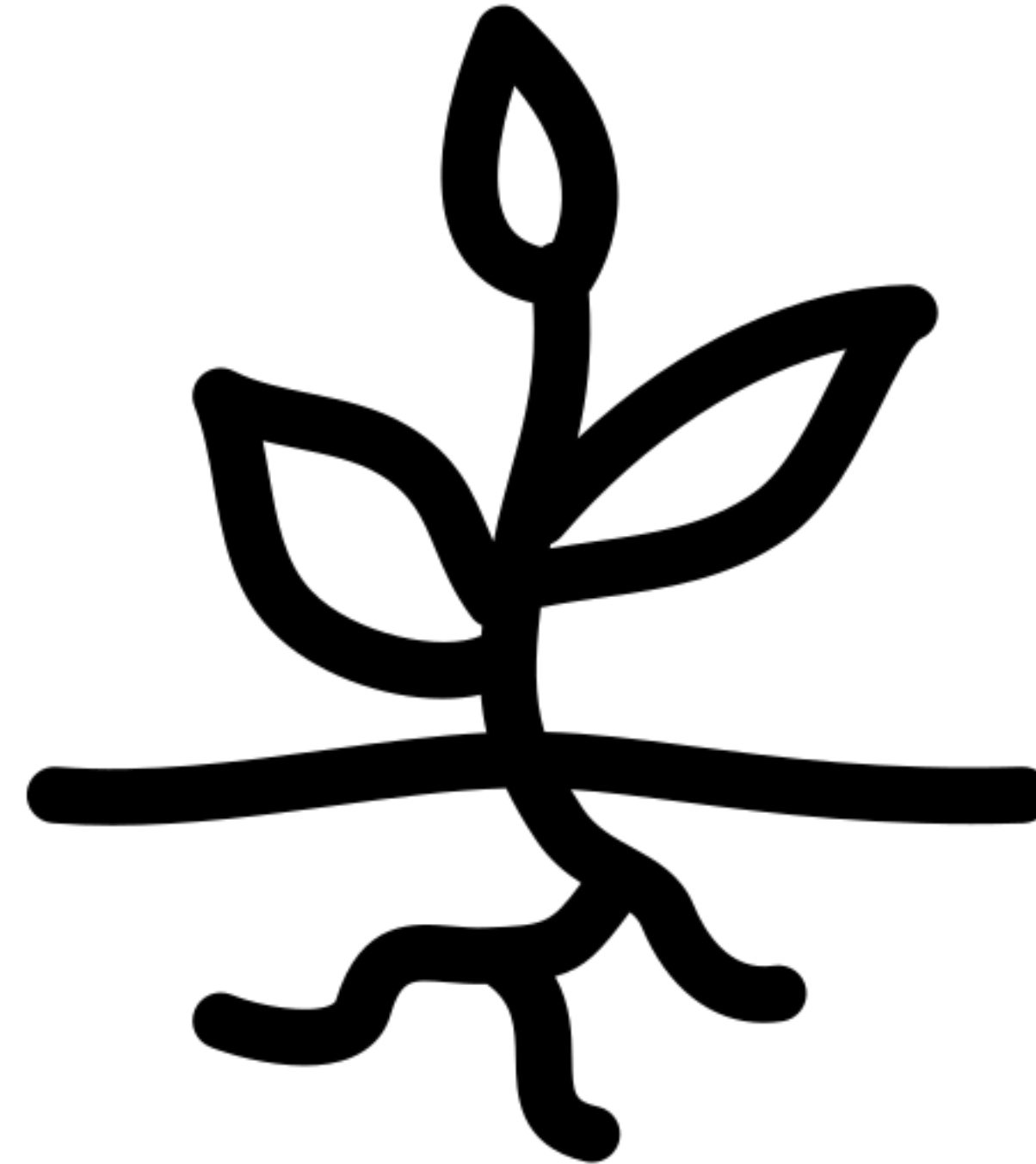
environment

agent

goal

perception

action



min(time)

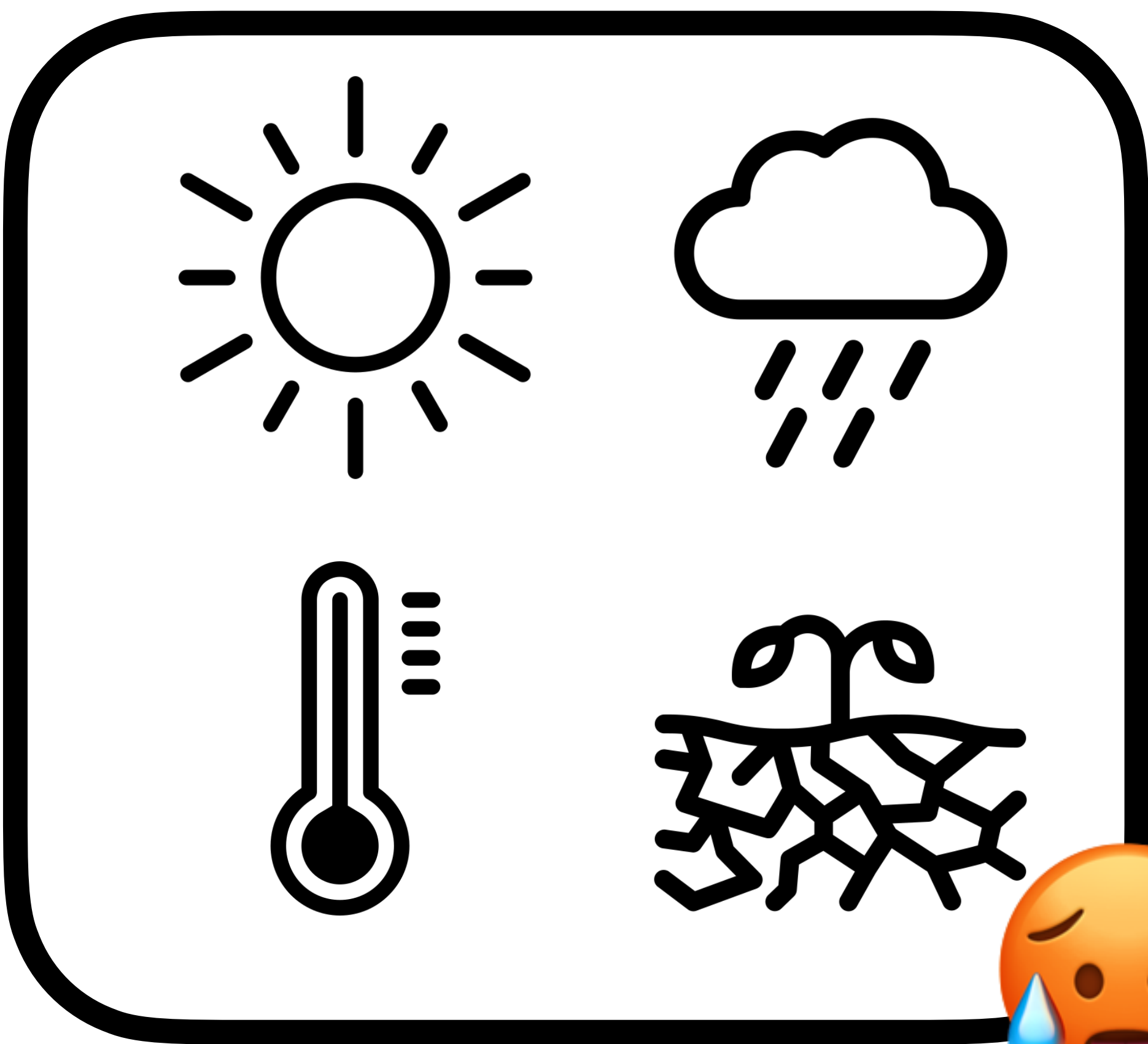
environment

agent

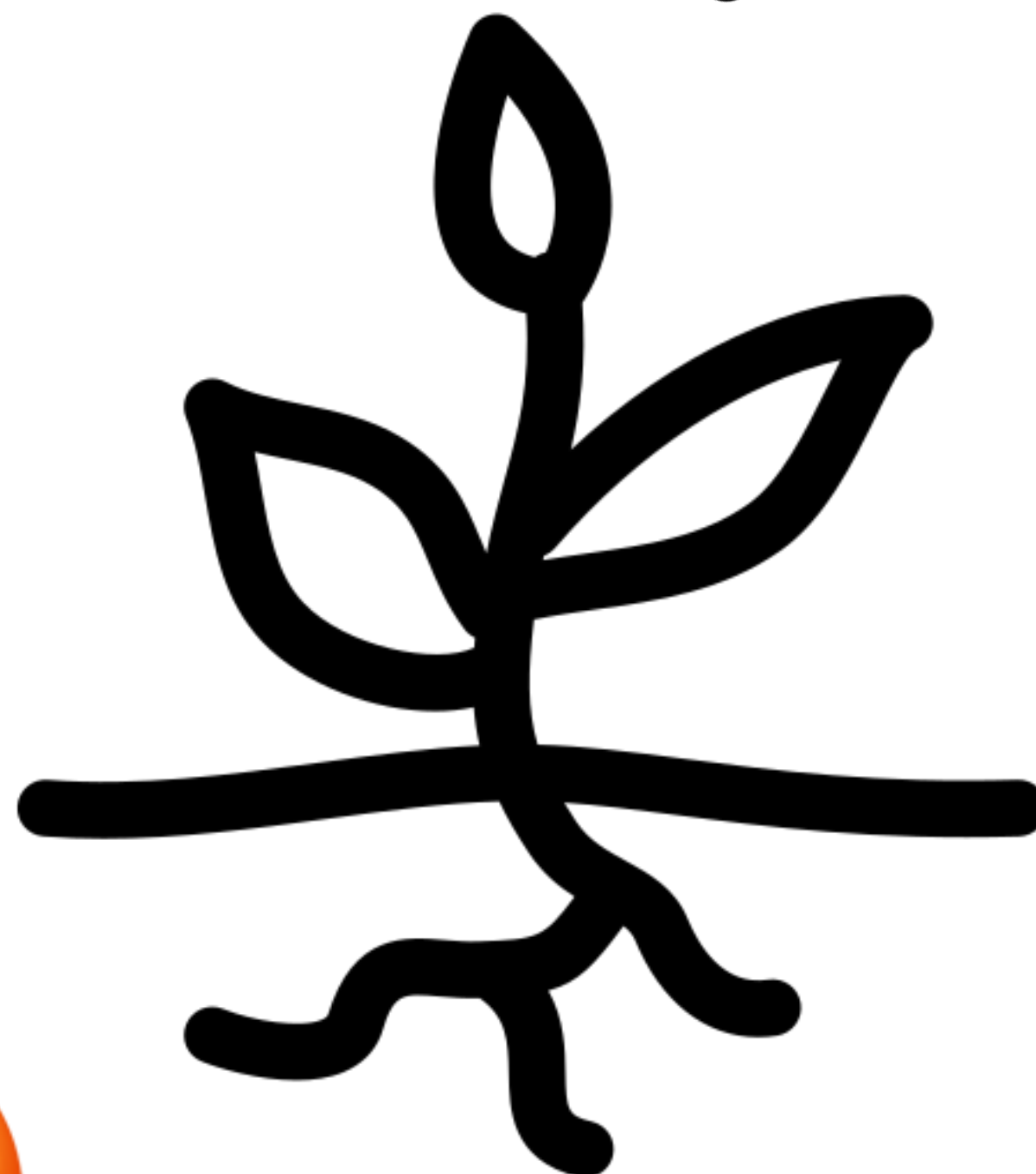
goal

perception

action



environment



agent

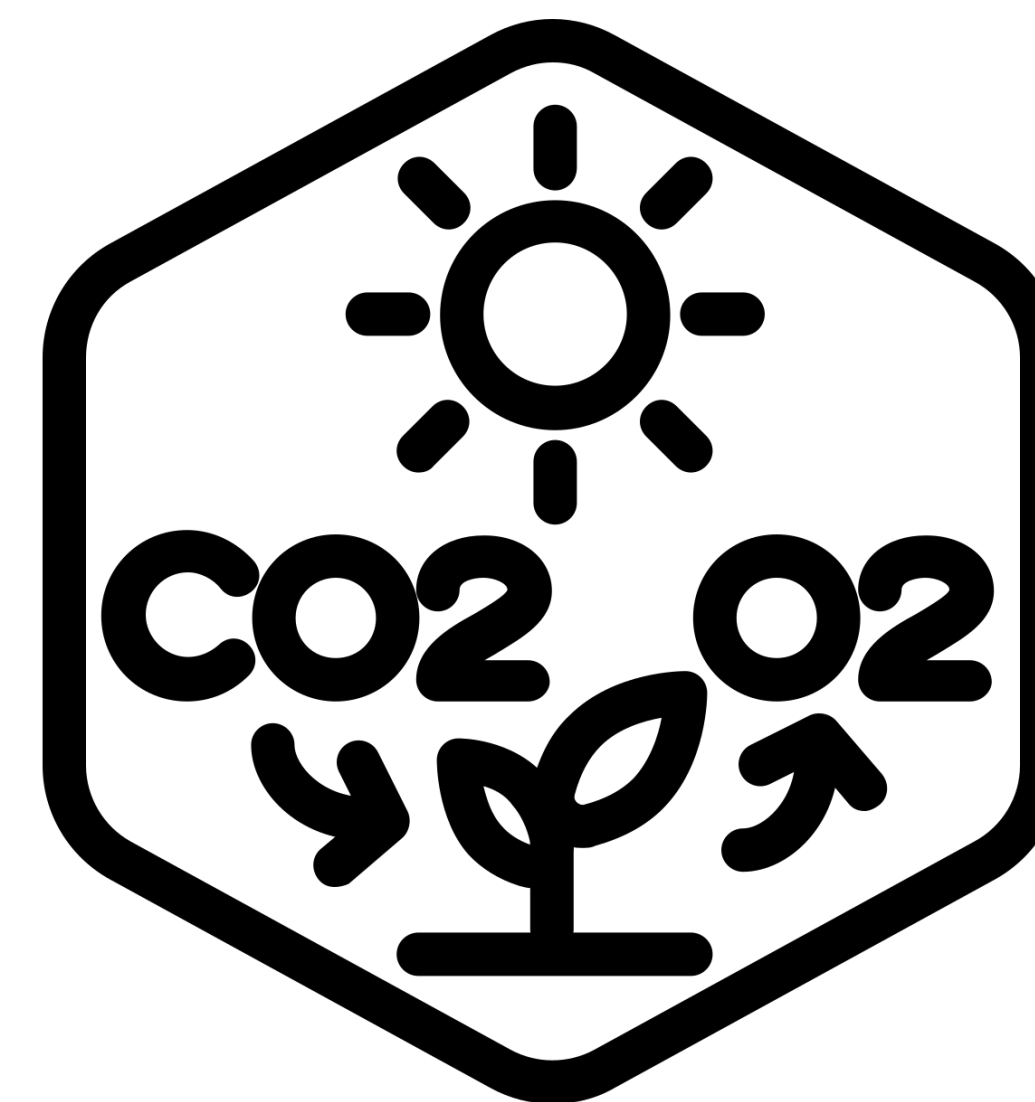
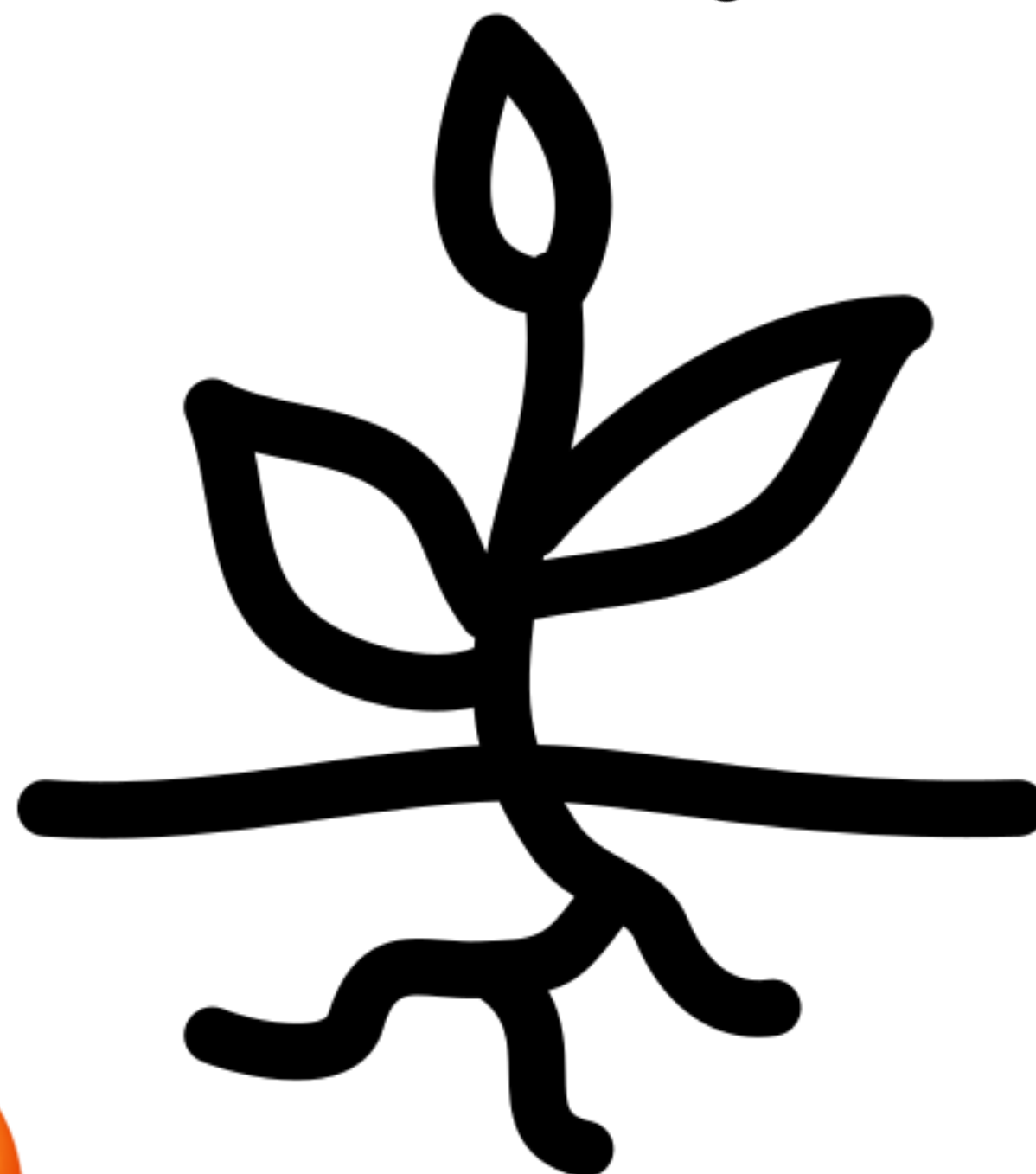
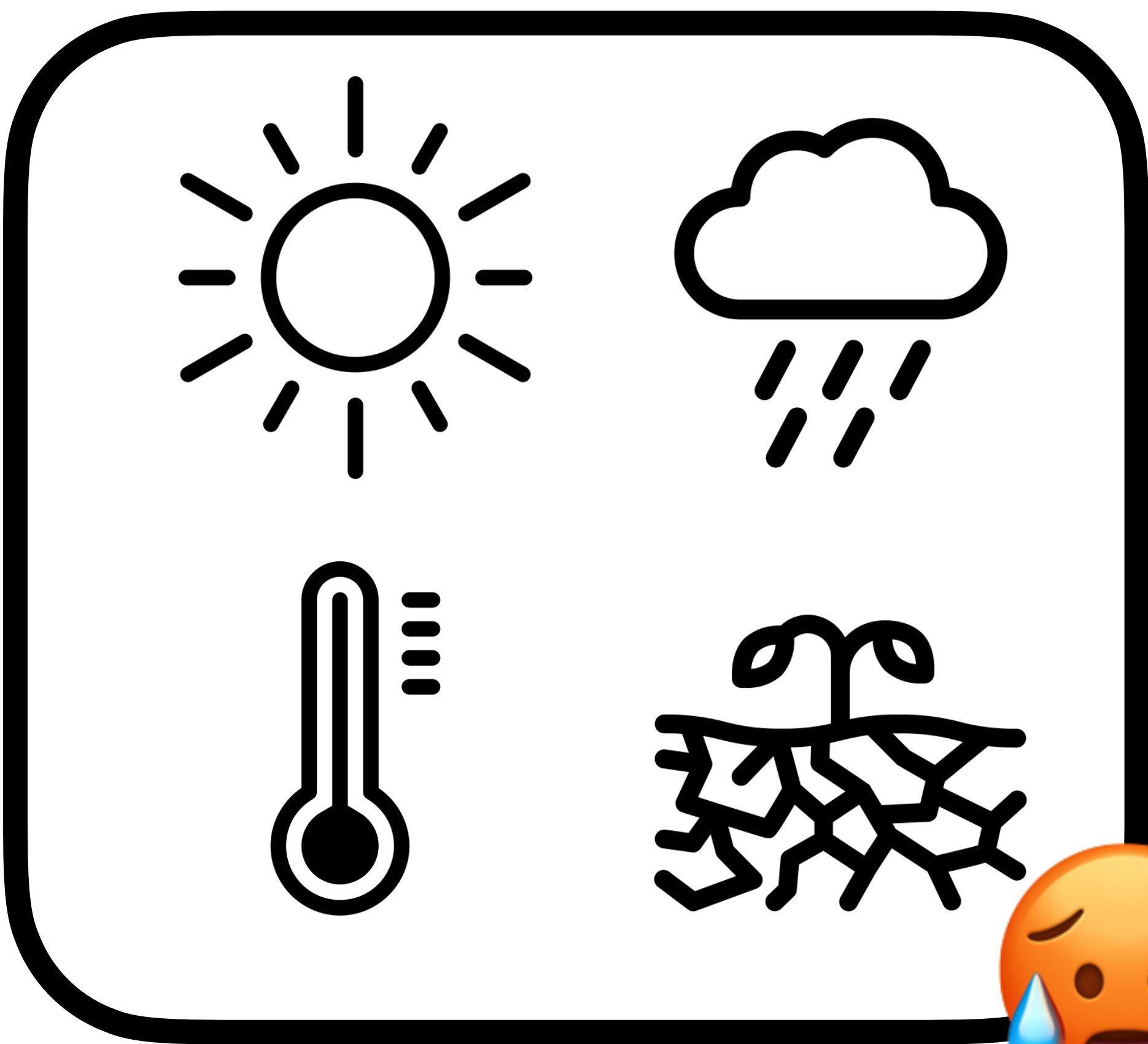
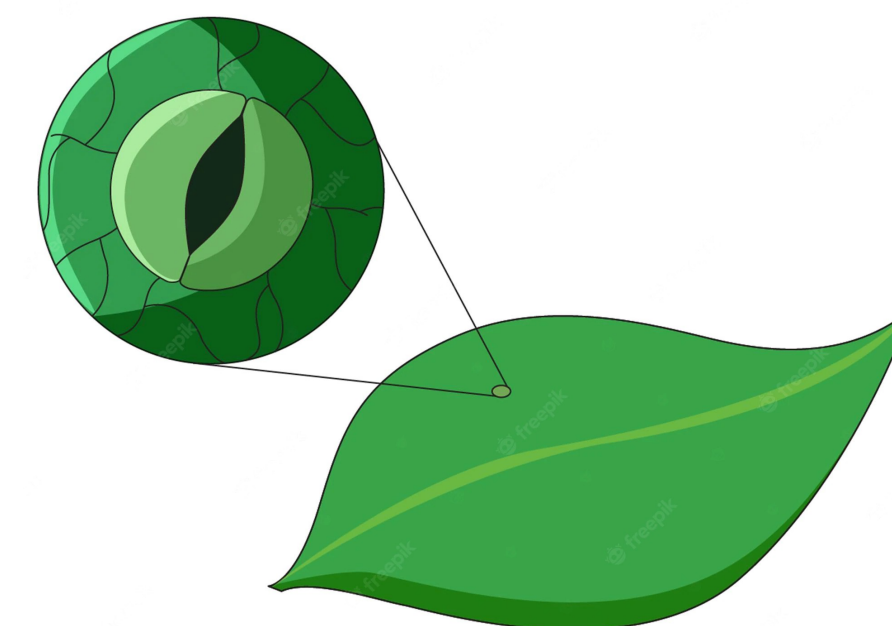


min(time)

goal

perception

action



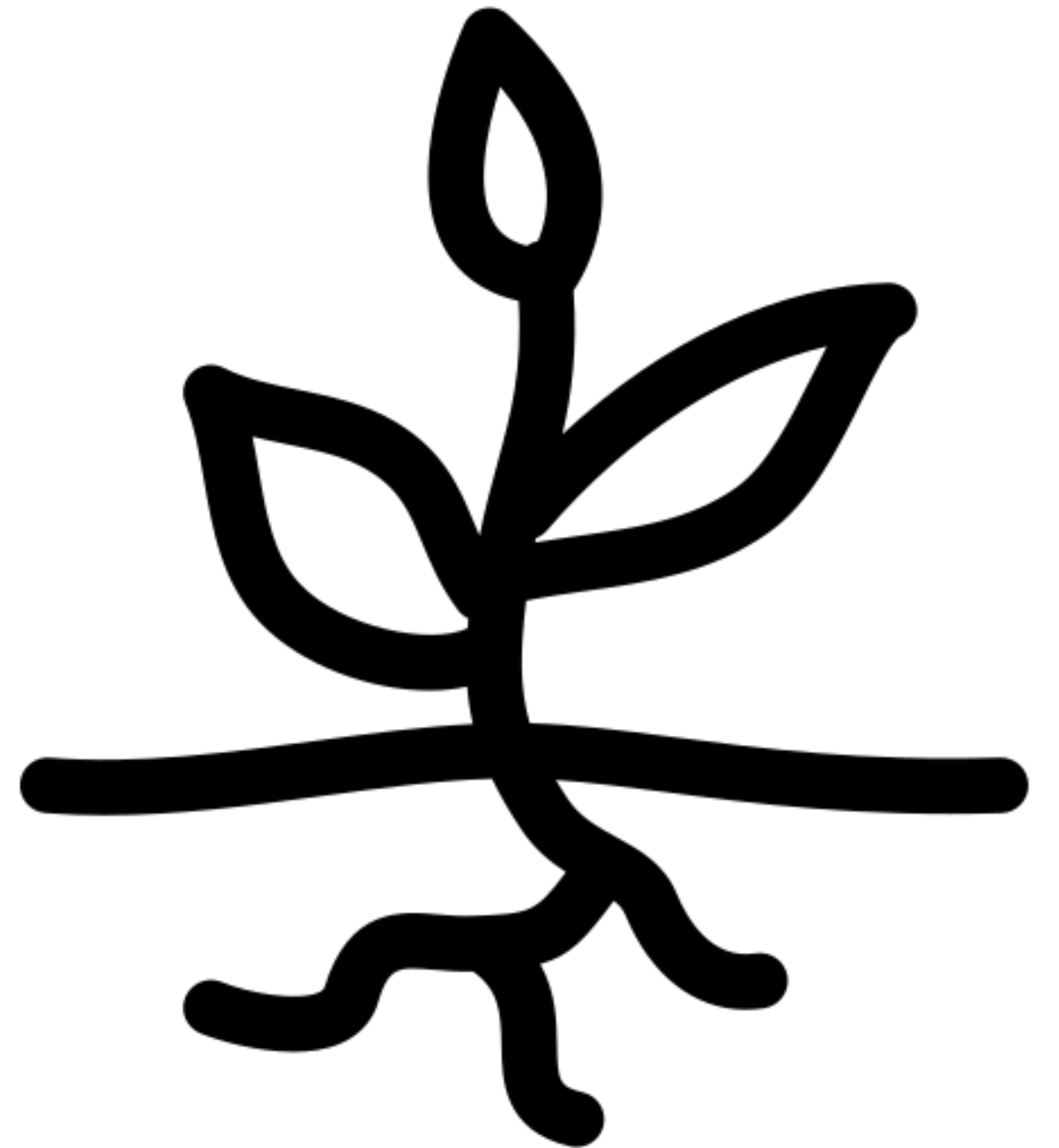
max(carbon)

environment

agent

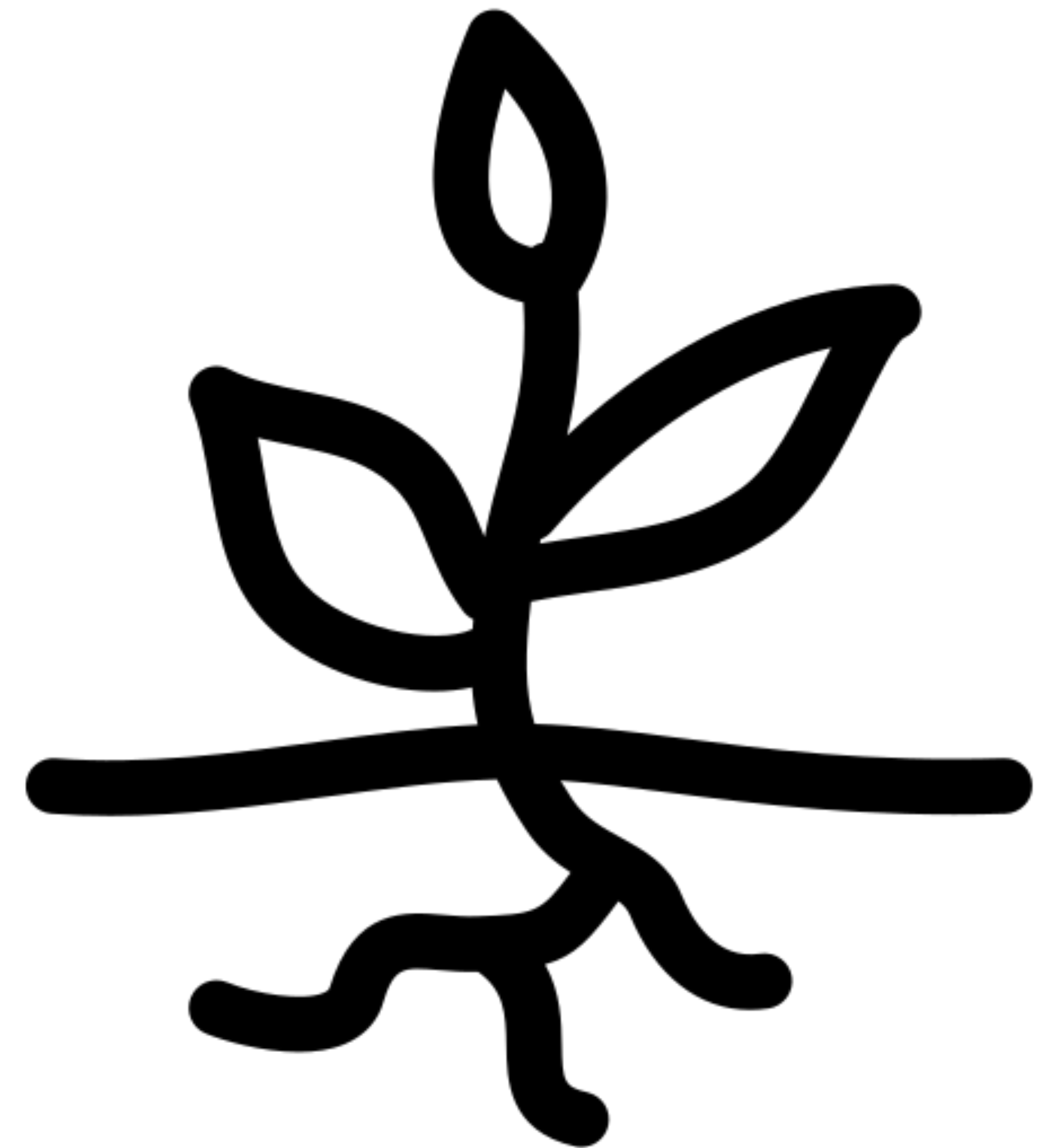
goal

- intelligent agent
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- may improve its performance with learning or may use knowledge



**keywords:** artificial intelligence, machine learning, reinforcement learning, optimal control theory

- intelligent agent
- perceives its environment
- takes actions autonomously
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- may improve its performance with learning or may use knowledge





# what I care about



3	<b>water use efficiency</b>	1
3	<b>resilience to drought</b>	4
3.5	<b>risk policy</b>	5



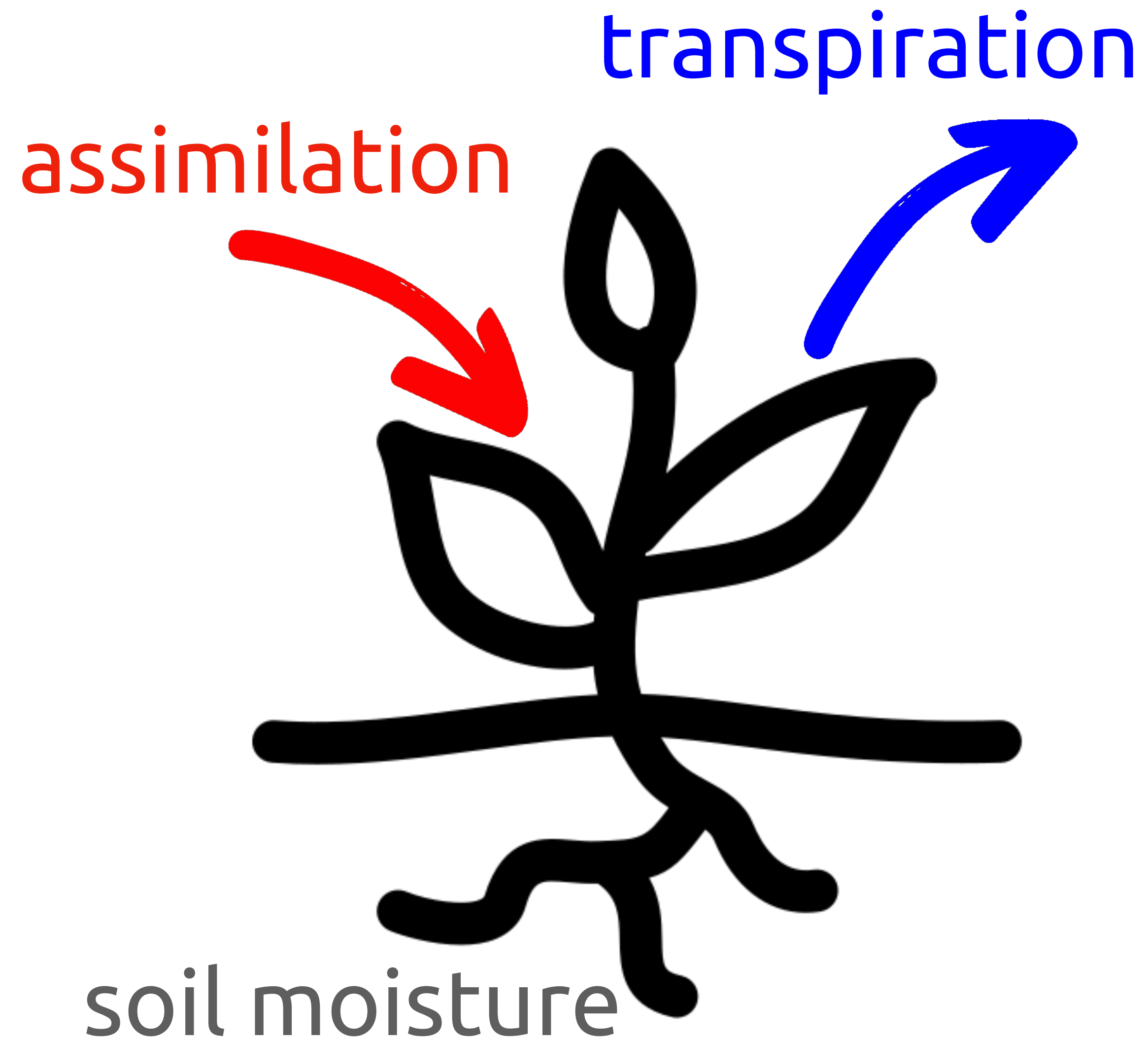
# strategy 1: drive at full throttle

- there's only here and now
- tomorrow? who cares



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- there's only here and now
- tomorrow? who cares



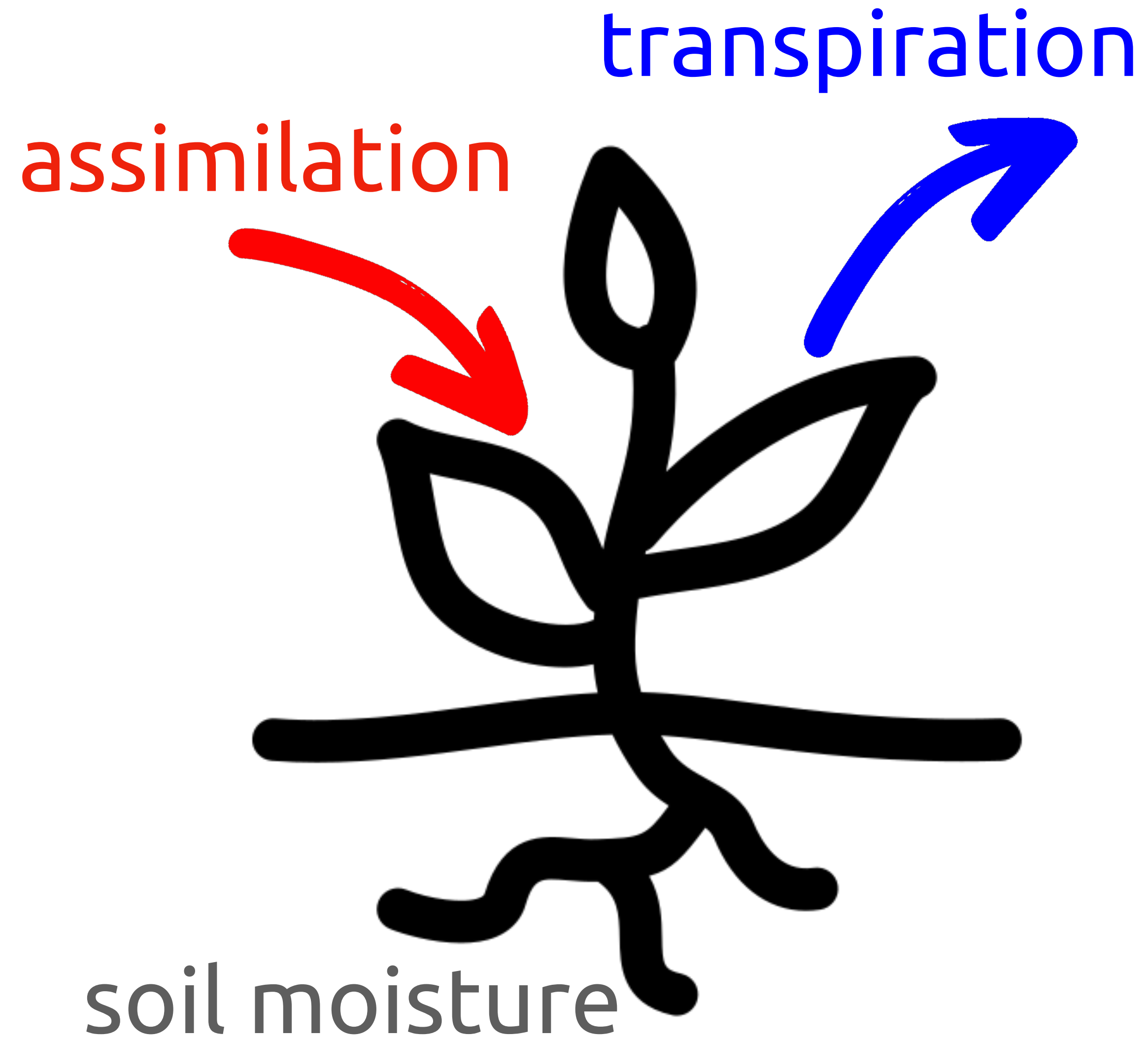
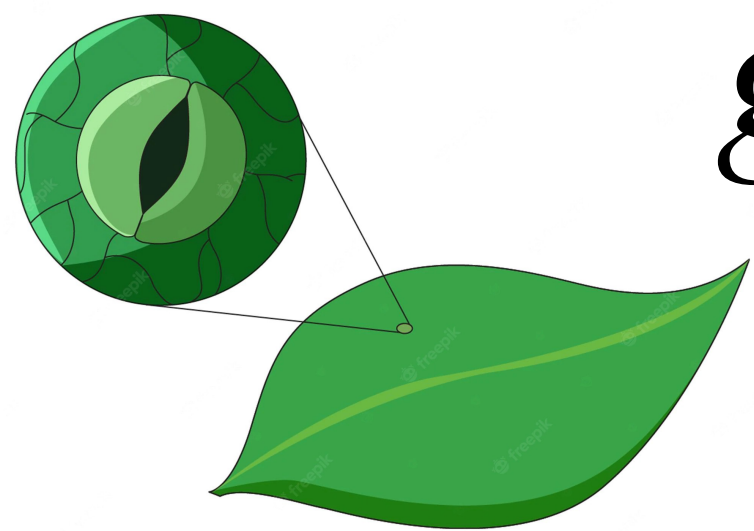
# strategy 1: drive at full throttle

instantaneously optimize

$$H = A(g_s) - \lambda \cdot E(g_s)$$

$$\lambda = \frac{\partial A}{\partial E} \quad \text{water use efficiency}$$

$g_s(t)$  is such that  
 $H$  is maximum

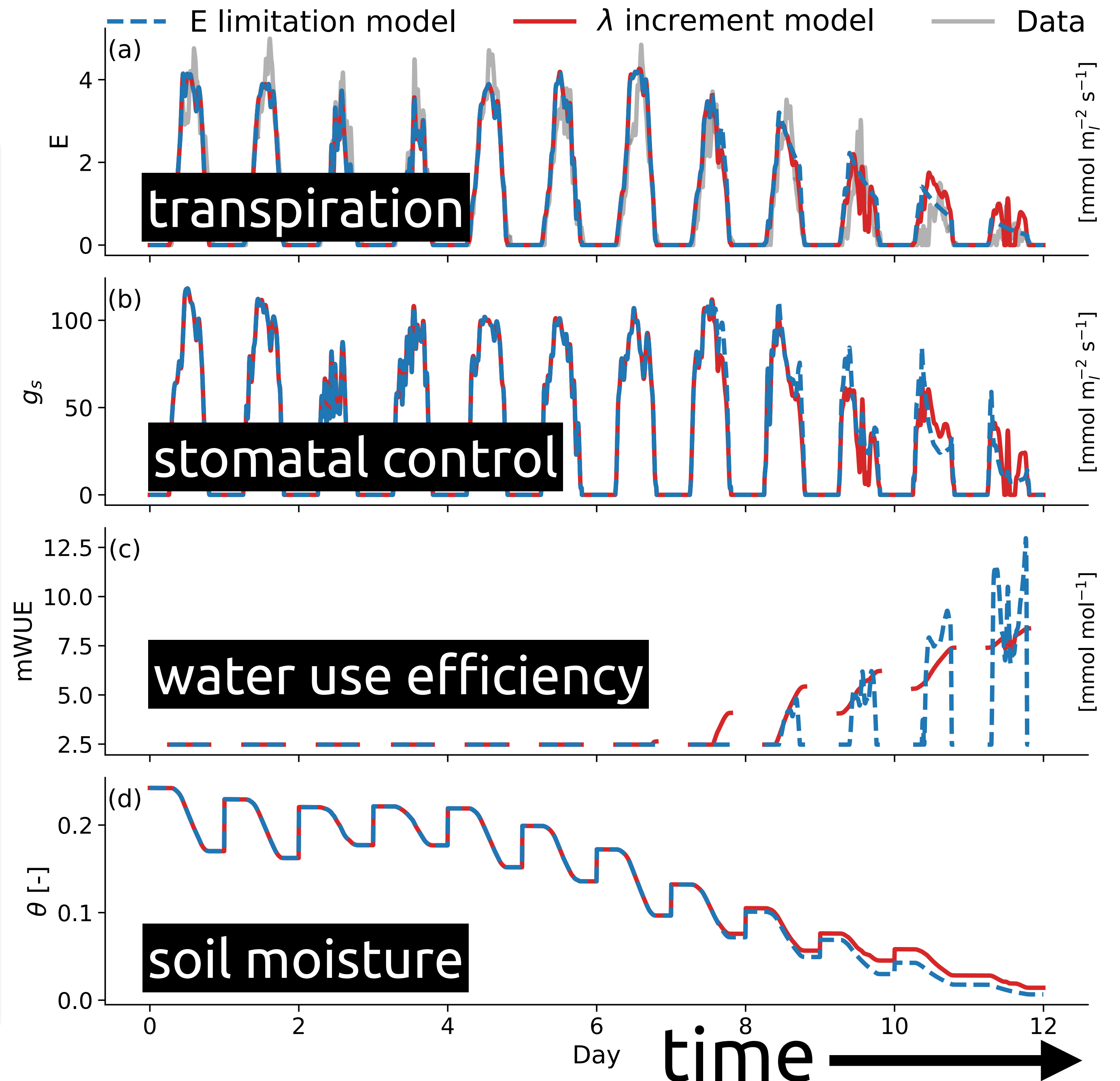




tomato: 12-day drydown



# tomato: 12-day drydown

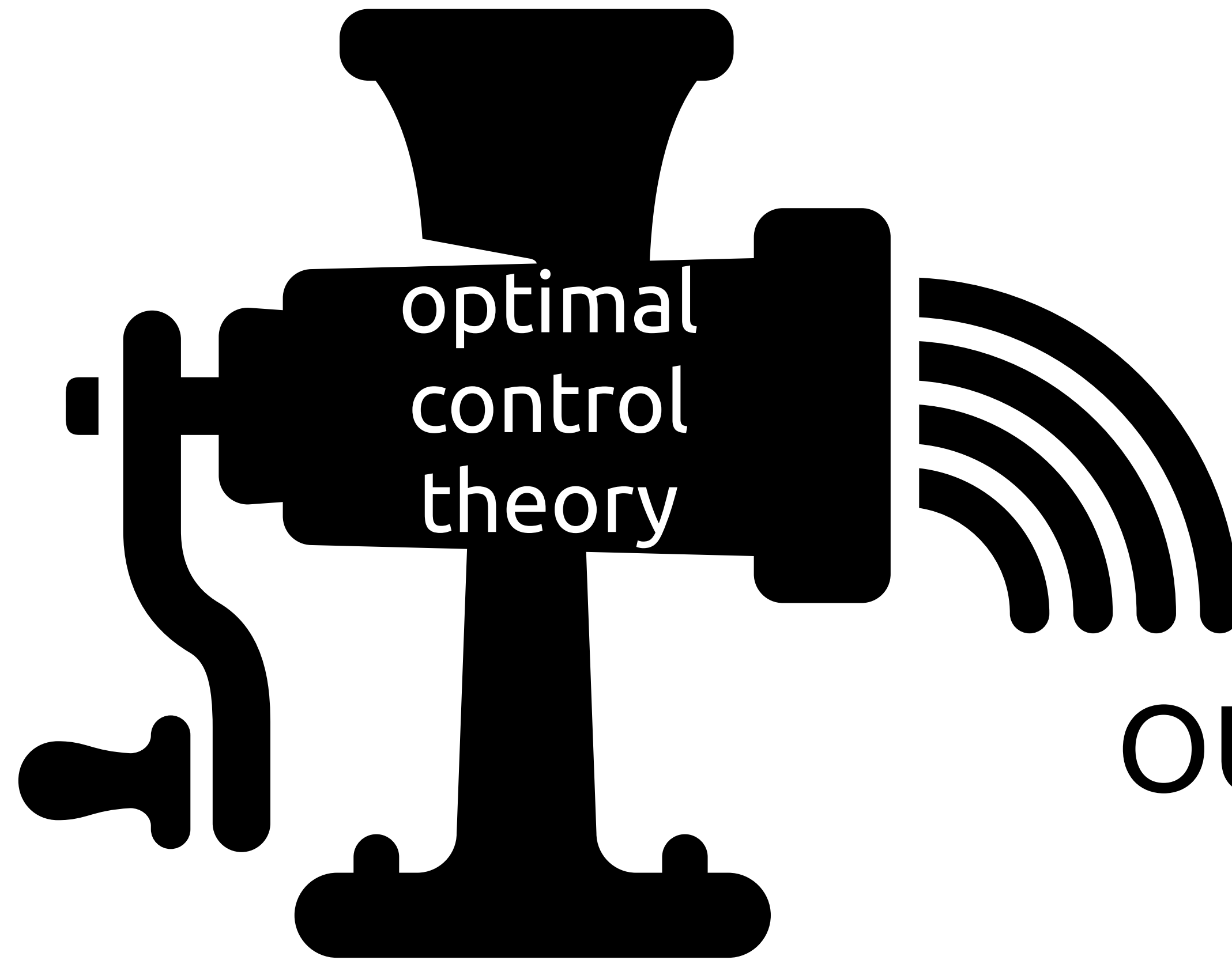


tomato: 12-day drydown



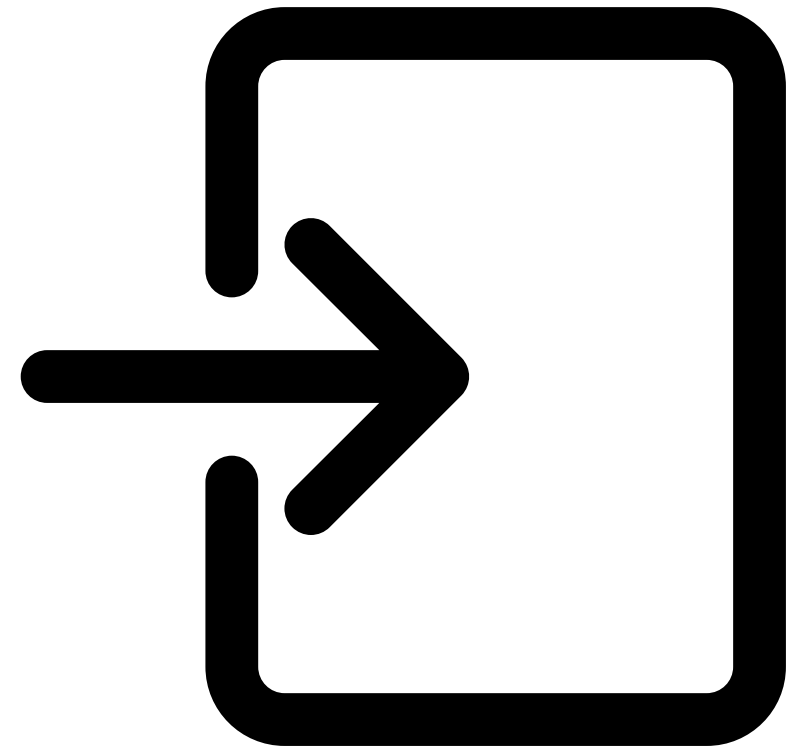


INPUT



OUTPUT

INPUT



maximize carbon  
assimilation

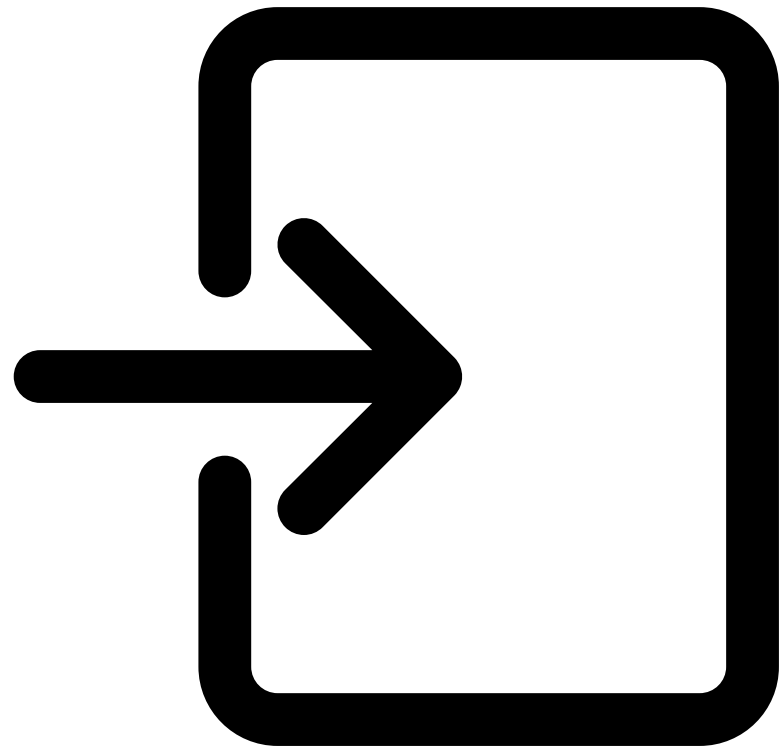


conservation of water  
soil water  $\rightarrow$  transpiration

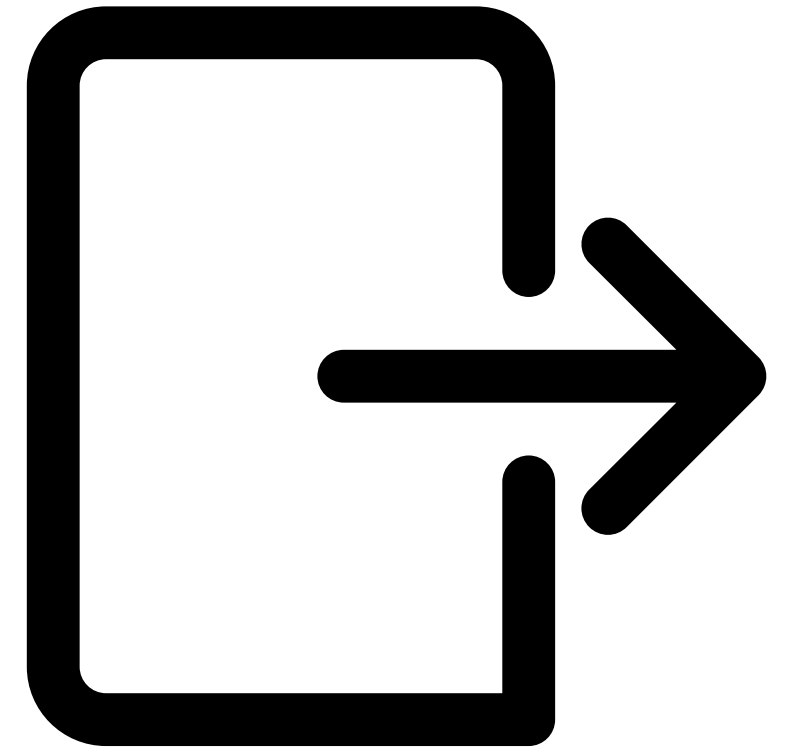


$0 < g_s < g_s^{\max}$   
 $g_s^{\max}$  is  $f(\text{soil water})$

INPUT



OUTPUT



maximize carbon assimilation

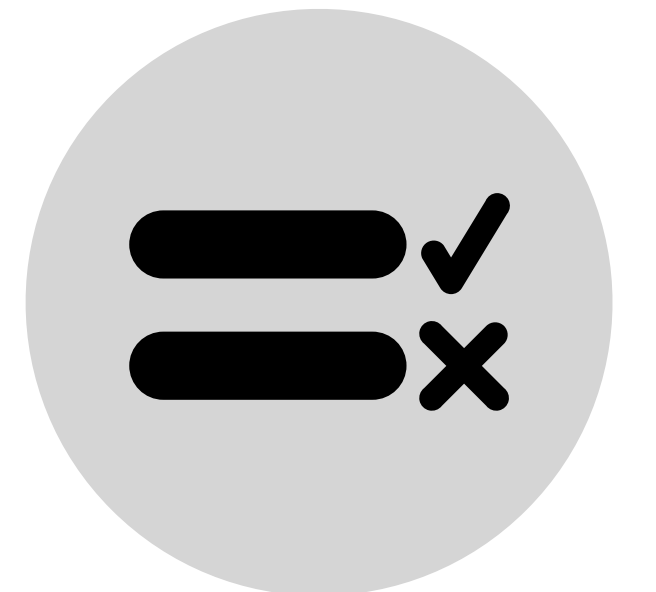


conservation of water  
soil water → transpiration



$0 < g_s < g_s^{\max}$   
 $g_s^{\max}$  is  $f(\text{soil water})$

$g_s(\text{VPD, light, T, CO}_2)$



water use efficiency  
vulnerability to drought





# Result 1

 **validation**

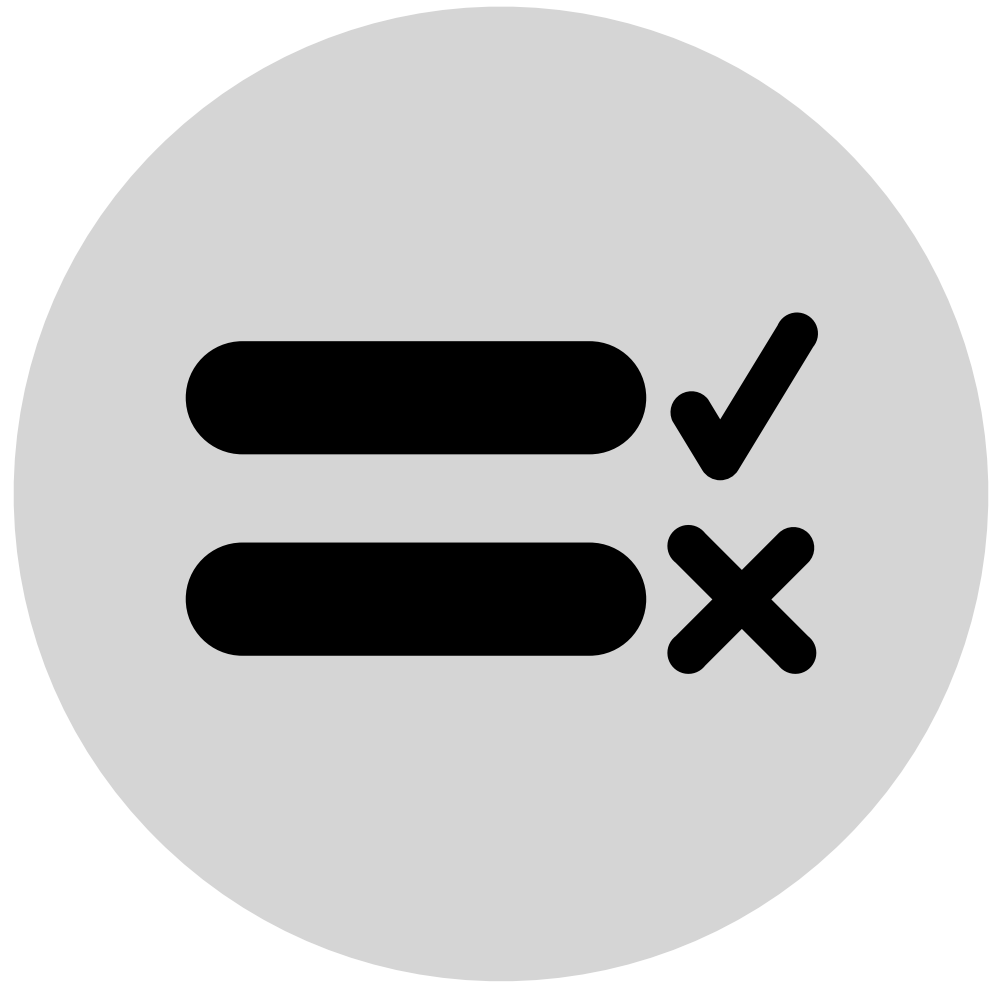
results are consistent with  
instantaneous optimization

# Result 1

## 👍 validation


results are consistent with instantaneous optimization

instantaneous rule



$$\tilde{g}_s = \frac{k_1(C_a - k_2 - 2\Gamma^*)}{\beta^2} + (\beta - 2\alpha D\lambda)k_1 \frac{\sqrt{\alpha D\lambda(C_a - \Gamma^*)(k_2 + \Gamma^*)(\beta - \alpha D\lambda)}}{\alpha D\lambda\beta^2(\beta - \alpha D\lambda)}$$

# Result 2

 **plant traits**

## Result 2

### **plant traits**

water use efficiency

$$\lambda = \frac{\partial \text{assimilation}}{\partial \text{transpiration}}$$



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### **plant traits**

water use efficiency

$$\lambda = \frac{\partial \text{assimilation}}{\partial \text{transpiration}}$$

vulnerability to dry soil

$$E_{\max} = k \times \text{soil water}$$

# Result 2

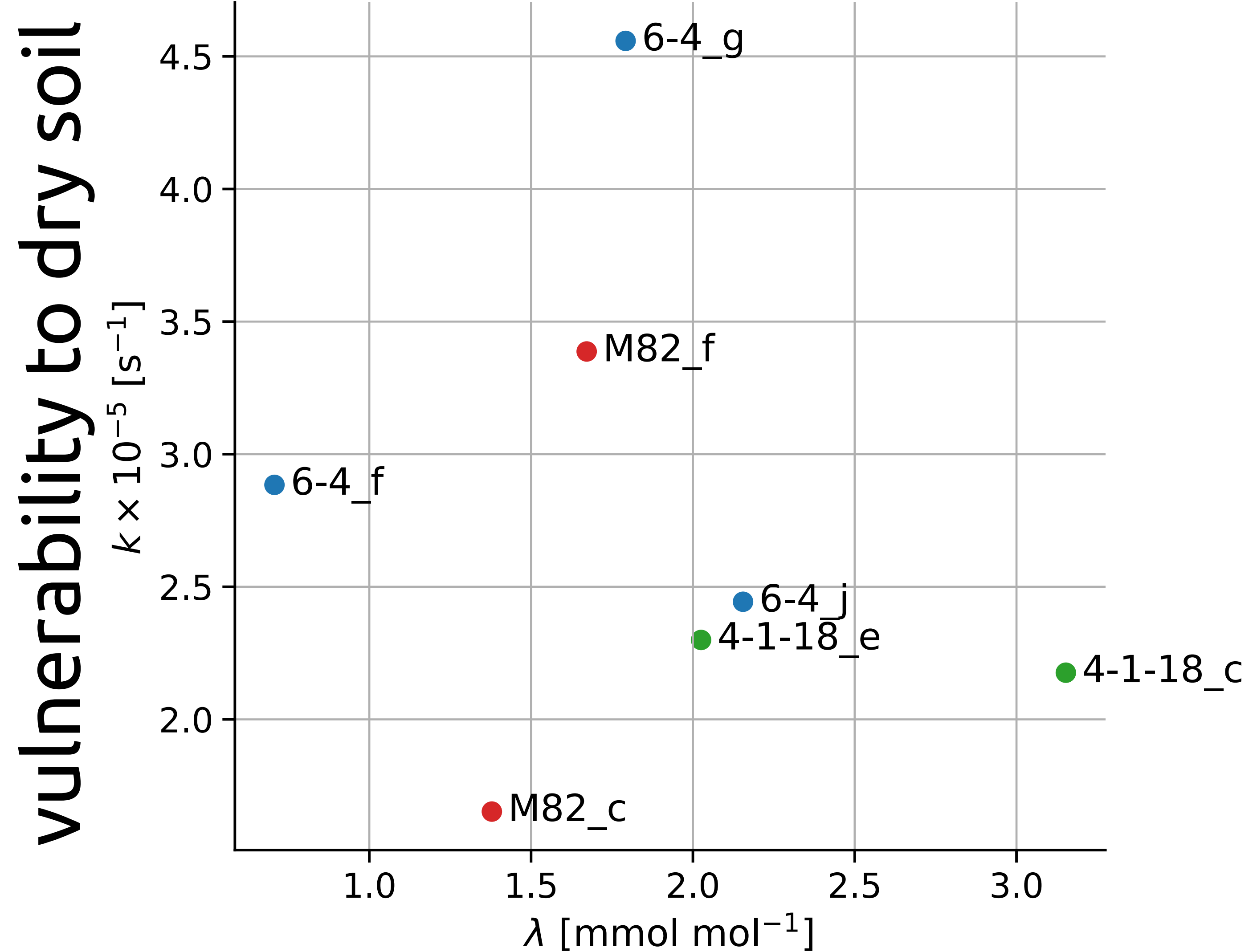
## 💪 plant traits

water use efficiency

$$\lambda = \frac{\partial \text{assimilation}}{\partial \text{transpiration}}$$

vulnerability to dry soil

$$E_{\max} = k \times \text{soil water}$$

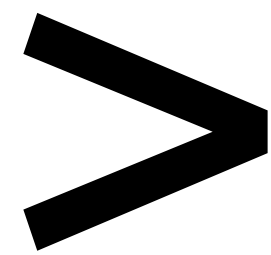


water use efficiency

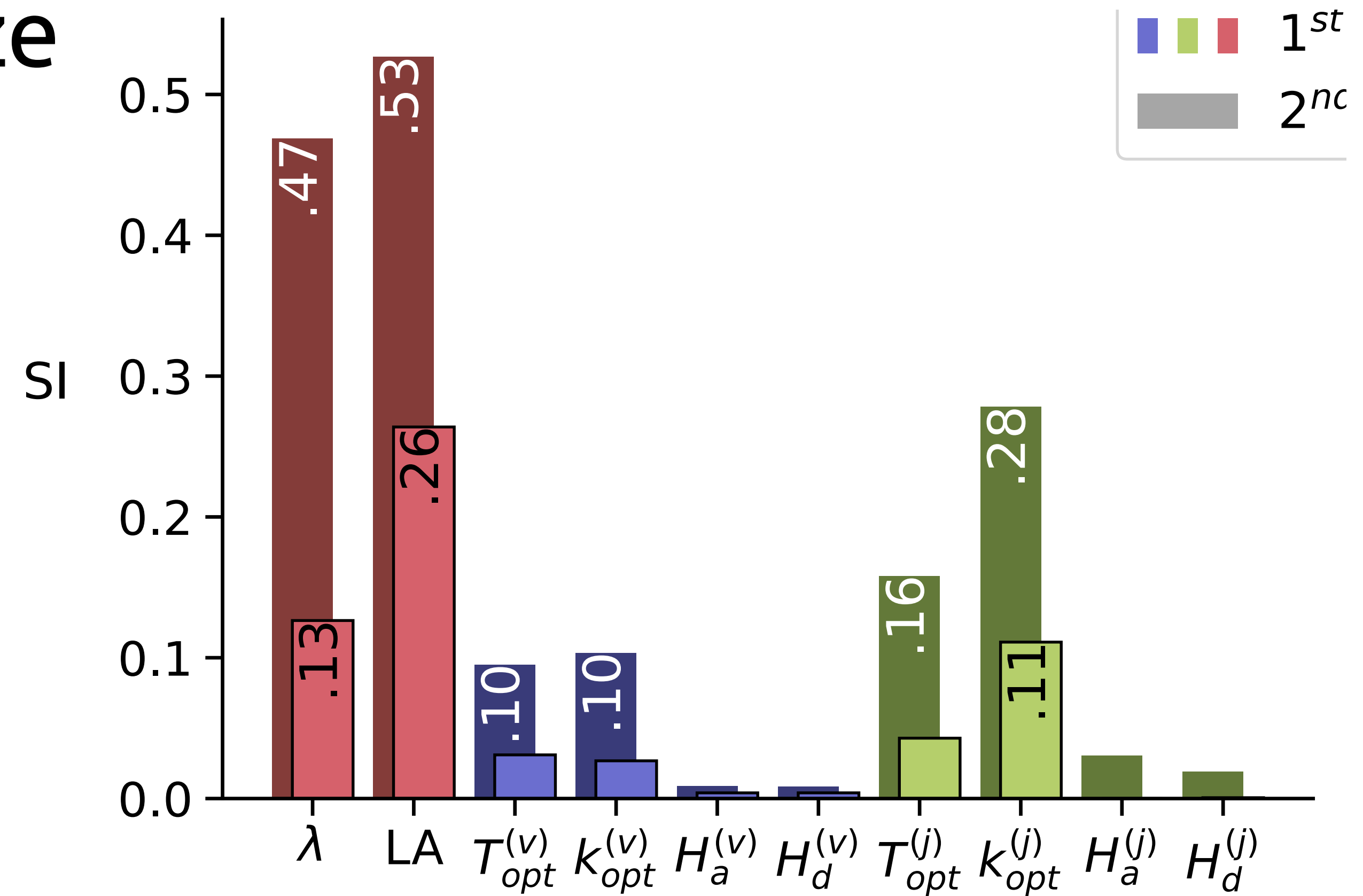
# Result 3

😲 (obvious) surprise

(extensive parameters) pot size  
and leaf area



(intensive parameters)  
photosynthetic params.





strategy 2: beware of what's ahead

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instantaneous maximization  
of  $A(g_s)$  depleats soil  
moisture *fast*

$$H = A(g_s) - \lambda \cdot E(g_s)$$

# strategy 2: beware of what's ahead

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$$H = A(g_s) - \lambda \cdot E(g_s)$$

plant should maximize  
 $A(g_s)$  over time interval T

$$H = \frac{1}{T} \int_0^T A(g_s) dt - \lambda \cdot E(g_s)$$

# strategy 2: beware of what's ahead

instantaneous maximization  
of  $A(g_s)$  depleats soil  
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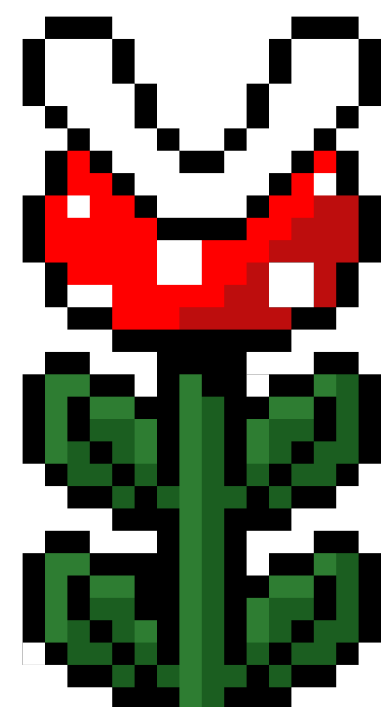
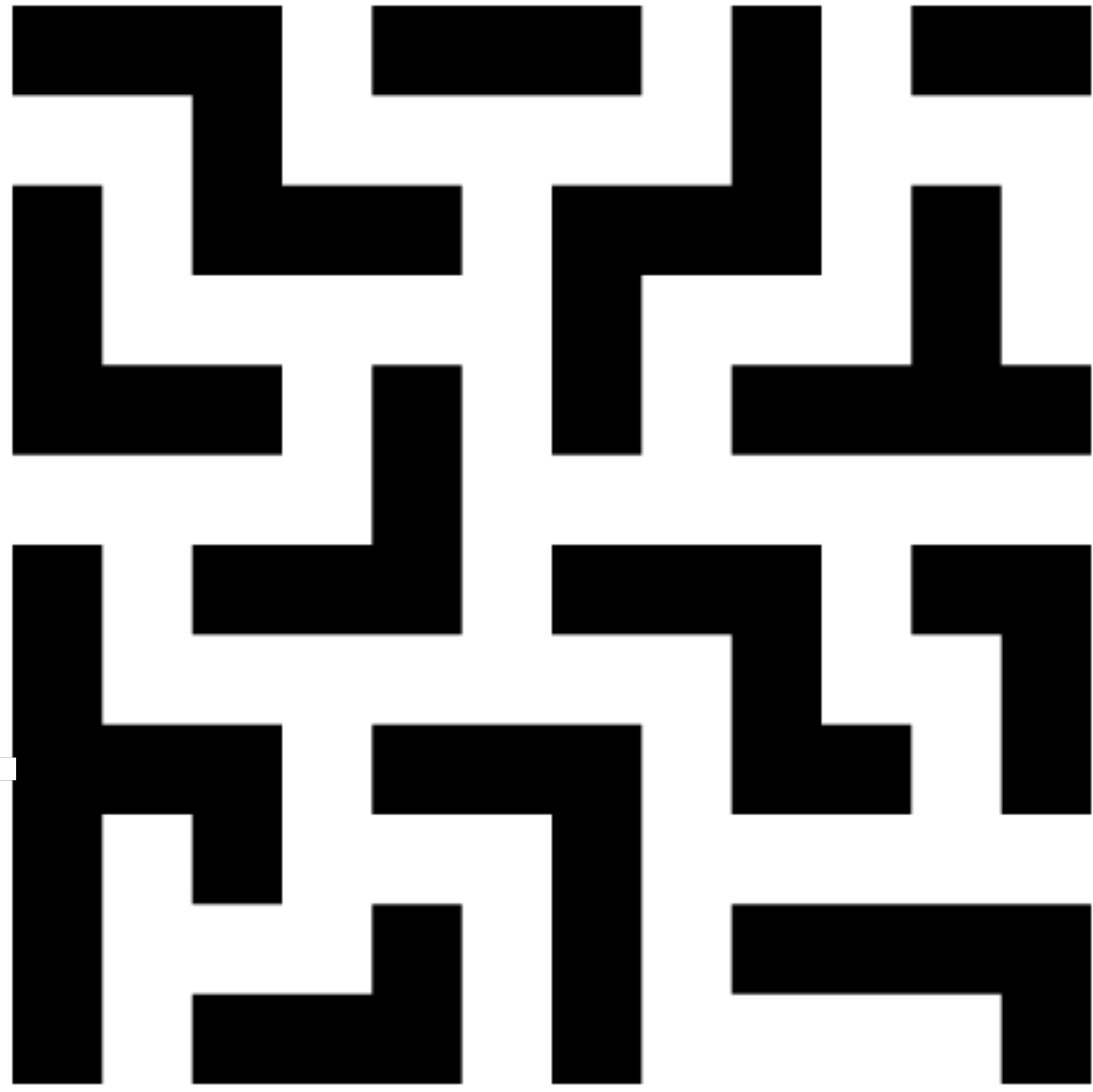
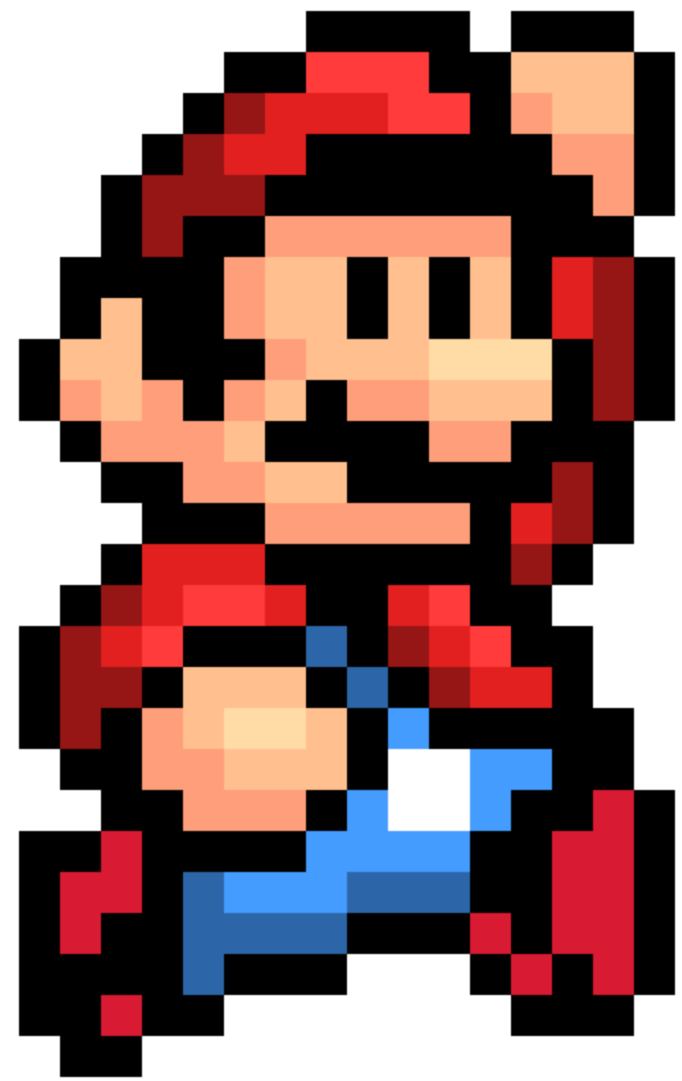
$$H = A(g_s) - \lambda \cdot E(g_s)$$

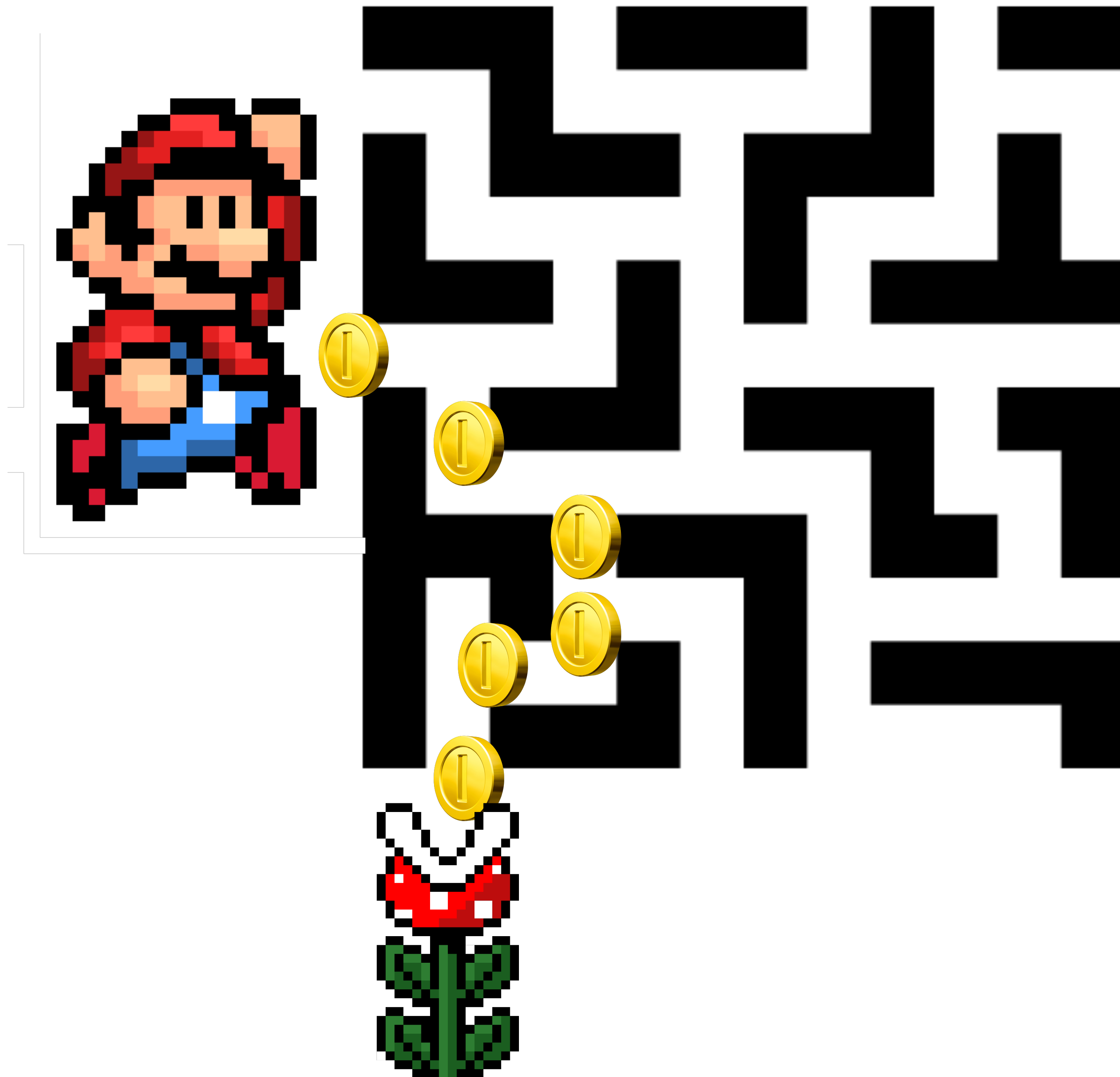
plant should maximize  
 $A(g_s)$  over time interval T

$$H = \frac{1}{T} \int_0^T A(g_s) dt - \lambda \cdot E(g_s)$$

Cowan & Farquhar (1977), Mäkelä et al. (1996),  
Manzoni et al. (2013), Mrad et al. (2019)

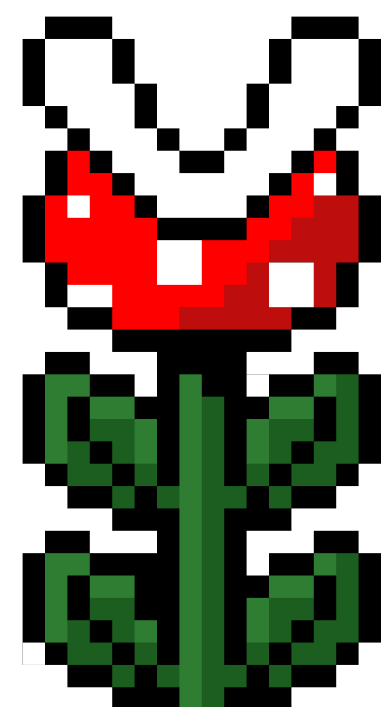
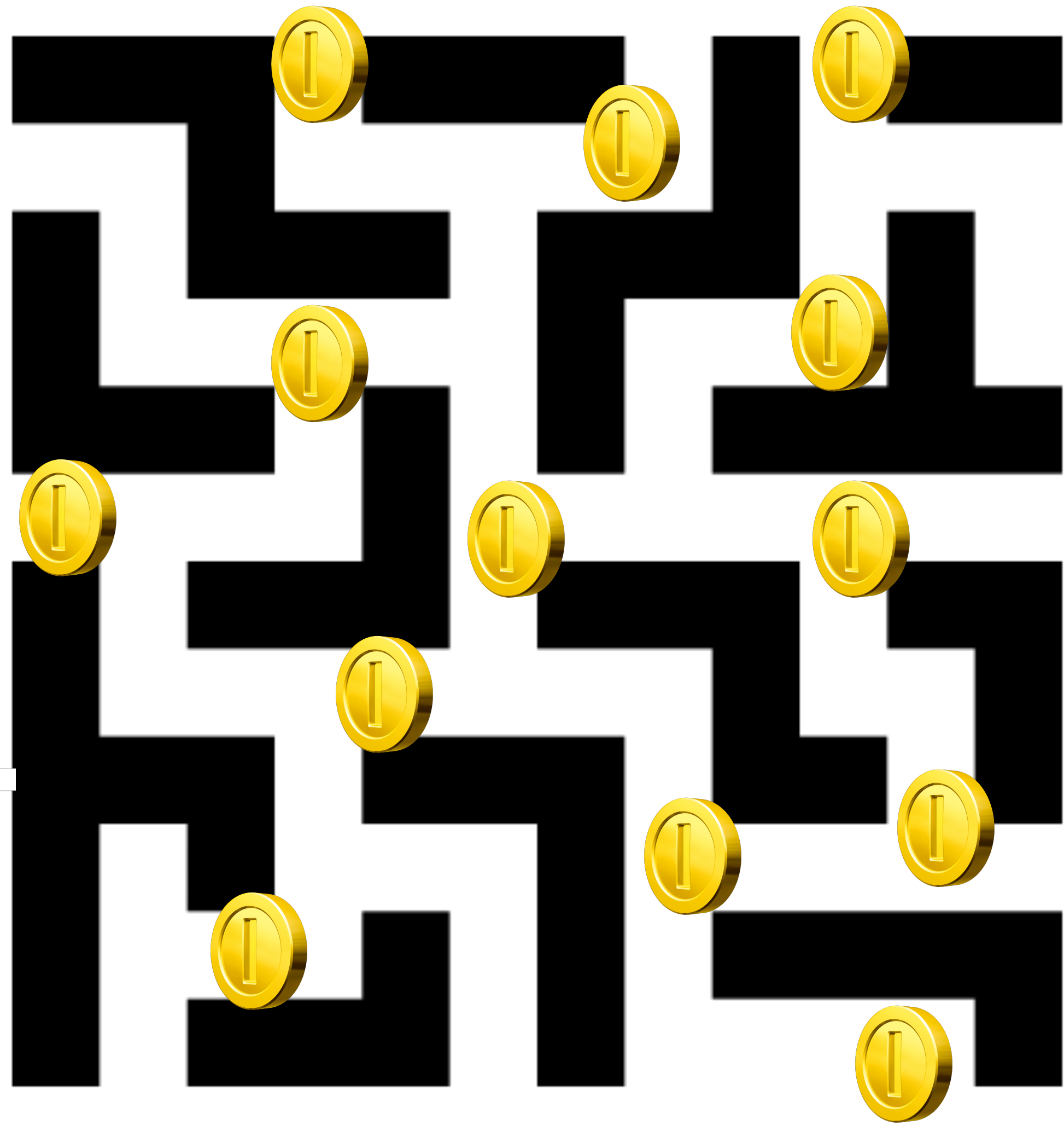
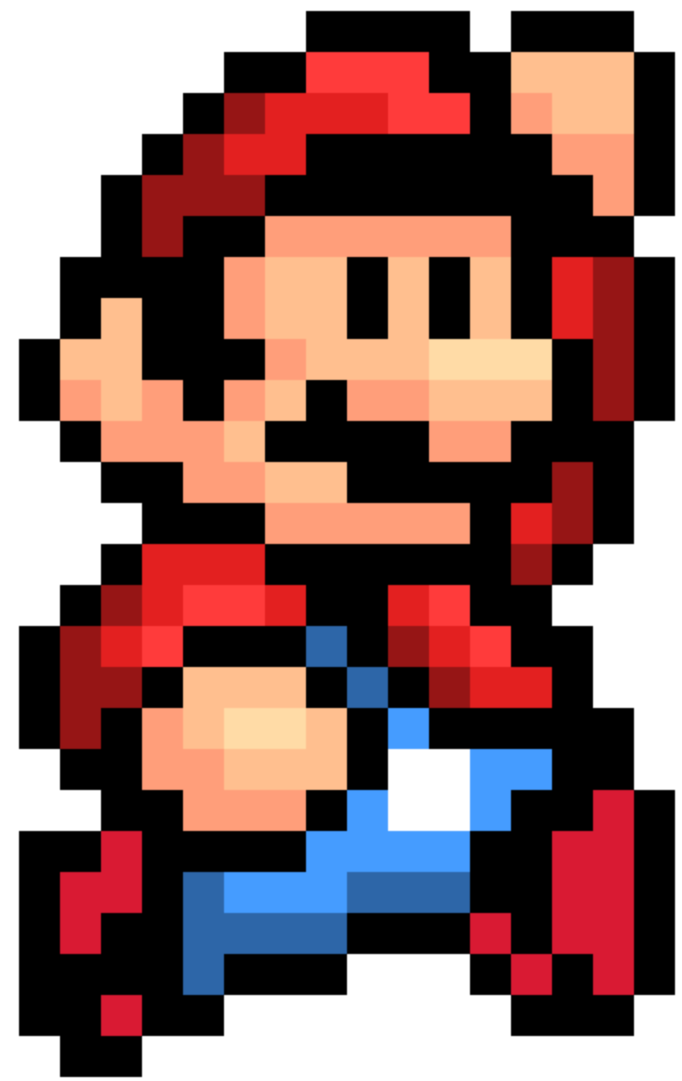


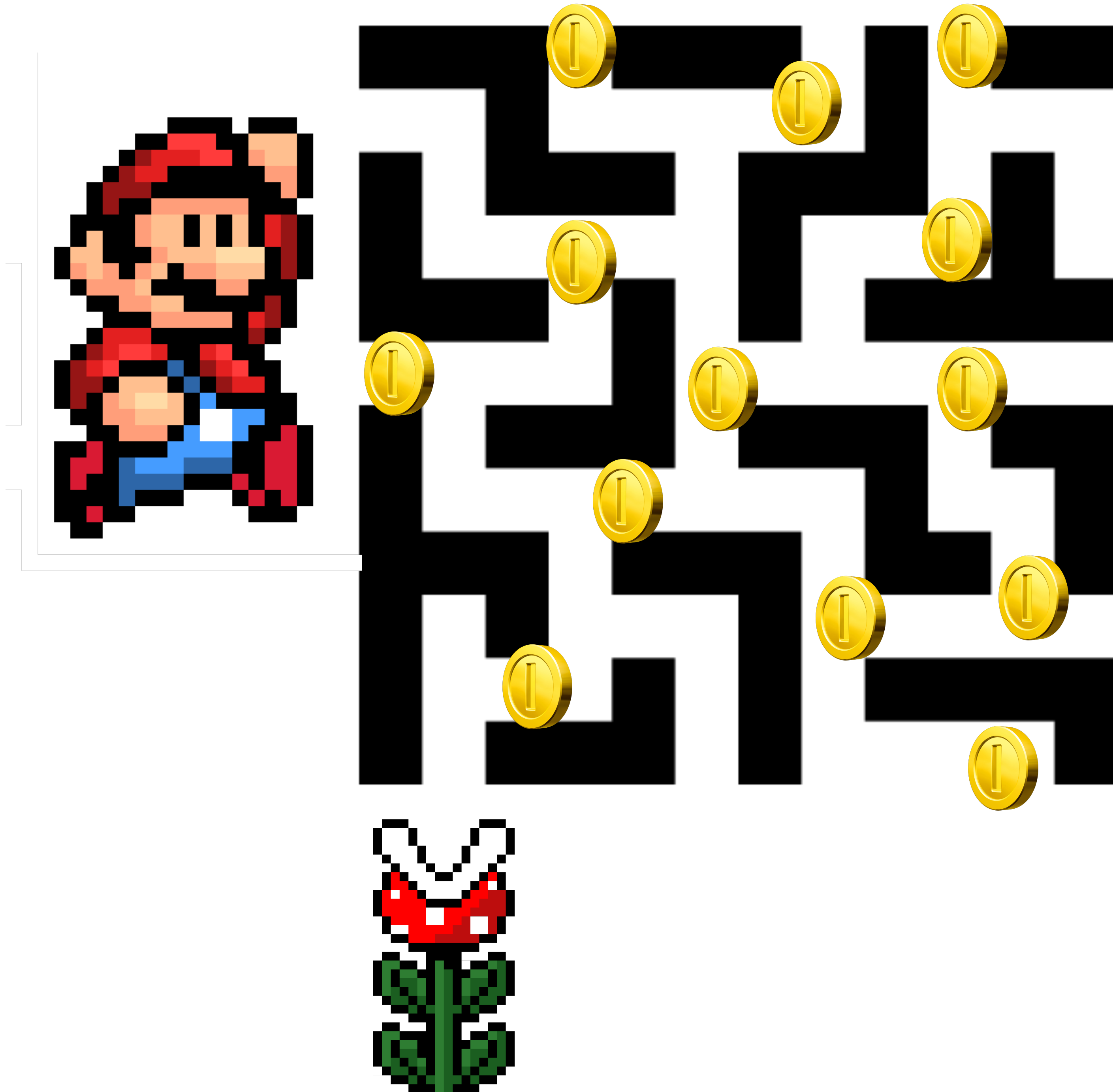




instantaneous  
maximization

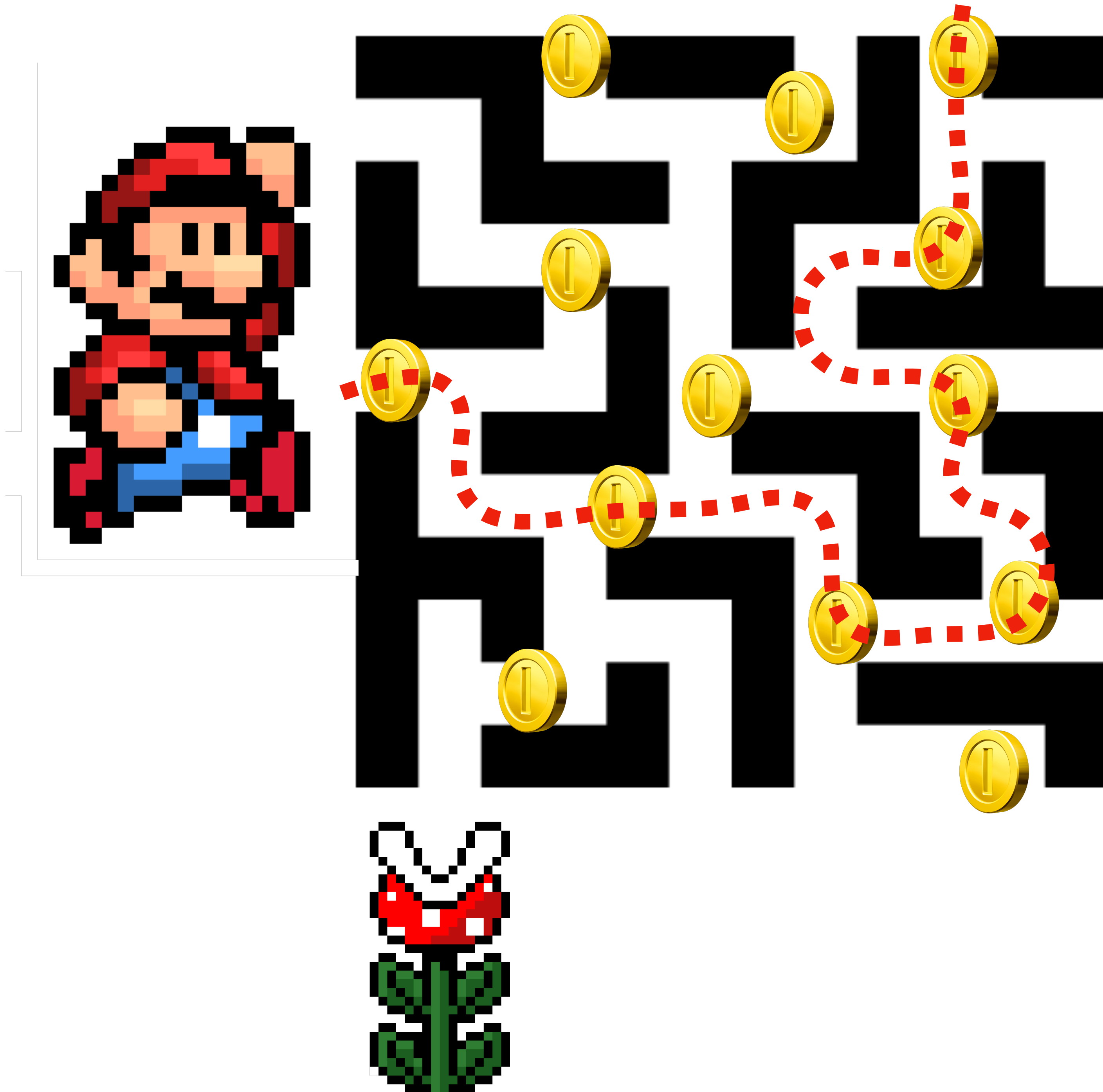
$$A(g_s)$$





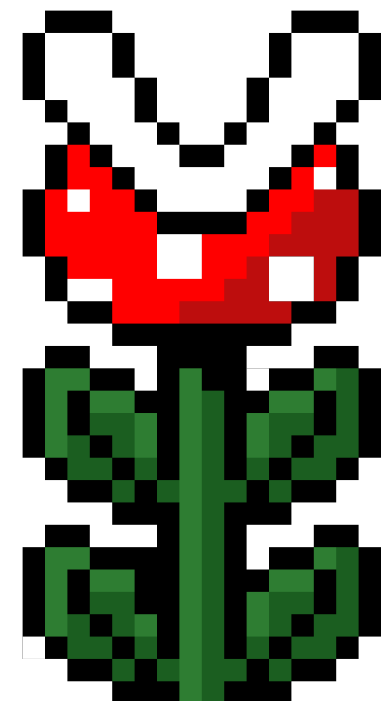
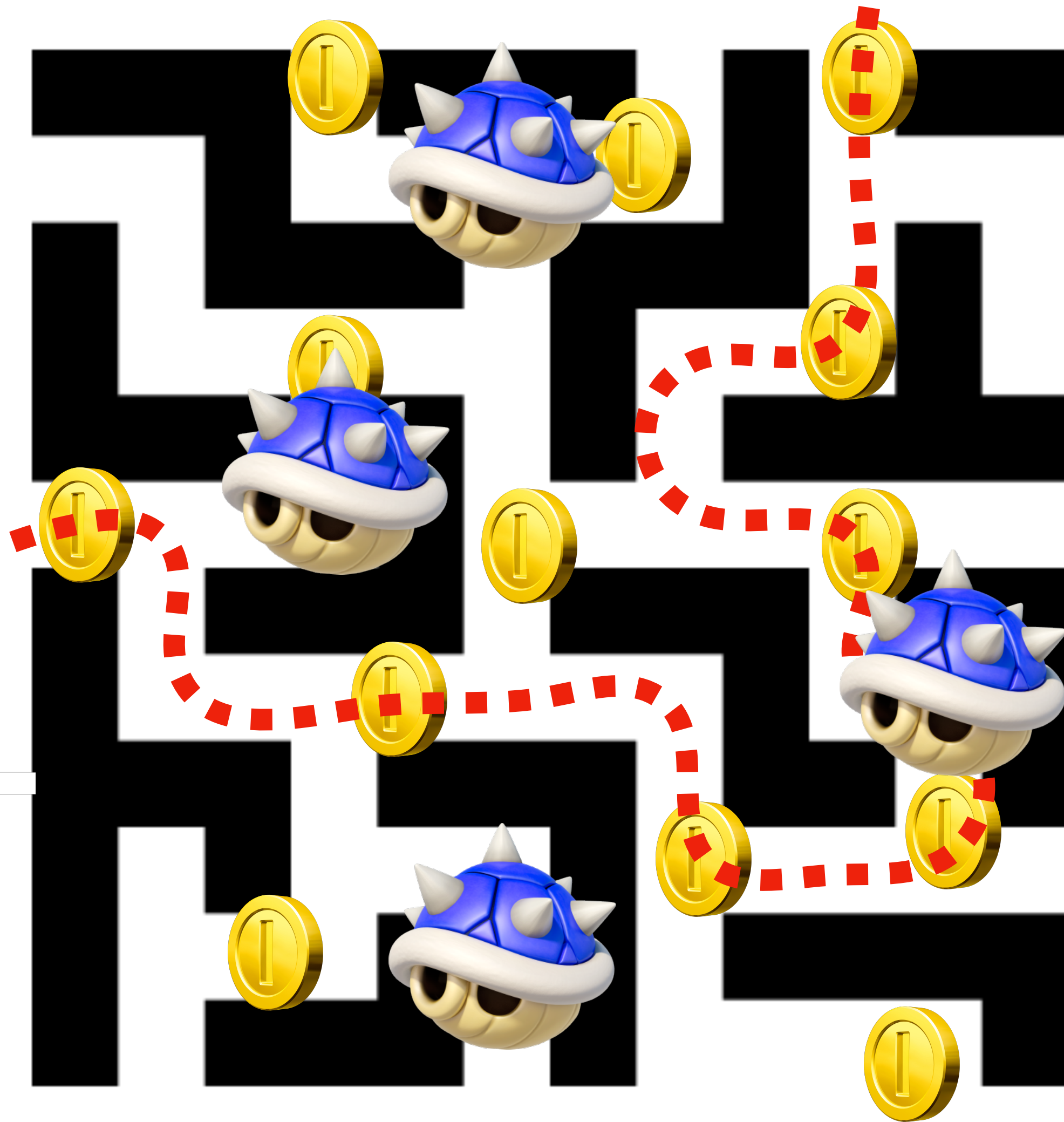
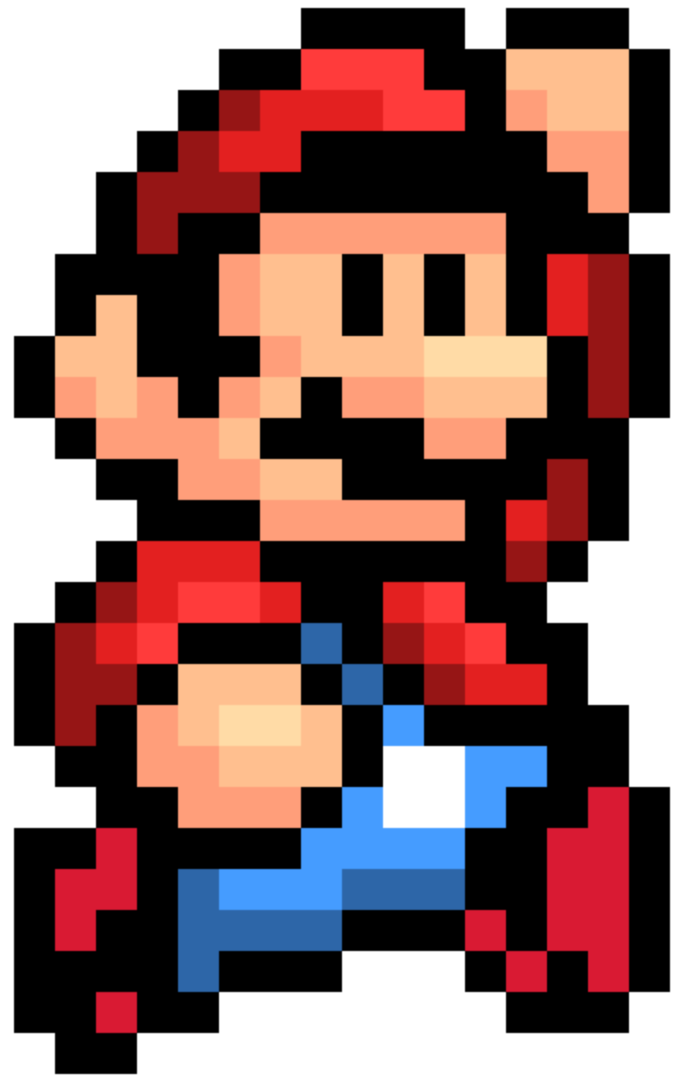
time integral

$$\frac{1}{T} \int_0^T A(g_s) dt$$



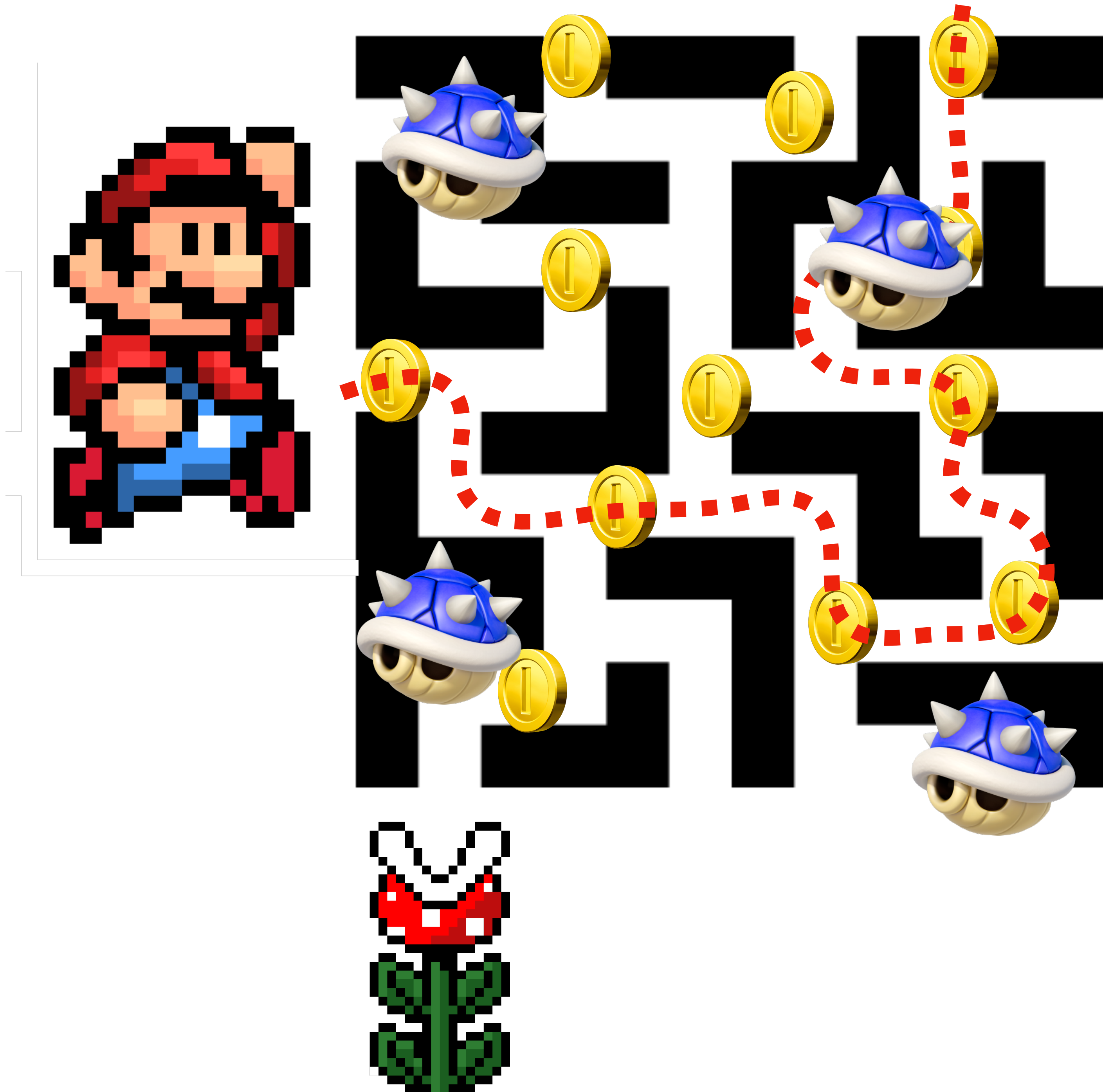
time integral

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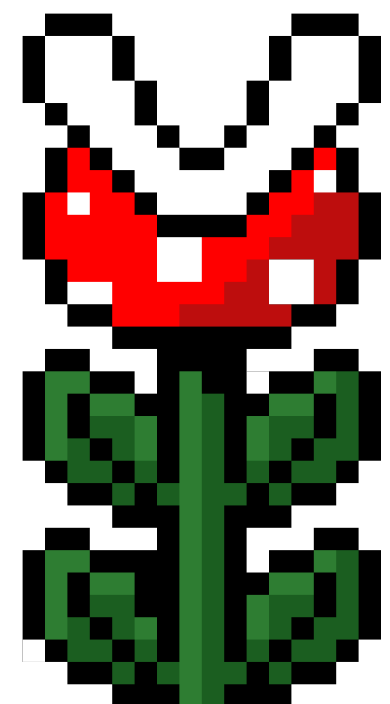
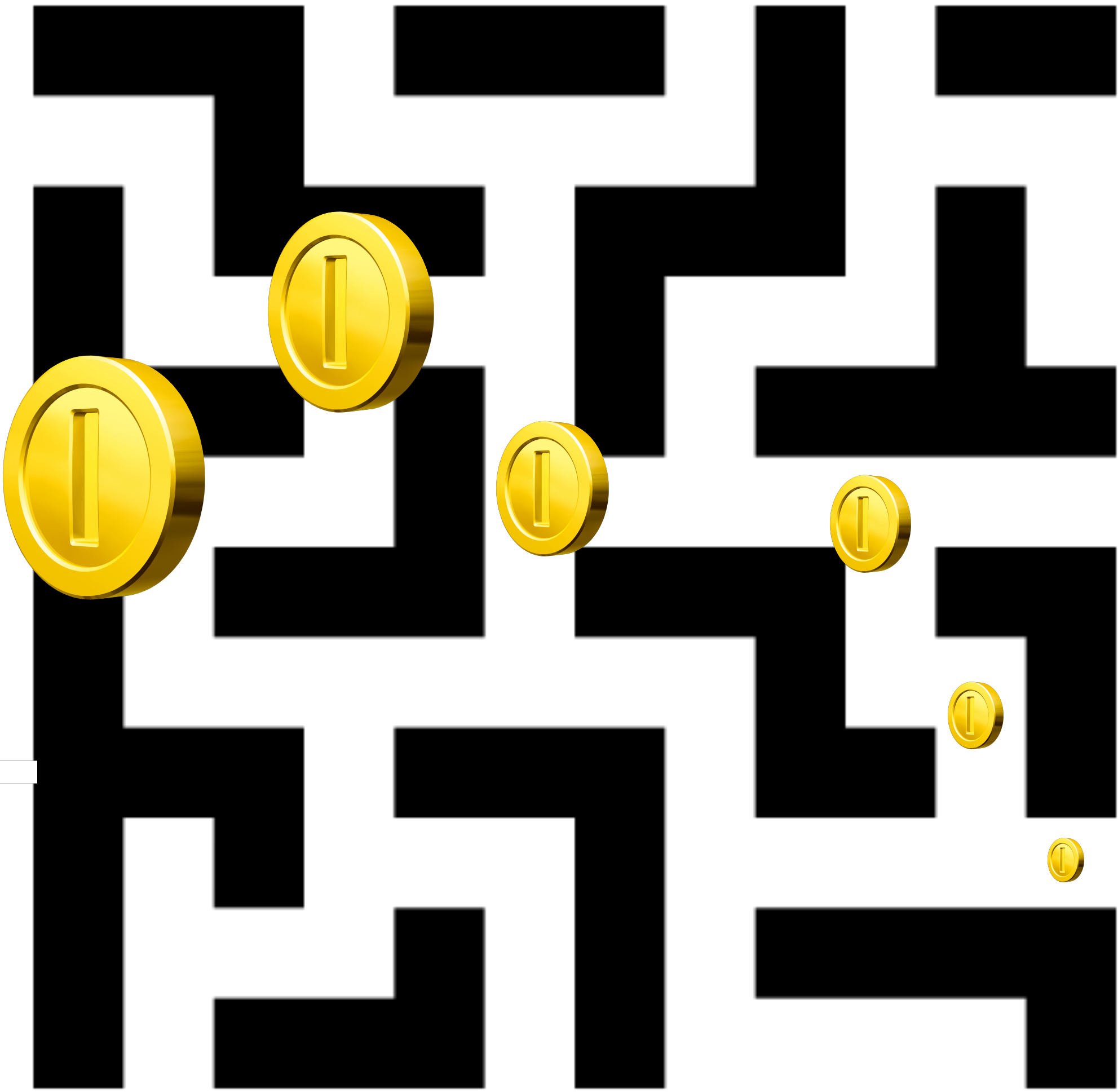
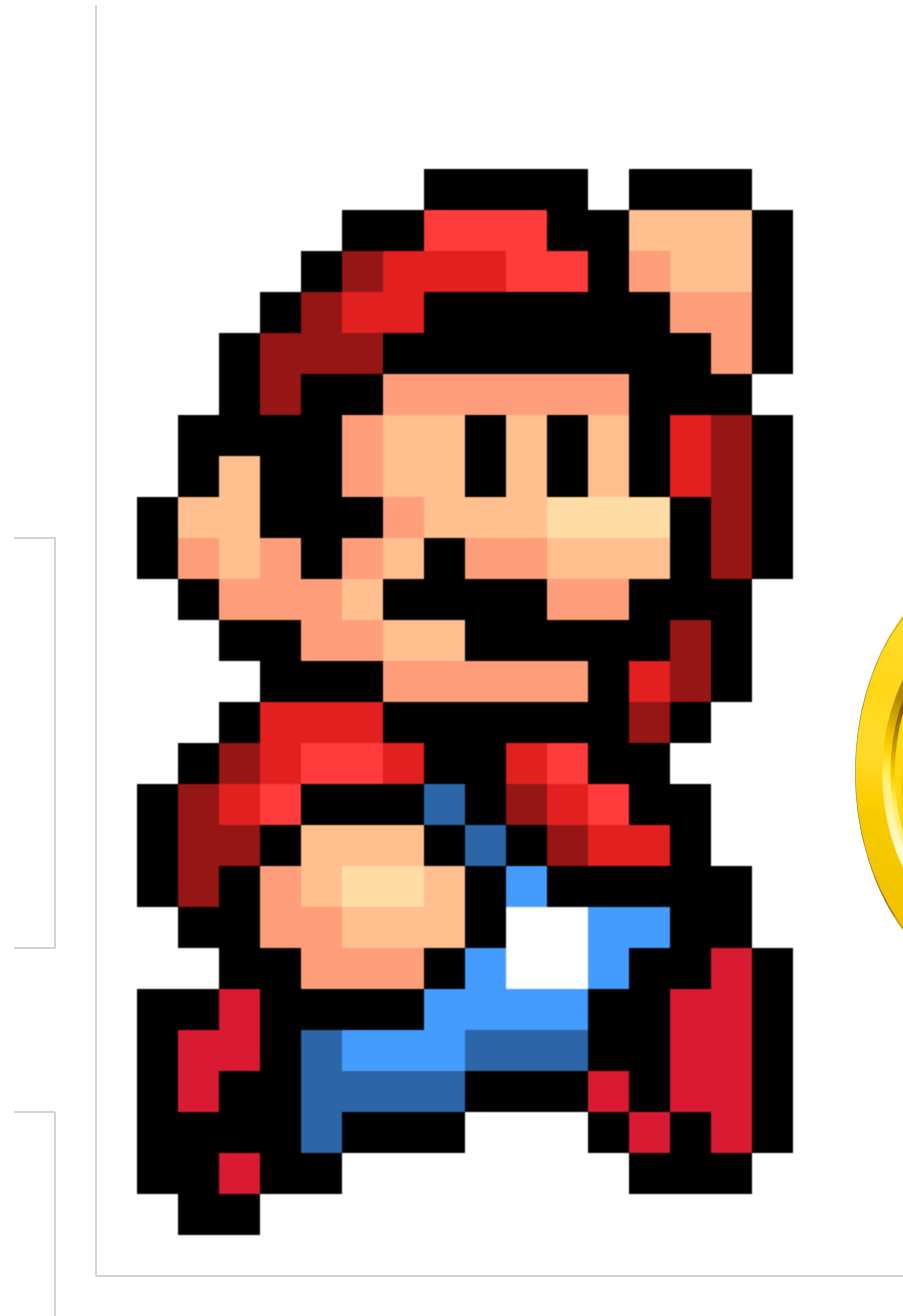
time integral

$$\frac{1}{T} \int_0^T A(g_s) dt$$

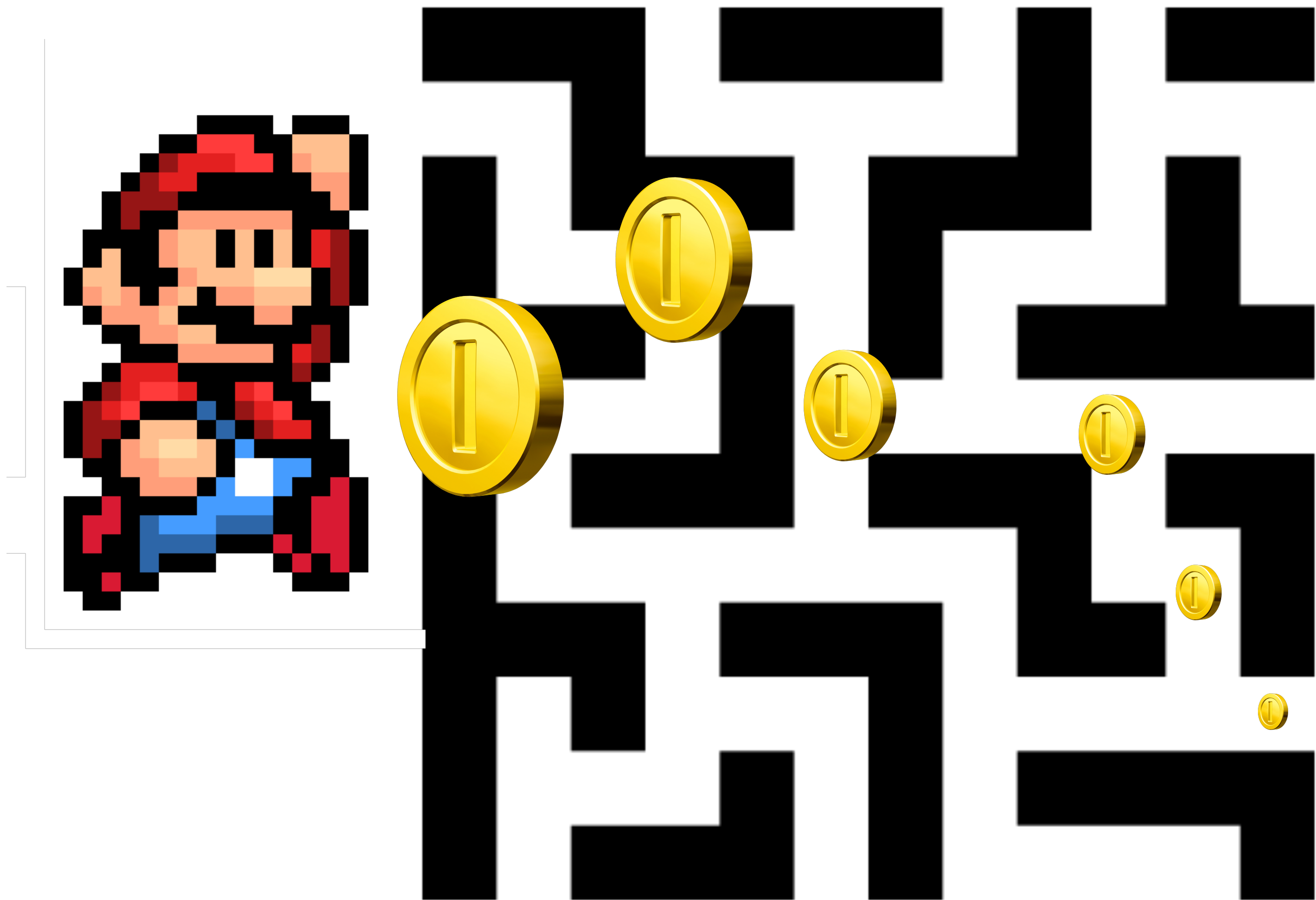


time integral

$$\frac{1}{T} \int_0^T A(g_s) dt$$

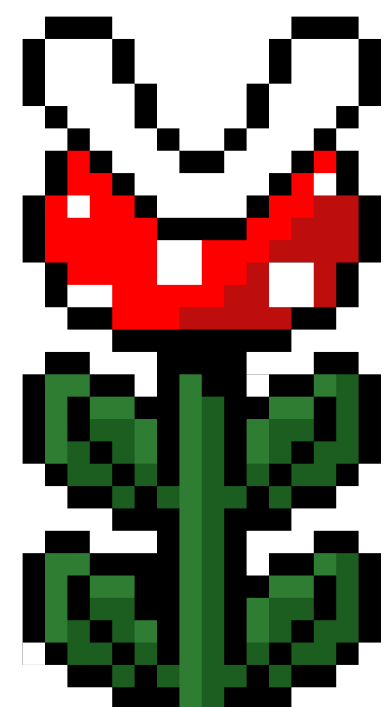


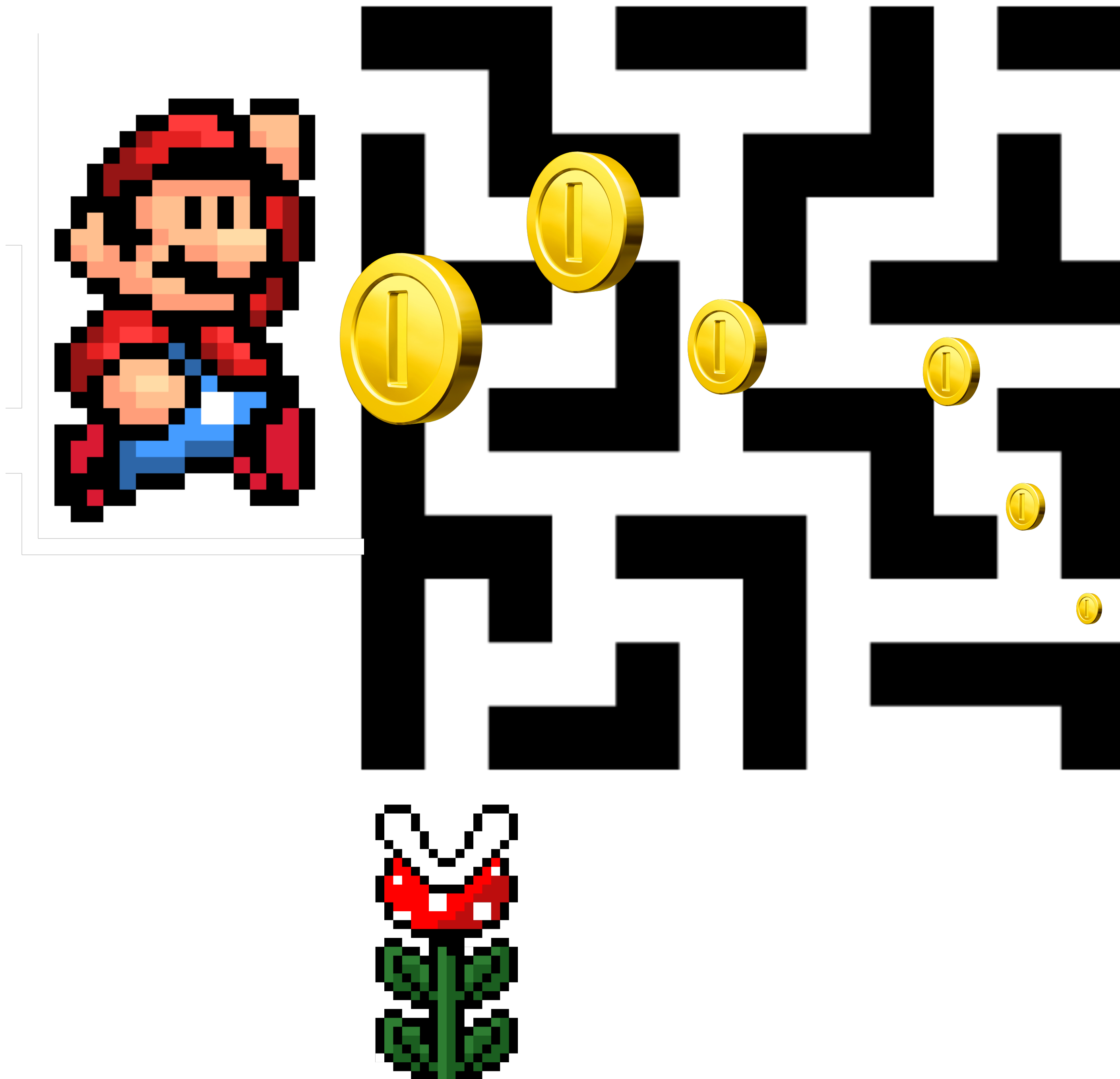




when future is uncertain

present  $>$  future





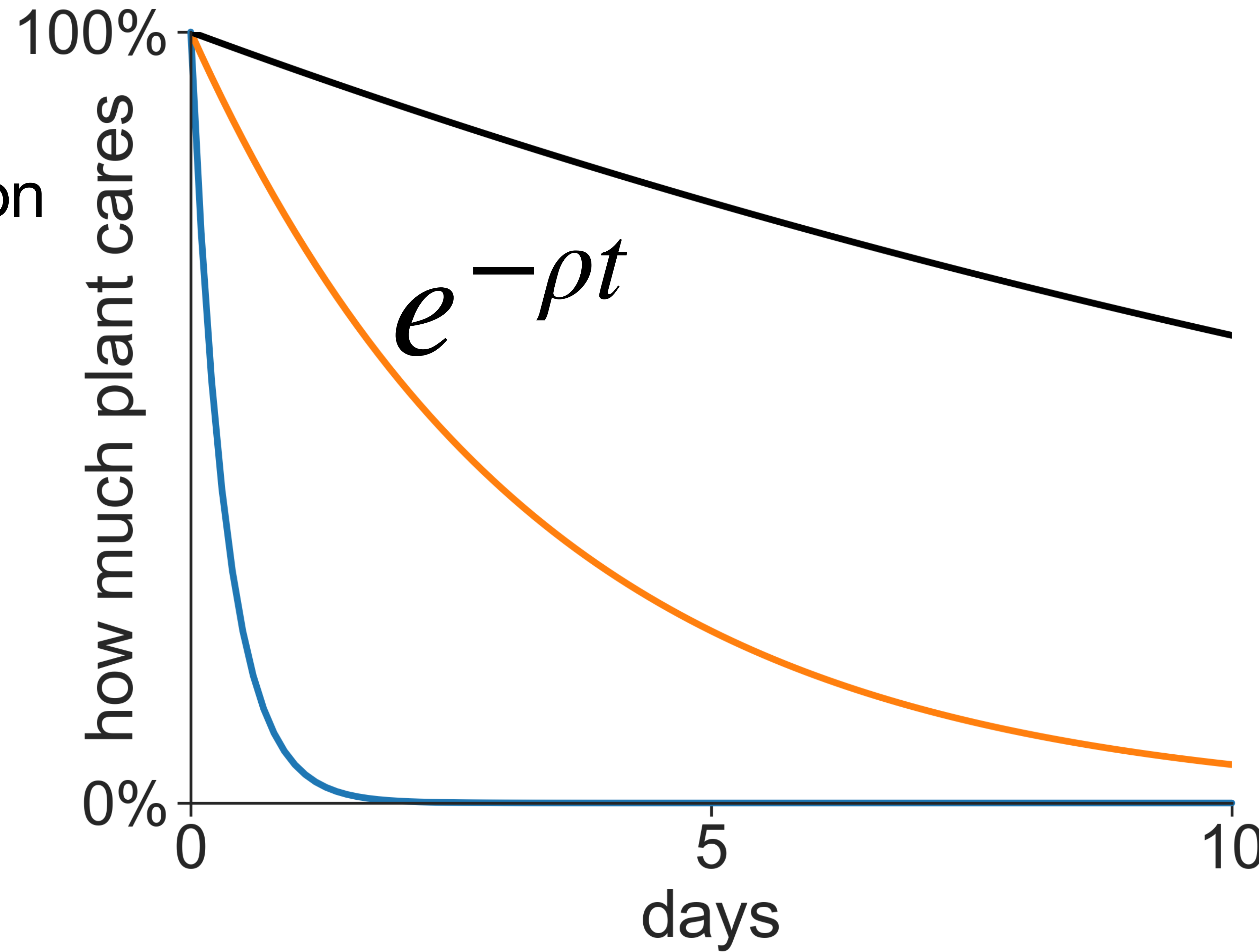
when future is uncertain

present > future

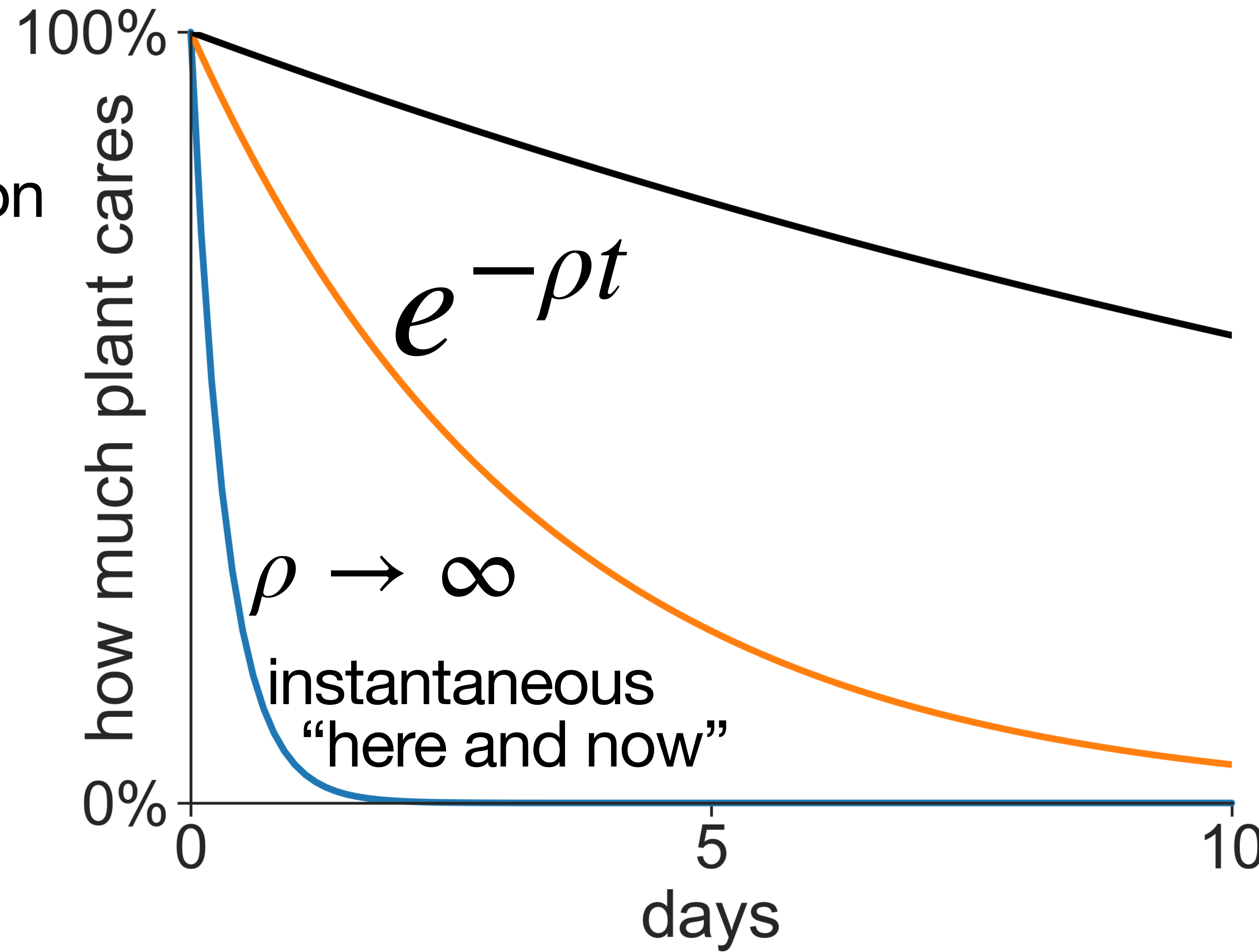
$$\int_0^{\infty} e^{-\rho t} A(g_s) dt$$

discount!

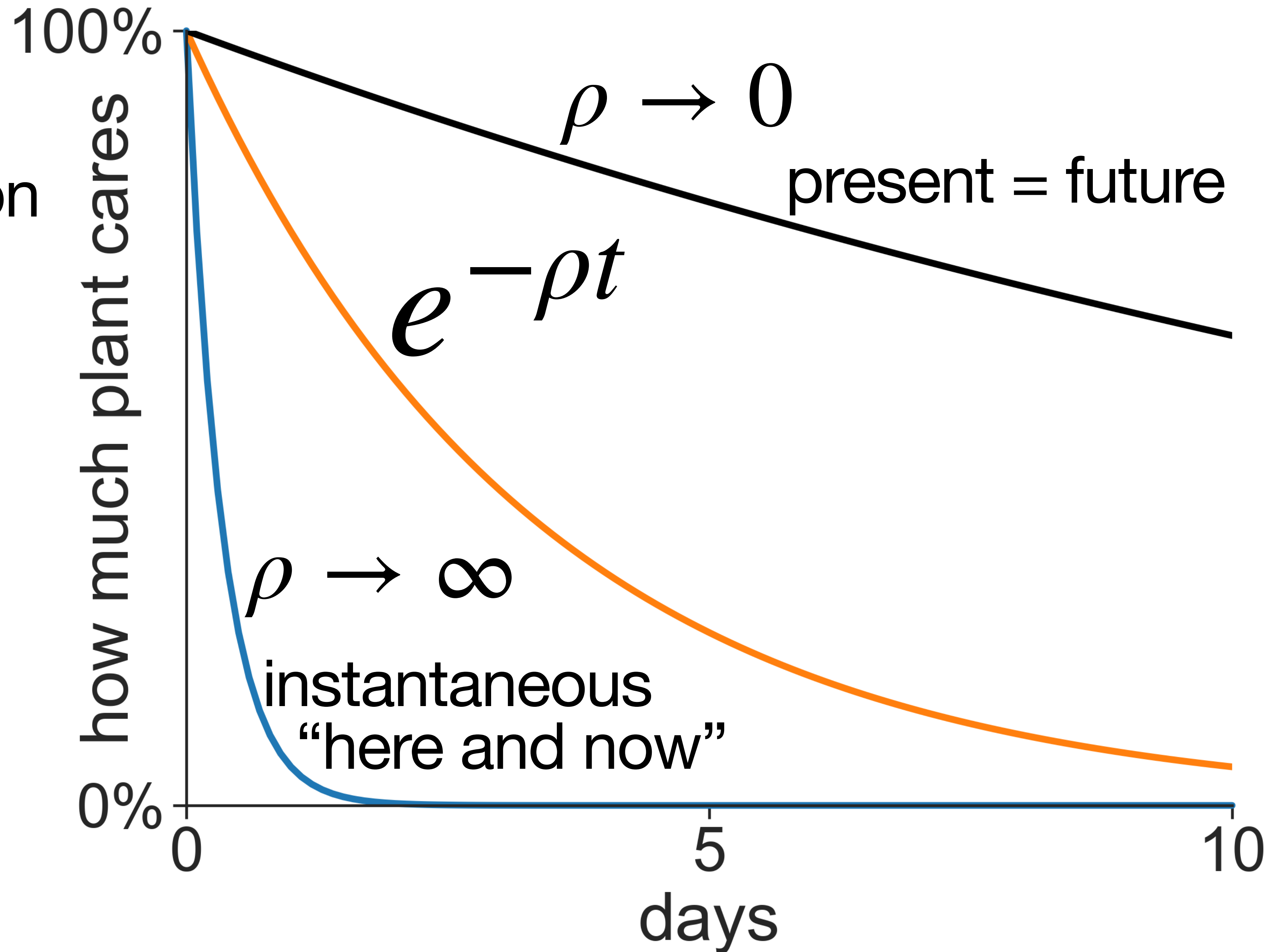
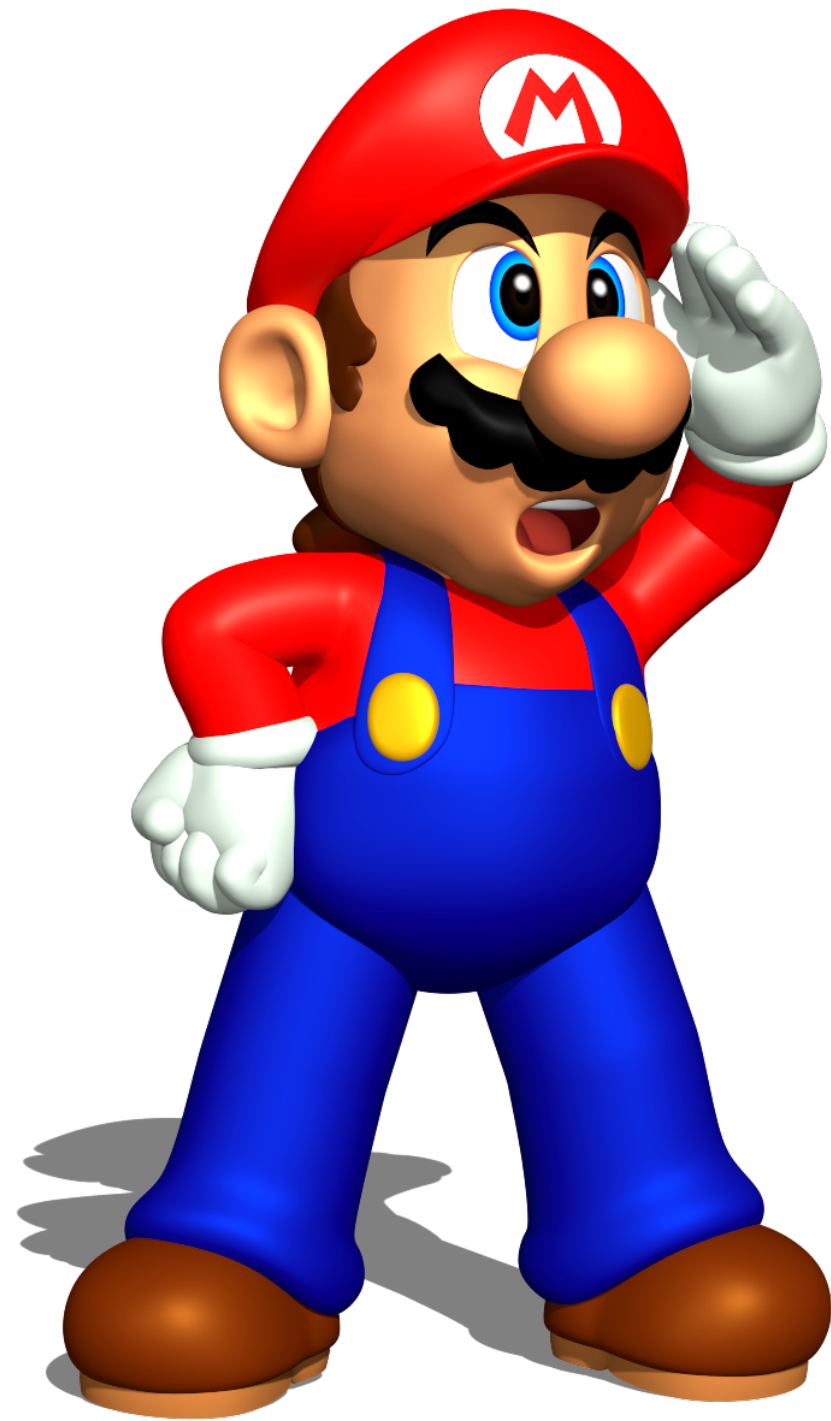
discount = horizon



discount = horizon



discount = horizon





short time horizon

“there’s only present” opt.

risk-taking

anisohydric



$\rho \rightarrow 0$

$\rho \rightarrow \infty$

short time horizon

“there’s only present” opt.

risk-taking

anisohydric

long time horizon

“present = future” opt.

risk-averse

isohydric

$\rho \rightarrow 0$

$\rho \rightarrow \infty$





short time horizon

“there’s only present” opt.

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anisohydric

long time horizon

“present = future” opt.

risk-averse

isohydric

$\rho \rightarrow 0$

$\rho \rightarrow \infty$

exploration

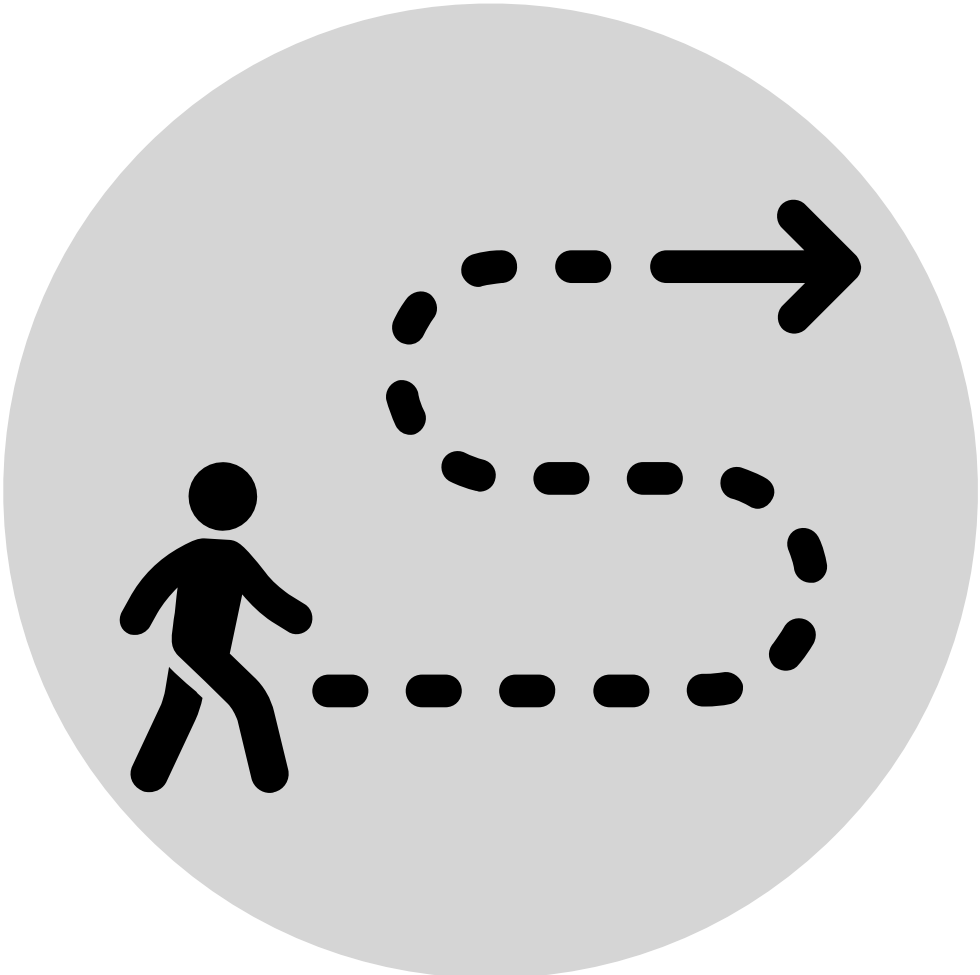
exploitation



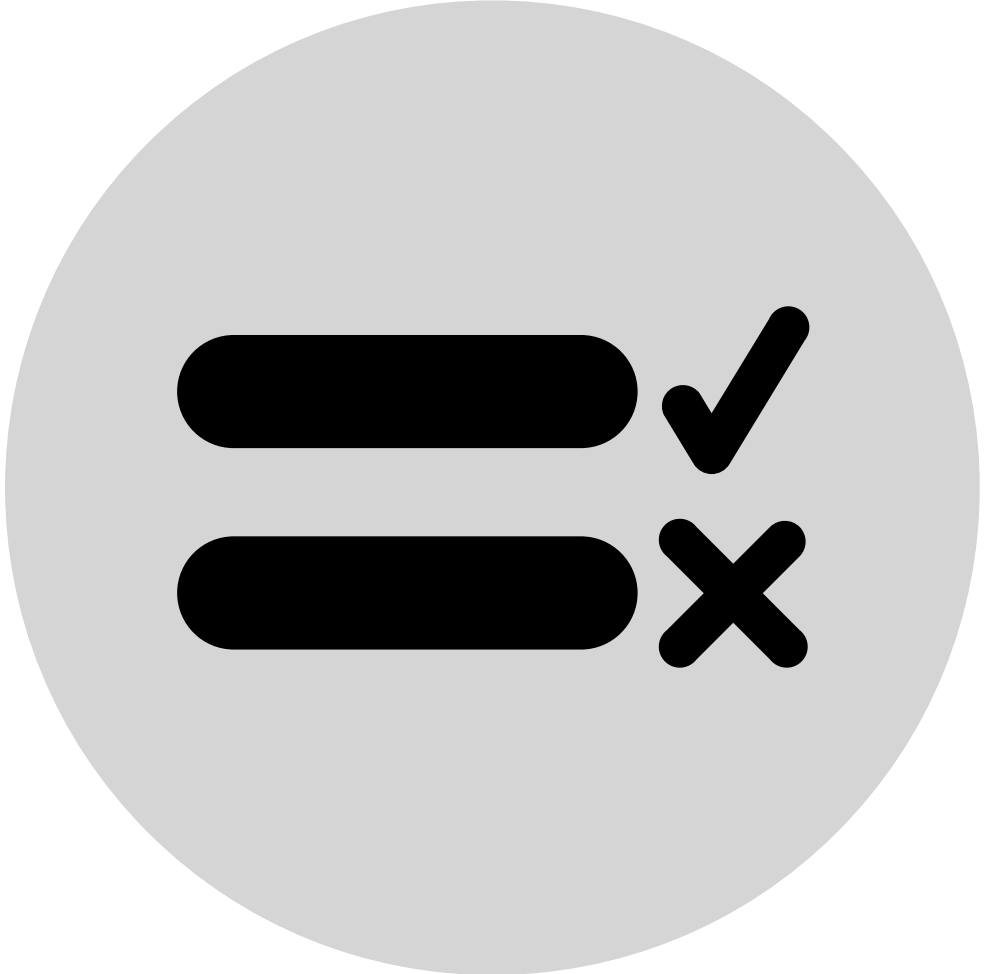
# take-home message



observed path



instantaneous rule



global principle

